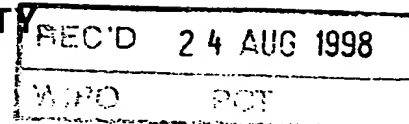


# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)





Applicant's or agent's file reference 316082000140	<b>FOR FURTHER ACTION</b>		See Notification of Transmittal of International Preliminary Examination Report (PCT/IPEA/416)
International application No. PCT/US97/08962	International filing date (day/month/year) 22/05/1997	Priority date (day/month/year) 22/05/1996	
International Patent Classification (IPC) or national classification and IPC C12N15/13			
Applicant NOVOPHARM BIOTECH, INC. et al.			

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 5 sheets, including this cover sheet.  
  
☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

- This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 22/12/1997	Date of completion of this report 20.08.98
Name and mailing address of the IPEA/   European Patent Office D-80298 Munich Tel. (+49-89) 2399-0. Tx: 523656 epmu d Fax: (+49-89) 2399-4465	Authorized officer  SCHEFFZYK, I  Telephone No. (+49-89) 2399-8602  

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US97/08962

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-97 as originally filed

### Claims, No.:

1-50 as originally filed

### Drawings, sheets:

1/14-14/14 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US97/08962

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**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	1-50
	No:	Claims	
Inventive step (IS)	Yes:	Claims	1-50
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	1-38, 48-50
	No:	Claims	39-47 ( see item VIII/5).

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/US97/08962

SECTION V -----

Present application is directed to antibodies or fragments thereof which are capable of recognizing a wide range of tumor antigens but do not recognize non-cancerous cells. Up to now specific antibodies which selectively recognize cell surface antigens expressed exclusively on certain tumor cells have been identified in the prior art. Thus, the problem underlying the present application not to mention its solution, i.e. the provision of an antibody which specifically recognizes an antigen which is specifically recognized by an antibody comprising a H chain V region having the amino acid sequence of SEQ.ID.NO: 2 and a L chain V region having the amino acid sequence of SEQ.ID.NO: 5, which recognizes tumor antigens present on numerous tumor cells, is neither taught nor derivable from the available prior art. Therefore, present claims appear to be novel and inventive (Art. 33(2)(3) PCT).

SECTION VII -----

The reference in claim 28 should be checked.

SECTION VIII-----

- 1). The expression "alphaC antibody" used in claim 3 has no well-recognized meaning in the art but is only an internal designation and thus renders the scope of said claim unclear (Art. 6 PCT).
- 2). The term "substantially the same as" written in claim 5 is vague and thus also renders the scope of said claim unclear.
- 3). Claims 39-47 are not supported by the specification (Art. 6 PCT).



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

---

International application No. PCT/US97/08962

- 4). The same applies to claims 48 and 49 since the application as filed is completely silent with respect to the purification of "C-antigen".
  
- 5). For the assessment of the present claims 39-47 on the question whether they are industrially applicable, no unified criteria exist in the PCT. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

# TENT COOPERATION TREATY

## PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>316082000140</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 97/ 08962</b>	International filing date (day/month/year) <b>22/05/1997</b>	(Earliest) Priority Date (day/month/year) <b>22/05/1996</b>
Applicant  <b>NOVOPHARM BIOTECH, INC. et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☒ Certain claims were found unsearchable (see Box I).

2. ☐ Unity of invention is lacking (see Box II).

3. ☒ The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing

☒ filed with the international application.

☐ furnished by the applicant separately from the international application,

☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.

☐ Transcribed by this Authority

4. With regard to the title, ☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is:

Figure No. none ☐ as suggested by the applicant.

☐ None of the figures.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 97/08962

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/13 A61K39/395 A61K47/48 A61K51/10 C07K16/30  
 C12N15/86 C12N5/10 A61K39/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 92 20799 A (Y. HAGIWARA) 26 November 1992 see the whole document ---	1-50
A	WO 95 35374 A (M. DAN) 28 December 1995 see the whole document ---	1-50
A	M. MCKNIGHT ET AL.: "Monopharm-C, a human anticancer Mab: generation, production and immunological properties." PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, vol. 34, March 1993, USA, page A1223 XP002057461 see abstract 1330 -----	1-50



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## ° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

3 March 1998

Date of mailing of the international search report

17. 03. 98

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Nooij, F

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 97/08962

## B x I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
**see FURTHER INFORMATION sheet PCT/ISA/210**
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## B x II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Remark : Although claims 39-47 are directed to a method of treatment of the human/animal body , the search has been carried out and based on the alleged effects of the compound/composition.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/08962

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9220799 A	26-11-92	JP 4346792 A	02-12-92
		AU 1875592 A	30-12-92
-----			
WO 9535374 A	28-12-95	US 5639863 A	17-06-97
		AU 2709295 A	15-01-96
		CA 2192079 A	28-12-95
		EP 0766736 A	09-04-97
		NO 965395 A	17-12-96
-----			

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/13, A61K 39/395, 47/48, 51/10, C07K 16/30, C12N 15/86, 5/10, A61K 39/00</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 97/44461</b> <b>(43) International Publication Date:</b> 27 November 1997 (27.11.97)
<b>(21) International Application Number:</b> PCT/US97/08962 <b>(22) International Filing Date:</b> 22 May 1997 (22.05.97)  <b>(30) Priority Data:</b> 08/657,449                      22 May 1996 (22.05.96)                      US  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US    08/657,449 (CIP) Filed on    22 May 1997 (22.05.97)  <b>(71) Applicant (for all designated States except US):</b> NOVOPHARM BIOTECH, INC. [US/US]; Suite 106, 6190 Cornerstone Court E., San Diego, CA 92121 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> DAN, Michael, D. [-/CA]; 30 Nably Court, Scarborough, Ontario M1B 2K9 (CA). MAITI, Pradip, K. [CA/CA]; 38 Swan Lake, Winnipeg, Manitoba R3T 4W1 (CA). KAPLAN, Howard, A. [CA/CA]; 18 Hillhouse Road, Winnipeg, Manitoba R2V 2V9 (CA).		<b>(74) Agents:</b> LEHNHARDT, Susan, K. et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).  <b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>  <b>(88) Date of publication of the international search report:</b> 7 May 1998 (07.05.98)
<b>(54) Title:</b> ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS, NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE PROPHYLAXIS AND DETECTION OF CANCERS  <b>(57) Abstract</b> <p>The present invention relates to monoclonal antibody H11 and antigen binding fragments that specifically bind to the antigen recognized by H11, the C-antigen. The C-antigen is found specifically on neoplastic cells and not on normal cells. Also disclosed are polynucleotide and polypeptide derivatives based on H11, including single chain V region molecules and fusion proteins, and various pharmaceutical compositions. When administered to an individual, the H11 antibody is effective in diagnosing, localizing, and/or treating neoplasias. The invention further provides methods for treating a neoplastic disease, particularly melanoma, neuroblastoma, glioma, soft tissue sarcoma, and small cell lung carcinoma. Patients who are in remission as a result of traditional modes of cancer therapy may be treated with a composition of this invention in hopes of reducing the risk of recurrence. Patients may also be treated concurrently with the antibodies and traditional anti-neoplastic agents.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
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BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
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CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
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CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
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CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		



<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6    C12N15/13    A61K39/395    A61K47/48    A61K51/10    C07K16/30 C12N15/86    C12N5/10    A61K39/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6    C07K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 92 20799 A (Y. HAGIWARA) 26 November 1992 see the whole document ---	1-50
A	WO 95 35374 A (M. DAN) 28 December 1995 see the whole document ---	1-50
A	M. MCKNIGHT ET AL.: "Monopharm-C, a human anticancer MAb: generation, production and immunological properties." PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, vol. 34, March 1993, USA, page A1223 XP002057461 see abstract 1330 -----	1-50
<input type="checkbox"/> Further documents are listed in the continuation of box C. <span style="margin-left: 100px;"><input checked="" type="checkbox"/> Patent family members are listed in annex.</span>		
<b>* Special categories of cited documents :</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier document but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>*&amp;* document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center; font-size: 1.2em;">3 March 1998</div>		Date of mailing of the international search report  <div style="text-align: center; font-size: 1.2em;">17.03.98</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center; font-size: 1.2em;">Nooij, F</div>

# INTERNATIONAL SEARCH REPORT

Inte. .ional application No.  
PCT/US 97/08962

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Remark : Although claims 39-47 are directed to a method of treatment of the human/animal body , the search has been carried out and based on the alleged effects of the compound/composition.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/08962

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9220799 A	26-11-92	JP 4346792 A	02-12-92
		AU 1875592 A	30-12-92
-----			
WO 9535374 A	28-12-95	US 5639863 A	17-06-97
		AU 2709295 A	15-01-96
		CA 2192079 A	28-12-95
		EP 0766736 A	09-04-97
		NO 965395 A	17-12-96
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/13, A61K 39/395, 47/48, 51/10,</b> <b>C07K 16/30, C12N 15/86, 5/10, A61K</b> <b>39/00</b>	<b>A2</b>	<b>(11) International Publication Number: WO 97/44461</b>  <b>(43) International Publication Date: 27 November 1997 (27.11.97)</b>
<b>(21) International Application Number:</b> PCT/US97/08962 <b>(22) International Filing Date:</b> 22 May 1997 (22.05.97)  <b>(30) Priority Data:</b> 08/657,449                      22 May 1996 (22.05.96)                      US  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US    08/657,449 (CIP) Filed on                                      22 May 1997 (22.05.97)  <b>(71) Applicant (for all designated States except US):</b> NOVOPHARM BIOTECH, INC. [US/US]; Suite 106, 6190 Cornerstone Court E., San Diego, CA 92121 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> DAN, Michael, D. [-/CA]; 30 Nably Court, Scarborough, Ontario M1B 2K9 (CA). MAITI, Pradip, K. [CA/CA]; 38 Swan Lake, Winnipeg, Manitoba R3T 4W1 (CA). KAPLAN, Howard, A. [CA/CA]; 18 Hillhouse Road, Winnipeg, Manitoba R2V 2V9 (CA).	<b>(74) Agents:</b> LEHNHARDT, Susan, K. et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).  <b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished          upon receipt of that report.</i>	
<b>(54) Title:</b> ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS, NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE PROPHYLAXIS AND DETECTION OF CANCERS  <b>(57) Abstract</b>  <p>The present invention relates to monoclonal antibody H11 and antigen binding fragments that specifically bind to the antigen recognized by H11, the C-antigen. The C-antigen is found specifically on neoplastic cells and not on normal cells. Also disclosed are polynucleotide and polypeptide derivatives based on H11, including single chain V region molecules and fusion proteins, and various pharmaceutical compositions. When administered to an individual, the H11 antibody is effective in diagnosing, localizing, and/or treating neoplasias. The invention further provides methods for treating a neoplastic disease, particularly melanoma, neuroblastoma, glioma, soft tissue sarcoma, and small cell lung carcinoma. Patients who are in remission as a result of traditional modes of cancer therapy may be treated with a composition of this invention in hopes of reducing the risk of recurrence. Patients may also be treated concurrently with the antibodies and traditional anti-neoplastic agents.</p>		

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**ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER  
CELLS, NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF  
FOR THE PROPHYLAXIS AND DETECTION OF CANCERS**

**TECHNICAL FIELD**

5           This invention relates to antibodies specific to an antigen detected on neoplastic cells but not on normal cells. This antigen is termed herein the "C-antigen." The C-antigen is recognized by the human monoclonal antibody (Mab) termed "H11." The invention encompasses a wide variety of antibodies, and functional derivatives thereof that retain the immunologic specificity of H11 and are termed herein " $\alpha$ C." The exemplary  
10       antibody, H11, compositions comprising the H11, and hybridomas producing H11 are included herein. The H11 V region polynucleotides and polypeptides encoded thereby and recombinant molecules containing these polynucleotides are also encompassed by the invention. Methods of use including therapeutic and diagnostic of the  $\alpha$ C antibodies are also included in the invention.

**BACKGROUND ART**

15           In spite of numerous advances in medical research, cancer remains the second leading cause of death in the United States. In the industrialized nations, roughly one in five persons will die of cancer. Traditional modes of clinical care, such as surgical  
20       resection, radiotherapy and chemotherapy, have a significant failure rate, especially for solid tumors. Failure occurs either because the initial tumor is unresponsive, or because of recurrence due to regrowth at the original site and/or metastases. Even in cancers such as breast cancer where the mortality rate has decreased, successful intervention relies on early detection of the cancerous cells. The etiology, diagnosis and ablation of cancer remain a  
25       central focus for medical research and development.

          Neoplasia resulting in benign tumors can usually be completely cured by removing the mass surgically. If a tumor becomes malignant, as manifested by invasion of surrounding tissue, it becomes much more difficult to eradicate. Once a malignant tumor metastasizes, it is much less likely to be eradicated.

The three major cancers, in terms of morbidity and mortality, are colon, breast and lung. New surgical procedures offer an increased survival rate for colon cancer. Improved screening methods increase the detection of breast cancer, allowing earlier, less aggressive therapy. Numerous studies have shown that early detection increases survival and treatment options. Lung cancer remains largely refractory to treatment.

Excluding basal cell carcinoma, there are over one million new cases of cancer per year in the United States alone, and cancer accounts for over one half million deaths per year in this country. In the world as a whole, the five most common cancers are those of lung, stomach, breast, colon/rectum, and uterine cervix, and the total number of new cases per year is over 6 million. Only about half the number of people who develop cancer die of it.

Melanoma is one of the human diseases for which there is an acute need of new therapeutic modalities. It is a particularly aggressive form of skin cancer, and occurs in increased frequency in individuals with regular unguarded sun exposure. In the early disease phases, melanoma is characterized by proliferation at the dermal-epidermal junction, which soon invades adjacent tissue and metastasizes widely. Once it has metastasized, it is often impossible to extirpate and is consequently fatal. Worldwide, 70,000 patients are diagnosed with melanoma and it is responsible for 25,000 reported deaths each year. The American Cancer Society projects that by the year 2000, 1 out of every 75 Americans will be diagnosed with melanoma.

Neuroblastoma is a highly malignant tumor occurring during infancy and early childhood. Except for Wilm's tumor, it is the most common retroperitoneal tumor in children. This tumor metastasizes early, with widespread involvement of lymph nodes, liver, bone, lung, and marrow. While the primary tumor is resolvable by resection, the recurrence rate is high.

An estimated 178,100 new cases of lung cancer will be diagnosed in 1997, accounting for 13% of cancer diagnoses. An estimated 160,400 deaths due to lung cancer will occur in 1997 accounting for 29% of all cancer deaths. The one year survival rates for lung cancer have increased from 32% in 1973 to 41% in 1993, largely due to improvements in surgical techniques. The 5 year survival rate for all stages combined is



only 14%. The survival rate is 48% for cases detected when the disease is still localized, but only 15% of lung cancers are discovered that early.

Small cell lung cancer is the most malignant and fastest growing form of lung cancer and accounts for 20-25% of new cases of lung cancer. 60,000 cases will be diagnosed in the U.S. in 1996. The primary tumor is generally responsive to chemotherapy, but is followed by wide-spread metastasis. The median survival time at diagnosis is approximately 1 year, with a 5 year survival rate of 5-10%.

Breast cancer is one of the most common cancers and is the third leading cause of death from cancers in the United States with an annual incidence of about 180,200 new cases among women in the United States during 1997. About 1,400 new cases of breast cancer will be diagnosed in men in 1997. In industrialized nations, approximately one in eight women can expect to develop breast cancer. The overall mortality rate for breast cancer has remained unchanged since 1930. It has increased an average of 0.2% per year, but decreased in women under 65 years of age by an average of 0.3% per year.

Preliminary data suggest that breast cancer mortality may be beginning to decrease, probably as a result of increased diagnoses of localized cancer and carcinoma *in situ*. See e.g., Marchant (1994) Contemporary Management of Breast Disease II: Breast Cancer, in: *Obstetrics and Gynecology Clinics of North America* 21:555-560; and Colditz (1993) *Cancer Suppl.* 71:1480-1489. An estimated 44,190 deaths (43,900 women, 290 men) in 1997 will occur due to breast cancer. In women, it is the second major cause of cancer death after lung cancer. The five-year survival rate for localized breast cancer has increased from 72% in the 1940s to 97% today. If the cancer has spread regionally, however, the rate is 76%, and for women with distant metastases the rate is 20%. Survival after a diagnosis of breast cancer continues to decline beyond five years. Sixty-five percent of women diagnosed with breast cancer survive 10 years and 56% survive 15 years.

Non-Hodgkin's B cell lymphomas are cancers of the immune system that are expected to afflict approximately 225,000 patients in the United States in 1996. These cancers are diverse with respect to prognosis and treatment, and are generally classified into one of three grades. The median survival of the lowest grade is 6.6 years and the

higher grade cancers have much lower life expectancy. Virtually all non-Hodgkin's B cell lymphomas are incurable. New diagnoses of non-Hodgkins lymphomas have increased approximately 7% annually over the past decade, with 52,700 new diagnoses estimated for 1996. The increase is due in part to the increasing prevalence of lymphomas in the AIDS patient population.

Colon and rectal cancer will account for an estimated 131,200 cases in 1997, including 94,100 of colon cancer and 37,100 of rectal cancer. Colorectal cancers account for about 9% of new cancer diagnoses. An estimated 54,900 deaths due to colorectal cancer will occur in 1997, accounting for about 10% of cancer deaths. Mortality rates for colorectal cancer have fallen 32% for women and 14% for men during the past 20 years, reflecting decreasing incidence rates and increasing survival rates. However, the mortality rate in African American men continues to rise. The 1 and 5 year relative survival rates for patients with colon and rectal cancer are 82% and 61%, respectively. When colorectal cancers are detected in an early, localized stage, the 5 year survival rate is 91%; however, only 37% of colorectal cancers are discovered at that stage. After the cancer has spread regionally to involve adjacent organs or lymph nodes, the rate drops to 63%. Survival rates for persons with distant metastases is 7%. Survival continues to decline beyond 5 years, and 50% survive 10 years.

In spite of the difficulties, effective cures using anticancer drugs (alone or in combination with other treatments) have been devised for some formerly highly lethal cancers. Most notable among these are Hodgkin's lymphoma, testicular cancer, choriocarcinoma, and some leukemias and other cancers of childhood. For several of the more common cancers, early diagnosis, appropriate surgery or local radiotherapy enables a large proportion of patients to recover.

Current methods of cancer treatment are relatively non-selective. Surgery removes the diseased tissue, radiotherapy shrinks solid tumors and chemotherapy kills rapidly dividing cells. Chemotherapy, in particular, results in numerous side effects, in some cases so severe to preclude the use of potentially effective drugs. Moreover, cancers often develop resistance to chemotherapeutic drugs.

Numerous efforts are being made to enhance the specificity of cancer therapy. For review, see Kohn and Liotta (1995) *Cancer Res.* 55:1856-1862. In particular, identification of cell surface antigens expressed exclusively or preferentially on certain tumors allows the formulation of more selective treatment strategies. Antibodies directed to these antigens have been used in immunotherapy of several types of cancer.

The basic immunoglobulin (Ig) structural unit in vertebrate systems is composed of two identical light ("L") polypeptide chains (approximately 23 kDa), and two identical heavy ("H") chains (approximately 53 to 70 kDa). The four chains are joined by disulfide bonds in a "Y" configuration. At the base of the Y, the two H chains are bound by covalent disulfide linkages.

Figure 1 shows a schematic of an antibody structure. The L and H chains are each composed of a variable (V) region at the N-terminus, and a constant (C) region at the C-terminus. In the L chain, the V region (termed " $V_L J_L$ ") is composed of a V ( $V_L$ ) region connected through the joining ( $J_L$ ) region to the C region ( $C_L$ ). In the H chain, the V region ( $V_H D_H J_H$ ) is composed of a variable ( $V_H$ ) region linked through a combination of the diversity ( $D_H$ ) region and the joining ( $J_H$ ) region to the C region ( $C_H$ ). The  $V_L J_L$  and  $V_H D_H J_H$  regions of the L and H chains, respectively, are associated at the tips of the Y to form the antigen binding portion and determine antigen binding specificity.

The ( $C_H$ ) region defines the isotype, *i.e.*, the class or subclass of antibody. Antibodies of different isotypes differ significantly in their effector functions, such as the ability to activate complement, bind to specific receptors (e.g., Fc receptors) present on a wide variety of cell types, cross mucosal and placental barriers, and form polymers of the basic four-chain IgG molecule.

Antibodies are categorized into "classes" according to the  $C_H$  type utilized in the immunoglobulin molecule (IgM, IgG, IgD, IgE, or IgA). There are at least five types of  $C_H$  genes ( $C\mu$ ,  $C\gamma$ ,  $C\delta$ ,  $C\epsilon$ , and  $C\alpha$ ), and some species have multiple  $C_H$  subtypes (e.g.,  $C\gamma_1$ ,  $C\gamma_2$ ,  $C\gamma_3$ , and  $C\gamma_4$ , in humans). There are a total of nine  $C_H$  genes in the haploid genome of humans, eight in mouse and rat, and several fewer in many other species. In contrast, there are normally only two types of L chain C regions ( $C_L$ ), kappa ( $\kappa$ ) and lambda ( $\lambda$ ), and only one of these C regions is present in a single L chain protein (*i.e.*,

there is only one possible L chain C region for every  $V_L J_L$  produced). Each H chain class can be associated with either of the L chain classes (e.g., a  $C_H\gamma$  region can be present in the same antibody as either a  $\kappa$  or  $\lambda$  L chain), although the C regions of the H and L chains within a particular class do not vary with antigen specificity (e.g., an IgG antibody always has a  $C\gamma$  H chain C region regardless of the antigen specificity).

Each of the V, D, J, and C regions of the H and L chains are encoded by distinct genomic sequences. Antibody diversity is generated by recombination between the different  $V_H$ ,  $D_H$ , and  $J_H$  gene segments in the H chain, and  $V_L$  and  $J_L$  gene segments in the L chain. The recombination of the different  $V_H$ ,  $D_H$ , and  $J_H$  genes is accomplished by DNA recombination during B cell differentiation. Briefly, the H chain sequence recombines first to generate a  $D_H J_H$  complex, and then a second recombinatorial event produces a  $V_H D_H J_H$  complex. A functional H chain is produced upon transcription followed by splicing of the RNA transcript. Production of a functional H chain triggers recombination in the L chain sequences to produce a rearranged  $V_L J_L$  region which in turn forms a functional  $V_L J_L C_L$  region, i.e., the functional L chain.

The value and potential of antibodies as diagnostic and therapeutic reagents has been long-recognized in the art. Unfortunately, the field has been hampered by the slow, tedious processes required to produce large quantities of an antibody of a desired specificity. The classical cell fusion techniques allowed for efficient production of Mabs by fusing the B cell producing the antibody with an immortalized cell line. The resulting cell line is a hybridoma cell line.

Antibodies and functional derivatives thereof have been used in a variety of clinical settings. For instance, digoxin-specific Fab antibody fragments were used to treat life-threatening digitalis intoxication. Antibodies are becoming more routinely useful in diagnostic techniques such as radioimmune diagnosis of colon cancers. Koda et al. (1995) *Am. J. Gastroenterol.* 90:1644. A number of uses of Mabs, previously thought to be untenable, have recently been put into practice. For instance, see Hall (1995) *Science* 279:915-916.

A number of autoantibodies (antibodies that recognize and bind to self antigens) are found in humans. Many of these are associated with particular diseases such as

rheumatoid arthritis, systemic lupus erythematosus, myasthenia gravis, primary biliary cirrhosis, polymyositis, systemic vasculitis, idiopathic necrotizing and crescentic glomerulonephritis and amyotrophic lateral sclerosis. For review, see Shattner (1986/1987) *Immunol. Lett.* 14:143-153. Other autoantibodies are naturally-occurring. Lutz and Wipp (1982) *J. Immunol.* 128:1965; and Guilbert et al. (1982) *J. Immunol.* 128:2779-2787. Recently, human autoantibodies to specific cancer antigens have been detected and, in some cases, are being produced by hybridoma technology. These antibodies have also been produced by active immunization. United States Patent No. 5,474,755. Originally, the human B cells were immortalized using Epstein-Barr Virus or mouse myelomas. For review, see Buck et al. (1984) "Monoclonal Antibodies" NY, Plenum Press. More recent techniques have allowed immortalization without the use of this potentially harmful virus. See, e.g., U.S. Patent No. 4,618,477; and Glassy (1987) *Cancer Res.* 47:5181-5188. In most instances, the antibodies are specific for one, or in some instances, a few, cancer types. For instance, a Mab has been described that specifically recognizes glioma cells but no other tumor or normal cells. These antibodies were used to image the glioma in the patient's brain. Fischer et al. (1991) *Immunobiol. Prot. Pep. VI* (M. Atassi, ed.) Plenum Press, NY. pp. 263-270. No antibody has been described that is capable of recognizing a wide range of tumors while failing to recognize, or only poorly recognize, normal, non-cancerous cells.

Recombinant genetic techniques have allowed cloning and expression of antibodies, functional fragments thereof and the antigens recognized. These engineered antibodies provide novel methods of production and treatment modalities. For instance, functional immunoglobulin fragments have been expressed in bacteria and transgenic tobacco seeds and plants. Skerra (1993) *Curr. Opin. Immunol.* 5:256-262; Fiedler and Conrad (1995) *Bio/Technology* 13:1090-1093; Zhang et al. (1993) *Cancer Res.* 55:3384-3591; Ma et al. (1995) *Science* 268:916; and, for a review of synthetic antibodies, see Barbas (1995) *Nature Med.* 1:836-839.

Several human Mabs against tumor associated antigens have been produced and characterized. The tumor associated antigens recognized by human Mabs include cell surface, cytoplasmic and nuclear antigens. Yoshikawa et al. (1989) *Jpn. J. Cancer Res.*

(Gann) 80:546-553; Yamaguchi et al. (1987) *Proc. Natl. Acad. Sci. USA* 84:2416-2420; Haspel et al. (1985) *Cancer Res.* 45:3951-3961; Cote et al. (1986) *Proc. Natl. Acad. Sci. USA* 83:2959-2963; Glassy (1987) *Cancer Res.* 47:5181-5188; Borup-Christensen et al. (1987) *Cancer Detect. Prevent. Suppl.* 1:207-215; Haspel et al. (1985) *Cancer Res.* 45:3951-3961; Kan-Mitchell et al. (1989) *Cancer Res.* 49:4536-4541; Yoshikawa et al. (1986) *Jpn. J. Cancer Res.* 77:1122-1133; and McKnight et al. (1990) *Human Antibod. Hybridomas* 1:125-129.

Human Mabs have been used in cancer imaging, diagnosis and therapy. Olsson (1985) *J. Nat. Cancer Inst.* 75:397-404; Larrick and Bourla (1986) *J. Biol. Resp. Mod.* 5:379-393; McCabe et al. (1988) *Cancer Res.* 48:4348-4353; Research News (1993) *Science* 262:841; Ditzel et al. (1994) *Cancer* 73:858-863; and Alonso (1991) *Am. J. Clin. Oncol.* 4:463-471. A recombinant single chain bispecific antibody has been reported that has high tumor cell toxicity. This molecule recognizes both the CD3 antigen of human T cells and EpCAM, which is associated with disseminated tumor cells in patients with minimal residual colorectal cancer. Mack et al. (1995) *Proc. Natl. Acad. Sci. USA* 92:7021-7025.

Several murine monoclonal anti-GD2 antibodies were reported to suppress the growth of tumors of neuroectodermal origin in athymic (nu/nu) mice or cause remission in patients with metastatic melanoma. A human-mouse chimeric anti-GD2 antibody caused remission in patients with metastatic neuroblastoma. The mechanism of action of the antibodies is thought to involve antibody dependent cellular cytotoxicity (ADCC) or complement-mediated cytotoxicity (CMC). Clinical responses have been obtained by treating melanoma with Mabs against GM2, GD2 and GD3. Cheresch et al. (1985) *Proc. Natl. Acad. Sci. USA* 82:5155-5159. Active immunization with a ganglioside vaccine comprising GM2 produced anti-GM2 antibodies in 50/58 patients, who survived longer on average than patients without detectable anti-GM2 antibody.

Mabs to GD2 have also been found to react specifically with small cell lung carcinoma. Cheresch et al. (1986) *Cancer Res.* 46:5112-5118. Human Mabs specific for other cancers including lung, melanoma, stomach, squamous cell carcinoma, cervical carcinoma, and mammary carcinoma have also been produced. Murakami (1985) *in Vitro*

*Cell. Dev. Biol.* 21:593; Schadendorf (1989) *J. Immunol.* 142:1621-1625; Yoshikawa et al. (1986) *Jpn. J. Cancer Res.* 77:1122-1133; Pickering and Misra (1984) *Clin. Immunol. Immunopathol.* 32:253-260; Hagiwara and Sato (1983) *Mol. Biol. Med.* 1:245-252; and Schlom et al. (1980) *Proc. Natl. Acad. Sci. USA* 77:6841-6845. Human anti-cancer Mabs and the antigens they recognize have also been suggested for use in vaccines. See, e.g. Finn et al. (1995) *Immunol. Rev.* 145:61-89. A human Mab to malignant brain tumors was used in a phase I clinical trial without adverse side effects. Matsumoto et al. (1994) *The Clinical Report* 28:118-126. Phase II trial results have been reported on combined treatment with murine Mab and colony stimulating factor in metastatic gastrointestinal cancer. Saleh et al. (1995) *Cancer Res.* 55:4339-4346. A single chain immunotoxin has also been found to cure carcinomatous meningitis in a rat model. Pastan et al. (1995) *Proc. Natl. Acad. Sci. USA* 92:2765-2769. Human Mabs that specifically recognize ovarian cancer cells have been shown to effectively image this cancer. Chaudhuri et al. (1994) *Cancer* 73: 1098-1104.

If there were a simple and reliable strategy for providing immune reactivity against an antigen common to these cancers rather than cancer-specific immunity, the clinical prospects of cancers in general would improve. All references cited herein are hereby incorporated by reference in their entirety.

#### DISCLOSURE OF THE INVENTION

This invention encompasses compositions containing antigen binding fragments of an antibody where the antibody specifically recognizes the antigen recognized by an antibody comprising a H chain V region having the amino acid sequence of SEQ ID NO:2 and a L chain V region having the amino acid sequence of SEQ ID NO:4. Preferably, the antibody is H11. The invention further encompasses antibodies comprising the H and L chain V regions of H11 (SEQ ID NOS:2 and 4, respectively). H11 specifically recognizes cancer cells from a wide variety of cancers but does not recognize normal, non-cancerous cells. By "does not recognize" is meant that noncancer cells are either not specifically bound to by H11 or are only poorly recognized by the antibody. The antibodies are designated  $\alpha$ C and include H11 and any antibody with the "immunologic specificity" of

H11, that is, recognizing the antigen recognized by H11, and that is specific for at least one type of cancer cell but does not recognize normal cells. These antigen binding fragments include, but are not limited to, whole native antibodies, exemplified by H11; bispecific antibodies; chimeric antibodies; Fab, Fab', single chain V region fragments (scFv) and fusion polypeptides.

The invention further encompasses H11 antibody fusion molecules comprising a polypeptide region with an antigenic, therapeutic, toxic or labeling molecule attached to the H chain C region, a single-chain  $V_H-V_L$  or  $V_L-V_H$  V region, and polynucleotides encoding such polypeptides.

Also embodied in the invention are polypeptides having the immunologic specificity of H11, wherein the polypeptide comprises at least 5 consecutive amino acids from a V region of an  $\alpha C$  antibody. The V region may be from a L chain or H chain. The 5 consecutive amino acids preferably play a role in immunologic specificity, and may be from a CDR (Complementarity Determining Region of an antibody). Intact H11, functionally active fragments of H11, fusion proteins, chimeric antibodies, multiple antigen proteins, and other polypeptide derivatives of  $\alpha C$  antibodies are included. Of special interest are single-chain V regions and fusion proteins.

The compounds and compositions of this invention may be used inter alia for detecting or treating a cancer; including therapy of such cancer, and prophylactic care, particularly for decreasing the risk of recurrence.

The invention further embodies cells and cell lines producing the  $\alpha C$  antigen binding fragments.

Another embodiment of this invention is a polynucleotide comprising a sequence encoding a polypeptide with the immunologic specificity of H11, wherein the encoded polypeptide comprises at least 5 consecutive amino acids from a V region of H11. The V region may be from either the H11 L chain or H chain. The 5 consecutive amino acids preferably play a role in H11 immunologic reactivity, and may be from a CDR. The V region of H11 has been found to have a small region of homology to an antibody designated A6. Peptides comprised solely of this region of homology and lacking other



H11-specific amino acid residues are specifically excluded from the claimed invention. A6 is described in WO953574.

The invention also encompasses isolated polynucleotides of at least 20 consecutive nucleotides capable of forming a stable duplex with the H11 L or H chain encoding sequences, but not with sequences for other previously described immunoglobulin molecules. Any of these polynucleotides may be in the form of cloning vectors, expression vectors, or transfected into host cells.

A further embodiment of this invention comprises prophylactic treatment of a cancer patient with at least one  $\alpha$ C antigen binding fragment. Preferably,  $\alpha$ C is fused to a therapeutic molecule to effect delivery of the therapeutic molecule to the cancer cell. The individual may have a clinically detectable tumor, or the tumor may have been previously treated and rendered undetectable. The method may be for palliating the disease, or for reducing the risk of recurrence.

A further embodiment of the invention is a kit for detection or quantitation of the antigen recognized by  $\alpha$ C (hereinafter, the "C-antigen") in a sample, comprising H11 or a polypeptide of this invention in suitable packaging. Also embodied by the invention are methods for detecting the C-antigen or cells expressing the C-antigen by employing a reagent or kit embodied in this invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a schematic of the general antibody structure.

Figure 2 depicts flow cytometric analysis of cells recognized by H11.

Figure 3 depicts flow cytometric analysis of cells recognized by H11.

Figure 4 depicts flow cytometric analysis of cells recognized by H11. A is A-375 (melanoma), B is SKMG-1 (glioma), C is SK-BR-3 (breast adenocarcinoma), D is HT-29 (colon adenocarcinoma), E is MB-468 (breast carcinoma), and F is T47D (breast carcinoma).

Figure 5 depicts binding of H11 to tumor cell extracts. The light bars represent H11 and the dark bars represent control antibody.

Figure 6 depicts binding of H11 to tumor cell extracts.

Figure 7 depicts binding of H11 to human tumor cell lines by cell-fixed ELISA. The light bars are H11 IgM and the dark bars are control IgM.

Figure 8 depicts a schematic of the expression vector pSJF1.

Figure 9 depicts the determination of the antigenic similarities between Mab H11 and H11-scFv by cell fixed ELISA. Reactivity was determined by rabbit antibody to H11-scFv.

Figure 10 depicts the relative fluorescence intensity of biotinylated H11-scFv (thick line) and BGA scFv (thin line) to lymphoma cells.

Figure 11 depicts the titration of the reactivity of biotinylated H11-scFv for the binding to lymphoma cells, RAMOS, Daudi, CA-46 and CCRF-CEM cells as determined by cell fixed ELISA. The antibody concentrations decrease from an initial 10 µg/mL (open bar) to 5 µg/mL, then 2.5 µg/mL and finally, 1.25 µg/mL (doubly cross-hatched line).

Figure 12 depicts the relative fluorescence intensity of H11-scFv and control scFv binding to tumor cell lines. A is A-375 (melanoma), B is SK-BR-3 (breast adenocarcinoma), C is HT-29 (colon adenocarcinoma), D is CA-46 (Burkitt's lymphoma), E is RAMOS (Burkitt's lymphoma), F is H9 (T cell lymphoma), and G is CCRF-CEM (acute lymphoblastoid lymphoma).

Figure 13 depicts the binding of  $^{125}\text{I}$ -H11-scFv to LS174T cells.

Figure 14 depicts the binding of  $^{111}\text{I}$ -H11-scFv to A375.

Figure 15 depicts the mammalian expression vector pNB2 used to transfect and express recombinant H11-IgG.

Figure 16 depicts the mammalian expression vector pNB3 used to transfect and express recombinant H11-IgG.

Figure 17 depicts the purification of  $^{125}\text{I}$ -H11-scFv on P-2 minicolumn (A) and analysis of  $^{125}\text{I}$ -H11-scFv by paper chromatography in 85% methanol (B).

Figure 18 depicts the purification of  $^{125}\text{I}$ -H11 IgM on a Sephadex G-25 minicolumn (A) and analysis of  $^{125}\text{I}$ -H11 IgM by paper chromatography in 85% methanol (B).

Figure 19 depicts the purification of  $^{111}\text{In}$ -DTPA-H11-scFv on Sephadex G-50 minicolumn (A) and analysis of  $^{111}\text{In}$ -DTPA-H11-scFv by ITLC-SG/0.1M citrate (B).

Figure 20 depicts *in vivo* binding of  $^{111}\text{In}$ -H11-scFv to A375 xenograft tumors in nude mice.

#### BEST MODE FOR CARRYING OUT THE INVENTION

5 This invention encompasses antigen binding fragments exemplified by a newly identified human Mab that recognizes specifically cancerous cells. This specificity extends only to cancer cells, and the antibody does not recognize non-cancerous cells. The exemplary antibody is designated H11 and the variable regions are encoded by SEQ ID NOS: 1 and 4 (SEQ ID NOS:3 and 6 being the complementary strands of 1 and 4,  
10 respectively) and recognizes the antigen designated "C-antigen." The specificity of H11 includes, but is not limited to, glioblastoma, neuroblastoma, malignant melanoma, breast adenocarcinoma, lung adenocarcinoma, small cell lung carcinoma, colon adenocarcinoma and prostate adenocarcinoma.

As shown in the examples herein, H11 and H11-scFv do not recognize non-  
15 cancerous cells from all normal tissues tested. H11 and  $\alpha\text{C}$  antigen binding fragments are therefore useful in palliating the clinical conditions related to a wide variety of cancers. The invention comprises antigen binding fragments recognizing the antigen H11 is specific for (designated C antigen). The invention further comprises polypeptide derivatives of H11 and methods for using these compositions in diagnosis, treatment, and manufacture of  
20 novel reagents. The invention further encompasses polynucleotides encoding  $\alpha\text{C}$ , H11 and derivatives thereof. Methods of use thereof are also encompassed by the invention.

The invention further encompasses  $\alpha\text{C}$  derivatives with immunologic specificity for the C-antigen. These derivatives comprise regions of the polypeptide sequence comprising part of the H11 VDJ junction. Also encompassed are regions spanning at least  
25 one, preferably 2, and more preferably 3 or more of the H11 CDR amino acid sequences.

The full sequences of the H11 L and H chain C regions have not been determined, but are expected to be identical or nearly identical to those of other human immunoglobulin molecules. Further, knowledge of the V region amino acid sequences allows subcloning with any C region. Such subcloning techniques are well known in the

art. The chimeric molecules produced by these cloning techniques are also encompassed by the invention.

Screening a commercial heptapeptide phage library with H11 IgM and scFv antibody clones has shown a very strong consensus sequence at the N-terminus having the following amino acid sequence: Phe-His-Arg-Tyr-Ser/Thr. The results are shown in Table 1.

**TABLE 1**

IgM H11 pannings

M1 Phe-His-Arg-Tyr-Ser-Leu-Pro

M2 Phe-His-Arg-Tyr-Ser-Asp-Tyr

M3 Phe-His-Arg-Tyr-Ser-Leu-Pro

M4 Phe-His-Arg-Tyr-Ser-Pro-Thr

M7 Phe-His-Arg-Tyr-Thr-Pro-Gly

M8 Phe-His-Arg-Tyr-Ser-Leu-Pro

M10 Phe-His-Arg-Tyr-Ser-Pro-Thr

scFv H11 pannings

S2 Phe-His-Arg-Tyr-Ser-Leu-Pro

S5 Met-His-Arg-Tyr-Thr-Pro-Leu

The DNA sequences use multiple codons, indicating quite different phage origins. For example, the Arg is coded by triplets CGx and AGx families. In addition, comparison of the H11 pentapeptide consensus with sequence databases showed homology to the S100 family of  $\text{Ca}^{2+}$  binding proteins. The results are shown in Table 2.

TABLE 2

H11 pentapeptide	Phe-His-Arg-Tyr-Ser/Thr
<i>S. griseus</i> protein	Phe-His-Arg-Tyr-Ser (amino acids 251-255)
Peanut stunt virus	Phe-His-Arg-Tyr-Ser (amino acids 540-544)
Human calcyclin	Phe-His-Lys-Tyr-Ser (amino acids 16-20)
Cystic Fibrosis Ag	Tyr-His-Lys-Tyr-Ser (amino acids 16-21)

The consensus pentapeptide sequences described herein are encompassed by the present invention.

Certain compounds, compositions and methods described in this application relate generally to  $\alpha$ C and derivatives thereof which are routinely generated by classical techniques of immunochemistry. This includes  $\alpha$ C which has been coupled to another compound by chemical conjugation, or by mixing with an excipient or an adjuvant. The term antigen binding fragment includes any peptide that binds to the C antigen in a cancer cell-specific manner. Typically, these derivatives include such immunoglobulin fragments as Fab, F(ab')<sub>2</sub>, Fab', scFv (both monomers and polymeric forms) and isolated H and L chains. An antigen binding fragment retains the specificity of H11, although avidity and/or affinity may be altered. Especially preferred is the H11-scFv described herein.

The antigen binding fragments (also termed "derivatives" herein) are typically generated by genetic engineering, although they may alternatively be obtained by other methods and combinations of methods. This classification includes, but is not limited to, engineered peptide fragments and fusion peptides. Preferred compounds include polypeptide fragments of the H11 CDRs, antibody fusion proteins comprising cytokine effector components, antibody fusion proteins comprising adjuvants or drugs, and single-chain V region proteins.

The invention further comprises polynucleotides encoding the H11 antibody V regions and derivatives thereof. These include isolated polynucleotide fragments, recombinant polynucleotides, and therapeutic plasmids and vectors, such as vaccinia vectors, comprising the polynucleotides. These polynucleotides are exemplified by SEQ ID NOS:1, 3, 4, 6, 13, 15, 16, and 18.

Pharmaceutical compositions and treatment modalities of this invention are suitable for eliciting an immune response against neoplasia. Human cancer patients, including, but not limited to, glioblastoma, melanoma, neuroblastoma, adenocarcinoma, glioma, soft tissue sarcoma, and various carcinomas (including small cell lung cancer) are especially appropriate subjects.

As H11 has been shown to recognize specifically a variety of carcinomas, it is particularly useful in diagnosis, imaging and treatment of carcinomas. Suitable carcinomas include any known in the field of oncology, including, but not limited to, astrocytoma, oligodendroglioma, ependymoma, medulloblastoma, primitive neural ectodermal tumor (PNET), pancreatic ductal adenocarcinoma, small and large cell lung adenocarcinomas, squamous cell carcinoma, bronchoalveolar carcinoma, epithelial adenocarcinoma, and liver metastases thereof, hepatoma, cholangiocarcinoma, breast tumors such as ductal and lobular adenocarcinoma, squamous and adenocarcinomas of the uterine cervix, uterine and ovarian epithelial carcinomas, prostatic adenocarcinomas, transitional squamous cell carcinoma of the bladder, B and T cell lymphomas (nodular and diffuse) plasmacytoma, acute and chronic leukemias, malignant melanoma, soft tissue sarcomas and leiomyosarcomas.

The subjects may have an advanced form of disease, in which case the treatment objective may include mitigation or reversal of disease progression, and amelioration of side effects. The subjects may have had a history of the condition, for which they have already been treated, in which case the objective will typically include a decrease or delay in the risk of recurrence.

Additionally, the antigen binding fragments of this invention can be used as diagnostic and imaging reagents. These applications are described in more detail in the sections that follow.

"H11" is an antibody obtained from the fusion of peripheral blood lymphocytes of a 64 year old male with a low grade glioma and fused to a human myeloma cell line to produce a hybridoma designated NBGM1/H11. The generation and characterization of H11 is described in Example 1. " $\alpha$ C" represents any antibody, or antigen binding fragment thereof, either monoclonal, polyclonal or derivative thereof that recognizes

specifically the C antigen and distinguishes between cancer and noncancer cells.  $\alpha$ C includes H11.

“Immunologic activity” of  $\alpha$ C refers to the ability to specifically bind C antigen. Such binding may or may not elicit an immune response. A specific immune response may comprise antibody, B cells, T cells, and any combination thereof, and effector functions resulting therefrom. Included are the antibody-mediated functions ADCC and complement-mediated cytotoxicity (CDC). The T cell response includes T helper cell function, cytotoxic T cell function, inflammation/inducer T cell function, and T cell mediated suppression. A compound able to elicit a specific immune response according to any of these criteria is referred to as “immunogenic.”

$\alpha$ C “activity” or “function” refers to any of the immunologic activities of  $\alpha$ C, or to any other biological activity ascribed to H11 in this disclosure, including the role of H11 in the detection, amelioration or palliation of cancer.

The “V region” of H11 refers to the V region of the H11 L chain or the V region of the H11 H chain, either alone or in combination. These V regions are depicted in SEQ ID NOS: 2 and 5; the DNA encoding these regions is depicted in SEQ ID NOS: 1 and 4, respectively.

GM-CSF, IL-2, and other biologically active molecules referred to herein are meant to include fragments and derivatives based on the respective parent molecule that have the same biologic or physiologic function.

The terms “polypeptide”, “peptide” and “protein” are used interchangeably herein to refer to polymers of amino acid residues of any length. The polymer may be linear or branched, it may comprise modified amino acids or amino acid analogs, and it may be interrupted by chemical moieties other than amino acids. The terms also encompass an amino acid polymer that has been modified naturally or by intervention; for example, disulfide bond formation, glycosylation, lipidation, acetylation, phosphorylation, or any other manipulation or modification, such as conjugation with a labeling or bioactive component. Unless stated or implied otherwise, the term  $\alpha$ C or H11 includes any polypeptide monomer or polymer with H11 immunologic specificity, including the intact  $\alpha$ C antibody, and smaller and larger functionally equivalent polypeptides.

A "fusion polypeptide" is a polypeptide comprising regions in a different position in the sequence than occurs in nature. The regions may normally exist in separate proteins and are brought together in the fusion polypeptide; they may normally exist in the same protein but are placed in a new arrangement in the fusion polypeptide; or they may be synthetically arranged. For instance, as described below, the invention encompasses recombinant proteins (and the polynucleotides encoding the proteins) that are comprised of a functional portion of  $\alpha$ C and a toxin. Methods of making these fusion proteins are known in the art and are described for instance in WO93/07286.

A "functionally equivalent fragment" of a  $\alpha$ C polypeptide varies from the native sequence by any combination of additions, deletions, or substitutions while preserving at least one functional property of the fragment relevant to the context in which it is being used. A functionally equivalent fragment of a  $\alpha$ C polynucleotide either encodes a polypeptide that is functionally equivalent to H11 when produced by an expression system, or has similar hybridization specificity as a H11 polynucleotide when used in a hybridization assay. A functionally equivalent fragment of a  $\alpha$ C polypeptide typically has one or more of the following properties: ability to bind C antigen; ability to bind at least one type of cancer cell in a specific manner; and an ability to elicit an immune response with a similar antigen specificity as that elicited by H11.

A "polynucleotide" is a polymeric form of nucleotides of any length, which contain deoxyribonucleotides, ribonucleotides, and analogs in any combination analogs. Polynucleotides may have any three-dimensional structure, and may perform any function, known or unknown. The term "polynucleotide" includes double-, single-stranded, and triple-helical molecules. Unless otherwise specified or required, any embodiment of the invention described herein that is a polynucleotide encompasses both the double-stranded form and each of two complementary single-stranded forms known or predicted to make up the double stranded form of either the DNA, RNA or hybrid molecules.

The following are non-limiting examples of polynucleotides: a gene or gene fragment, exons, introns, mRNA, tRNA, rRNA, ribozymes, cDNA, recombinant polynucleotides, branched polynucleotides, plasmids, vectors, isolated DNA of any sequence, isolated RNA of any sequence, nucleic acid probes, and primers. A



polynucleotide may comprise modified nucleotides, such as methylated nucleotides and nucleotide analogs, uracyl, other sugars and linking groups such as fluororibose and thioate, and nucleotide branches. The sequence of nucleotides may be interrupted by non-nucleotide components. A polynucleotide may be further modified after polymerization, such as by conjugation with a labeling component. Other types of modifications included in this definition are caps, substitution of one or more of the naturally occurring nucleotides with an analog, and introduction of means for attaching the polynucleotide to proteins, metal ions, labeling components, other polynucleotides, or a solid support.

The term "recombinant" polynucleotide means a polynucleotide of genomic, cDNA, semisynthetic, or synthetic origin which either does not occur in nature or is linked to another polynucleotide in a nonnatural arrangement.

A "vector" refers to a recombinant DNA or RNA plasmid or virus that comprises a heterologous polynucleotide to be delivered into a target cell, either in vitro or in vivo. The heterologous polynucleotide may comprise a sequence of interest for purposes of therapy, and may optionally be in the form of an expression cassette. As used herein, a vector need not be capable of replication in the ultimate target cell or subject. The term includes cloning vectors for the replication of a polynucleotide, and expression vectors for translation of a polynucleotide encoding sequence. Also included are viral vectors, which comprise a polynucleotide encapsidated or enveloped in a viral particle.

A "cell line" or "cell culture" denotes bacterial, plant, insect or higher eukaryotic cells grown or maintained in vitro. The descendants of a cell may not be completely identical (either morphologically, genotypically, or phenotypically) to the parent cell. A Mab may be produced by a hybridoma or other cell. Methods of making hybridomas, both murine and human, are known in the art. Particular methods of producing human hybridomas are described and referenced throughout the specification.

A "host cell" denotes a prokaryotic or eukaryotic cell that has been genetically altered, or is capable of being genetically altered by administration of an exogenous polynucleotide, such as a recombinant plasmid or vector. When referring to genetically altered cells, the term refers both to the originally altered cell, and to the progeny thereof.

“Heterologous” means derived from a genotypically distinct entity from the rest of the entity to which it is being compared. For example, a polynucleotide may be placed by genetic engineering techniques into a plasmid or vector derived from a different source, and is a heterologous polynucleotide. A promoter removed from its native coding sequence and operatively linked to a coding sequence other than the native sequence is a heterologous promoter.

A “signal peptide” or “leader sequence” is a short amino acid sequence that directs a newly synthesized protein through a cellular membrane, usually the endoplasmic reticulum in eukaryotic cells, and either the inner membrane or both inner and outer membranes of bacteria. Signal peptides are typically at the *N*-terminal portion of a polypeptide and are typically removed enzymatically between biosynthesis and secretion of the polypeptide from the cell. The signal peptide is not present in the secreted protein, only during protein production.

An “isolated” polynucleotide or polypeptide is one that is substantially free of the materials with which it is associated in its native environment. By substantially free is meant at least 50%, preferably at least 70%, more preferably at least 80%, and even more preferably at least 90% free of these materials.

A “stable duplex” of polynucleotides, or a “stable complex” formed between any two or more components in a biochemical reaction, refers to a duplex or complex that is sufficiently long-lasting to persist between the formation of the duplex or complex and subsequent detection, including any optional washing steps or other manipulation that may take place in the interim.

A “biological sample” encompasses a variety of sample types, including blood and other liquid samples of biological origin, solid tissue samples such as a biopsy specimens or tissue cultures, or cells derived therefrom and the progeny thereof. The definition also includes samples that have been manipulated in any way after their procurement, such as by treatment with reagents, solubilization, or enrichment for certain components, such as proteins or polynucleotides. The term encompasses various kinds of clinical samples obtained from any species, and also includes cells in culture, cell supernatants, and cell lysates. Particularly, for the purposes described herein, biological samples comprise tumor

tissue or tissue thought to be tumorous and are obtained for instance by surgical resection, biopsy, aspiration or any method known in the art.

An "immunogen" refers to composition for human or animal use, which is administered with the intention of conferring to the recipient a degree of specific immunologic reactivity against a particular antigen. The immunologic reactivity may be carried out by antibodies or cells (particularly B cells, plasma cells, T helper cells, and cytotoxic T lymphocytes, and their precursors) that are immunologically reactive against the target, or any combination thereof. For purposes of this invention, the target is primarily tumor-associated C antigen or a tumor-specific portion thereof. The immunologic reactivity may be desired for experimental purposes, for treatment of a particular condition, for the elimination of a particular substance, or for prophylaxis. An active immunogen is intended to elicit an immune response that persists in the absence of the vaccine components.

"Adjuvant" as used herein has several meanings, all of which will be clear depending on the context in which the term is used. In the context of a pharmaceutical preparation, an adjuvant is a chemical or biological agent given in combination with or recombinantly fused to an antigen to enhance immunogenicity of the antigen. In the context of cancer diagnosis or management, adjuvant refers to a class of cancer patients with no clinically detectable tumor mass, but who are suspected of being at risk of recurrence.

When referring to a type of cancer that normally manifests as a solid tumor, a "clinically detectable" tumor is one that is detectable on the basis of tumor mass; i.e., by such procedures as CAT scan, X-Ray, or palpation. Biochemical, histological or immunologic findings alone may be insufficient to meet this definition.

As used herein, "treatment" refers to clinical intervention in an attempt to alter the natural course of the individual or cell being treated, and may be performed either for prophylaxis or during the course of clinical pathology. Desirable effects of the treatment include preventing occurrence or recurrence of disease, alleviation of symptoms, diminishment of any direct or indirect pathological consequences of the disease,

preventing metastasis, decreasing the rate of disease progression, amelioration or palliation of the disease state, and remission or improved prognosis.

The "pathology" associated with a disease condition is any condition that compromises the well-being, normal physiology, or quality of life of the affected individual. This may involve, but is not limited to, destructive invasion of affected tissues into previously unaffected areas, growth at the expense of normal tissue function, irregular or suppressed biological activity, aggravation or suppression of an inflammatory or immunologic response, increased susceptibility to other pathogenic organisms or agents, and undesirable clinical symptoms such as pain, fever, nausea, fatigue, mood alterations, and such other features as may be determined by an attending physician.

An "effective amount" is an amount sufficient to effect a beneficial or desired clinical result. An effective amount can be administered in one or more doses. In terms of treatment, an effective amount is amount that is sufficient to palliate, ameliorate, stabilize, reverse or slow the progression of the disease, or otherwise reduce the pathological consequences of the disease. In terms of an adjuvant, an effective amount is one sufficient to enhance the immune response to the immunogen. The effective amount is generally determined by the physician on a case-by-case basis and is within the skill of one in the art. Several factors are typically taken into account when determining an appropriate dosage. These factors include age, sex and weight of the patient, the condition being treated, the severity of the condition and the form of the antibody being administered. For instance, the concentration of scFv need not be as high as that of native antibodies in order to be therapeutically effective.

An "individual", "patient" or "subject" is a vertebrate, preferably a mammal, more preferably a human. Mammals include, but are not limited to, humans, farm animals, sport animals, and pets.

The practice of the present invention employs, unless otherwise indicated, conventional techniques of molecular biology (including recombinant techniques), microbiology, cell biology, biochemistry and immunology, which are within the skill of the art. Such techniques are explained fully in the literature, such as, "Molecular Cloning: A Laboratory Manual", second edition (Sambrook et al., 1989); "Oligonucleotide

Synthesis" (M.J. Gait, ed., 1984); "Animal Cell Culture" (R.I. Freshney, ed., 1987); "Methods in Enzymology" (Academic Press, Inc.); "Handbook of Experimental Immunology" (D.M. Wei & C.C. Blackwell, eds.); "Gene Transfer Vectors for Mammalian Cells" (J.M. Miller & M.P. Calos, eds., 1987); "Current Protocols in Molecular Biology" (F.M. Ausubel et al., eds., 1987); "PCR: The Polymerase Chain Reaction", (Mullis et al., eds., 1994); "Current Protocols in Immunology" (J.E. Coligan et al., eds., 1991). These techniques are applicable to the production of the polynucleotides and polypeptides of the invention, and, as such, may be considered in making and practicing the invention. Particularly useful techniques for particular embodiments will be discussed in the sections that follow.

The invention also encompasses  $\alpha$ C conjugated to a chemically functional moiety. Typically, the moiety is a label capable of producing a detectable signal. These conjugated  $\alpha$ C are useful, for example, in detection systems such as quantitation of tumor burden, and imaging of metastatic foci and tumor imaging. Such labels are known in the art and include, but are not limited to, radioisotopes, enzymes, fluorescent compounds, chemiluminescent compounds, bioluminescent compounds substrate cofactors and inhibitors. See, for examples of patents teaching the use of such labels, U.S. Patent Nos. 3,817,837; 3,850,752; 3,939,350; 3,996,345; 4,277,437; 4,275,149; and 4,366,241. The moieties may be covalently linked to  $\alpha$ C, recombinantly linked, or conjugated to the  $\alpha$ C through a secondary reagent, such as a second antibody, protein A, or a biotin-avidin complex.

Other functional moieties include signal peptides, agents that enhance immunologic reactivity, agents that facilitate coupling to a solid support, vaccine carriers, bioresponse modifiers, paramagnetic labels and drugs. Signal peptides are described above and include prokaryotic and eukaryotic forms. Agents that enhance immunologic reactivity include, but are not limited to, bacterial superantigens. Agents that facilitate coupling to a solid support include, but are not limited to, biotin or avidin. Immunogen carriers include, but are not limited to, any physiologically acceptable buffers. Bioresponse modifiers include cytokines, particularly tumor necrosis factor (TNF), interleukin-2, interleukin-4, granulocyte macrophage colony stimulating factor and  $\gamma$  interferons.

Suitable drug moieties include antineoplastic agents. These include, but are not limited to, radioisotopes, vinca alkaloids such as the vinblastine, vincristine and vindesine sulfates, adriamycin, bleomycin sulfate, carboplatin, cisplatin, cyclophosphamide, cytarabine, dacarbazine, dactinomycin, duanorubicin hydrochloride, doxorubicin hydrochloride, etoposide, fluorouracil, lomustine, mechlorethamine hydrochloride, melphalan, mercaptopurine, methotrexate, mitomycin, mitotane, pentostatin, pipobroman, procarbaze hydrochloride, streptozotocin, taxol, thioguanine, and uracil mustard.

Immunotoxins, including single chain molecules, can be produced by recombinant means. Production of various immunotoxins is well-known in the art, and methods can be found, for example, in "Monoclonal Antibody-toxin Conjugates: Aiming the Magic Bullet," Thorpe et al. (1982) *Monoclonal Antibodies in Clinical Medicine*, Academic Press, pp. 168-190; Vitatta (1987) *Science* 238:1098-1104; and Winter and Milstein (1991) *Nature* 349:293-299. Suitable toxins include, but are not limited to, ricin, radionuclides, pokeweed antiviral protein, *Pseudomonas* exotoxin A, diphtheria toxin, ricin A chain, fungal toxins such as restrictocin and phospholipase enzymes. See, generally, "Chimeric Toxins," Olsnes and Pihl, *Pharmac. Ther.* 15:355-381 (1981); and "Monoclonal Antibodies for Cancer Detection and Therapy," eds. Baldwin and Byers, pp. 159-179, 224-266, Academic Press (1985).

The chemically functional moieties can be made recombinantly for instance by creating a fusion gene encoding the antigen binding fragment and functional regions from other genes (e.g. enzymes). In the case of gene fusions, the two components are present within the same polypeptide gene. Alternatively, the  $\alpha$ C antigen binding fragments can be chemically bonded to the moiety by any of a variety of well known chemical procedures. For example, when the moiety is a protein, the linkage may be by way of heterobifunctional cross linkers, e.g., SPDP, carbodiimide glutaraldehyde, or the like. The moieties may be covalently linked, or conjugated, through a secondary reagent, such as a second antibody, protein A, or a biotin-avidin complex. Paramagnetic moieties and the conjugation thereof to antibodies are well-known in the art. See, e.g., Miltenyi et al. (1990) *Cytometry* 11:231-238.

The  $\alpha$ C antibody of this invention can be prepared in several ways. It is most conveniently obtained from cells engineered to express an antigen binding fragment containing SEQ ID NOS:1 and 5 or other polynucleotides encoding  $\alpha$ C binding fragments. For example, the cells can be cultured in a suitable medium, and spent medium can be used  
5 as an antibody source. Optionally, matrix-coated channels or beads and cell co-cultures may be included to enhance growth of antibody-producing cells. For the production of large amounts of antibody, it is generally more convenient to obtain an ascites fluid. The method of raising ascites generally comprises injecting hybridoma cells into an immunologically naive histocompatible or immunotolerant mammal, especially a mouse.  
10 The mammal may be primed for ascites production by prior administration of a suitable composition; e.g., Pristane.

Alternatively,  $\alpha$ C can be chemically synthesized using sequence data and other information provided in this disclosure, in conjunction with standard methods of protein synthesis. A suitable method is the solid-phase Merrifield technique. Automated peptide  
15 synthesizers are commercially available, such as those manufactured by Applied Biosystems, Inc. (Foster City, CA).

$\alpha$ C may also be obtained by employing routine recombinant methods such as described in Sambrook et al. (1989). For instance, using the amino acid and polynucleotide (SEQ ID NOS:1-6, and 13-18) sequences and information provided herein,  
20 a polynucleotide encoding either the  $\alpha$ C H or L chain can be cloned into a suitable expression vector (which contains control sequences for transcription, such as a promoter). The expression vector is in turn introduced into a host cell. The host cell is grown under suitable conditions such that the polynucleotide is transcribed and translated into a protein. H and L chains of  $\alpha$ C may be produced separately, and then combined by disulfide bond  
25 rearrangement. Alternatively, vectors with separate polynucleotides encoding each chain of  $\alpha$ C, or a vector with a single polynucleotide encoding both chains as separate transcripts, may be transfected into a single host cell which may then produce and assemble the entire molecule. Preferably, the host cell is derived from a higher eukaryote that can provide the normal carbohydrate complement of the molecule. The  $\alpha$ C thus  
30 produced can be purified using standard techniques in the art. Polynucleotides encoding

$\alpha$ C for use in the production of  $\alpha$ C can in turn be obtained from a hybridoma producing a  $\alpha$ C antibody, or produced synthetically or recombinantly from the DNA sequences provided herein.

Another method of obtaining  $\alpha$ C is to immunize suitable host animals with C antigen and to follow standard procedures for polyclonal or Mab production. Mabs thus produced can be "humanized" by methods known in the art. Examples of humanized antibodies are provided, for instance, in United States Patent Nos. 5,530,101 and 5,585,089.

"Humanized" antibodies are antibodies in which at least part of the sequence has been altered from its initial form to render it more like human immunoglobulins. In one version, the H chain and L chain C regions are replaced with human sequence. This is a fusion polypeptide comprising a H11 V region and a heterologous immunoglobulin C region. In another version, the CDR regions comprise H11 amino acid sequences, while the V framework regions have also been converted human sequences. See, for example, EP 0329400. In a third version, V regions are humanized by designing consensus sequences of human and mouse V regions, and converting residues outside the CDRs that are different between the consensus sequences. The invention encompasses humanized Mabs.

In making humanized antibodies, the choice of framework residues can be critical in retaining high binding affinity. In principle, a framework sequence from any HuAb can serve as the template for CDR grafting; however, it has been demonstrated that straight CDR replacement into such a framework can lead to significant loss of binding affinity to the antigen. Glaser et al. (1992) *J. Immunol.* 149:2606; Tempest et al. (1992) *Biotechnology* 9:266; and Shalaby et al. (1992) *J. Exp. Med.* 17:217. The more homologous a HuAb is to the original muAb, the less likely that the human framework will introduce distortions into the murine CDRs that could reduce affinity. Based on a sequence homology search against an antibody sequence database, the HuAb IC4 provides good framework homology to muM4TS.22, although other highly homologous HuAbs would be suitable as well, especially kappa L chains from human subgroup I or H chains from human subgroup III. Kabat et al. (1987). Various computer programs such as



ENCAD (Levitt et al. (1983) *J. Mol. Biol.* 168:595) are available to predict the ideal sequence for the V region. The invention thus encompasses HuAbs with different V regions. It is within the skill of one in the art to determine suitable V region sequences and to optimize these sequences. Methods for obtaining antibodies with reduced immunogenicity are also described in U.S. Patent No. 5,270,202 and EP 699,755.

Methods of antibody production and isolation are well known in the art. See, for example, Harlow and Lane (1988) *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory, New York. The H11 antibody is a human immunoglobulin of the IgM subclass, and may be isolated by any technique suitable for immunoglobulins of this isotype. Purification methods may include salt precipitation (for example, with ammonium sulfate), ion exchange chromatography (for example, on a cationic or anionic exchange column run at neutral pH and eluted with step gradients of increasing ionic strength), gel filtration chromatography (including gel filtration HPLC), and chromatography on affinity resins such as protein A, protein G, hydroxyapatite, and anti-immunoglobulin. H11 may also be purified on affinity columns comprising the C antigen; for example, in the form of a purified Ab1 or Ab3. Preferably, H11 is purified using Protein-A-CL-Sepharose™ 4B chromatography followed by chromatography on a DEAE-Sepharose™ 4B ion exchange column.

The invention also encompasses hybrid antibodies, in which one pair of H and L chains is obtained from a first antibody, while the other pair of H and L chains is obtained from a different second antibody. For purposes of this invention, one pair of L and H chains is from  $\alpha$ C. In one example, each L-H chain pair binds different epitopes of the C antigen. Such hybrids may also be formed using humanized H or L chains.

Another  $\alpha$ C contemplated by this invention is an antibody in which the H or L chain has been modified to provide additional properties. For instance, a change in amino acid sequence can result in reduced immunogenicity of the resultant polypeptide. The changes range from changing of one or more amino acids to the complete redesign of a region such as a C region domain. Typical changes include, but are not limited to, those related to complement fixation, interaction with membrane receptors, and other effector functions. A recombinant antibody may also be designed to aid the specific delivery of a

substance (such as a cytokine) to a tumor cell. Also encompassed by the invention are peptides in which various immunoglobulin domains have been placed in an order other than that which occurs in nature.

If  $\alpha$ C is to be administered to an individual, it is preferably at least 80% pure, more preferably it is at least 90% pure, even more preferably it is at least 95% pure and free of pyrogens and other contaminants. In this context, the percent purity is calculated as a weight percent of the total protein content of the preparation, and does not include constituents which are deliberately added to the composition after the  $\alpha$ C is purified.

The  $\alpha$ C antibodies may be used for a number of purposes. These include eliciting an antibody response to produce  $\alpha\alpha$ C which can then be used to elicit a T cell response to  $\alpha$ C or the C antigen and treating various types of cancer. These uses are elaborated more fully in a later section.

The invention encompasses polypeptide fragments of  $\alpha$ C containing at least a portion of a V region of  $\alpha$ C. Preferred fragments are those with the immunologic activity of H11. Also preferred are fragments which comprise amino acid sequences substantially different from other immunoglobulins, and fragments comprising a CDR. In one embodiment, the invention includes a polypeptide fragment of the  $\alpha$ C H chain V region, comprising at least 25 consecutive amino acids, more preferably 30 consecutive amino acids of SEQ ID NO:2, or 5 consecutive amino acids of the CDR1 thereof, or at least 7 consecutive amino acids, preferably at least 9 consecutive amino acids of the CDR2 or CDR3 thereof. The invention also includes a polypeptide fragment of the  $\alpha$ C L chain V region, comprising at least 25 consecutive amino acids, more preferably 30 consecutive amino acids of SEQ ID NO:5, or 7 consecutive amino acids of the CDR2 thereof, or at least 8 consecutive amino acids, preferably 10 consecutive amino acids of the CDR1 or CDR3 thereof.

The size of the  $\alpha$ C polypeptides can be only the minimum size required to provide a desired function. The polypeptides can optionally comprise additional sequence, either native to  $\alpha$ C, or from a heterologous source, as desired.  $\alpha$ C peptides can contain only 5 consecutive amino acids from a H11 V region sequence that are not the same as the homologous region of A6. Polypeptides comprising 7 amino acids, more preferably about

10 amino acids, more preferably about 15 amino acids, more preferably about 25 amino acids, more preferably about 50 amino acids, more preferably about 75 amino acids from the  $\alpha$ C L or H chain V region are also included. Even more preferred are polypeptides comprising the entire  $\alpha$ C L or H chain V region. Preferably the polypeptides are derived from H11. Preferably, the polypeptides are the scFvs depicted in SEC ID NOS:14 and 17.

The invention includes modified  $\alpha$ C polypeptides which are functionally equivalent to H11, or have altered but measurable H11 immunologic activity. Modified polypeptides with improved H11 immunologic activity are preferred. Examples of modified polypeptides include those with conservative substitutions of amino acid residues, and one or more deletions or additions of amino acids which do not significantly deleteriously alter the immunologic activity.

One example of this is H11 polypeptides comprising one or more amino acid substitution in comparison with the prototype H11 sequence. Substitutions can range from changing or modifying one or more amino acid residues to complete redesign of a region, such as the V region. Amino acid substitutions, if present, are preferably conservative substitutions that do not deleteriously affect folding or functional properties of the peptide. Groups of functionally related amino acids within which conservative substitutions can be made are glycine/alanine; valine/isoleucine/leucine; asparagine/glutamine; aspartic acid/glutamic acid; serine/threonine/methionine; lysine/arginine; and phenylalanine/tyrosine/tryptophan. Polypeptides of this invention can be in glycosylated or unglycosylated form, can be modified post-translationally (e.g., acetylation, and phosphorylation) or can be modified synthetically (e.g., the attachment of a labeling group).

H11 polypeptide derivatives comprising both a H11 L chain and a H11 H chain can be formed as separate L and H chains and then assembled, or assembled *in situ* by an expression system for both chains. Such expression systems can be created by transfecting a suitable cell with a plasmid comprising separate transcribable regions for the L and H chain, or by co-transfecting the same cell with plasmids for each chain. In a third method, a suitable plasmid with a H chain encoding region is transfected into a H chain loss mutant.

H chain loss mutants can be obtained by treating approximately  $2 \times 10^7$  H11 producing cells with fluorescein-labeled rabbit anti-mouse IgG (H chain specific, DAKO Corporation, Carpinteria, CA) according to the supplier's instruction. The stained and unstained cell populations are analyzed in a fluorescence-activated cell sorter. The unstained cells are collected in a sterilized tube and placed in 96-well plates with 1 cell/well by limiting dilution. The culture supernatants are then assayed by ELISA using goat anti-mouse IgG (H chain specific) and goat anti-mouse kappa. The clones with kappa-positive and IgG-negative phenotype are subcloned at least 3 times to obtain stable H11<sup>(-H)</sup> mutants. mRNA from putative H chain loss mutant H11<sup>(-H)</sup> clones can be isolated and the sequence of the L chain V region cDNA determined. Reverse PCR of the mRNA for the H11 V<sub>H</sub> is performed with 2 sets of 5'- and 3'- primers, used for cloning of H11<sup>(-H)</sup> cDNA (Example 7). A H chain loss mutant yields no detectable DNA band. Transfection of the cells proceeds with a suitable H chain plasmid.

Another  $\alpha$ C derivative encompassed by this invention is an antibody in which the  $\alpha$ C H or L chain has been modified to provide additional properties. For instance, a change in amino acid sequence can result in greater immunogenicity of the resultant polypeptide. The changes range from changing of one or more amino acids to the complete redesign of a region such as a C region domain. Changes contemplated affect complement fixation, interaction with membrane receptors, and other effector functions. A recombinant H11 antibody can also be designed to aid the specific delivery of a substance (such as a lymphokine) to an effector cell. Also encompassed by the invention are proteins in which various immunoglobulin domains have been placed in an order other than that which occurs in nature.

The invention also encompasses single chain V region fragments ("scFv") of H11. Single chain V region fragments are made by linking L and/or H chain V regions by using a short linking peptide. Bird et al. (1988) *Science* 242:423-426. Any peptide having sufficient flexibility and length can be used as a linker in a scFv. Usually the linker is selected to have little to no immunogenicity. An example of a linking peptide is (GGGGS)<sub>3</sub>, which bridges approximately 3.5 nm between the carboxy terminus of one V region and the amino terminus of another V region. Other linker sequences can also be

used, and can provide additional functions, such as a means for attaching a drug or a solid support.

All or any portion of the H or L chain can be used in any combination. Typically, the entire V regions are included in the scFv. For instance, the L chain V region can be linked to the H chain V region. Alternatively, a portion of the L chain V region can be linked to the H chain V region, or a portion thereof. Also contemplated are scFvs in which the H chain V region is from H11, and the L chain V region is from another immunoglobulin. It is also possible to construct a biphasic, scFv in which one component is a H11 polypeptide and another component is a different polypeptide, such as a T cell epitope.

The scFvs can be assembled in any order, for example,  $V_H$ —(linker)— $V_L$  or  $V_L$ —(linker)— $V_H$ . For example, SEQ ID NOS:13 and 16 show H11-scFv2 constructs having the form  $V_L$ —(linker)— $V_H$ . However, the construct shown in SEQ ID NO:13 forms monomers, while the construct shown in SEQ ID NO:16 forms dimers. There may be a difference in the level of expression of these two configurations in particular expression systems, in which case one of these forms may be preferred. Tandem scFvs can also be made, such as (X)—(linker)—(X)—(linker)—(X), in which X are  $\alpha$ C polypeptides, or combinations of  $\alpha$ C polypeptides with other polypeptides. In another embodiment, single chain antibody polypeptides have no linker polypeptide, or just a short, inflexible linker. Exemplary configurations include  $V_L$ — $V_H$  and  $V_H$ — $V_L$ . The linkage is too short to permit interaction between  $V_L$  and  $V_H$  within the chain, and the chains form homodimers with a  $V_L/V_H$  antigen binding site at each end. Such molecules are referred to in the art as “diabodies”.

ScFvs can be produced either recombinantly or synthetically. For synthetic production of scFv, an automated synthesizer can be used. For recombinant production of scFv, a suitable plasmid containing a polynucleotide that encodes the scFv can be introduced into a suitable host cell, either eukaryotic, such as yeast, plant, insect or mammalian cells, or prokaryotic, such as *Escherichia coli*, and the protein expressed by the polynucleotide can be isolated using standard protein purification techniques.

A particularly useful system for the production of scFvs is plasmid pET-22b(+) (Novagen, Madison, WI) in *E. coli*. pET-22b(+) contains a nickel ion binding domain consisting of 6 sequential histidine residues, which allows the expressed protein to be purified on a suitable affinity resin. Another example of a suitable vector is pcDNA3 (Invitrogen, San Diego, CA), described above.

Expression conditions should ensure that the scFv assumes functional and, preferably, optimal tertiary structure. Depending on the plasmid used (especially the activity of the promoter) and the host cell, it may be necessary to modulate the rate of production. For instance, use of a weaker promoter, or expression at lower temperatures, may be necessary to optimize production of properly folded scFv in prokaryotic systems; or, it may be preferable to express scFv in eukaryotic cells.

Preferred scFv comprise at least 10 consecutive amino acids of SEQ. ID NO:2 and at least 10 consecutive amino acids of SEQ. ID NO:5, especially wherein the amino acids of SEQ. ID NO:2 and the amino acids of SEQ. ID NO:5 are joined by a linker polypeptide of 5 to 20 amino acids, or comprising the L chain V region and the H chain V region of H11.

The invention also encompasses polymeric forms of  $\alpha$ C polypeptides, containing a plurality of  $\alpha$ C polypeptides. One embodiment is a linear polymer of  $\alpha$ C polypeptides, optionally conjugated to carrier. These linear polymers can comprise multiple copies of a single  $\alpha$ C polypeptide, or combinations of different  $\alpha$ C polypeptides, and can have tandem  $\alpha$ C polypeptides, or  $\alpha$ C polypeptides separated by other amino acid sequences. Another embodiment is  $\alpha$ C multiple antigen peptides (MAPs). MAPs have a small immunologically inert core having radially branching lysine dendrites, onto which a number of  $\alpha$ C polypeptides are covalently attached. See for instance, Posnett et al. (1988) *J. Biol. Chem.* 263:1719-1725; and Tam (1989) *Meth. Enz.* 168:7-15. The result is a large macromolecule having a high molar ratio of  $\alpha$ C polypeptides to core. MAPs are efficient immunogens and useful antigens for immunoassays. The core for creating an  $\alpha$ C MAP can be made by standard peptide synthesis techniques, or obtained commercially, e.g., from Quality Controlled Biochemicals, Inc., Hopkinton, MA. A typical core matrix is made up of three levels of lysine and eight amino acids.

When using  $\alpha$ C polypeptides as immunogens, preferably the polypeptides are delivered in conjunction with a carrier. Any carrier can be used which is not harmful to the host. Suitable carriers are typically large, slowly metabolized macromolecules such as proteins; polysaccharides (such as latex functionalized Sepharose, agarose, cellulose, cellulose beads and the like); polymeric amino acids (such as polyglutamic acid, polylysine, and the like); amino acid copolymers; and inactive virus particles or attenuated bacteria, such as *Salmonella*. Especially useful carrier proteins are serum albumins, keyhole limpet hemacyanin (KLH), certain Ig molecules, thyroglobulin, ovalbumin, and tetanus toxoid. KLH is especially preferred.

$\alpha$ C polypeptides of the invention can be identified in a number of ways. For example, the V regions of the L and H chains can be screened by preparing a series of short polypeptides that together span the entire V region amino acid sequence. Using a series of polypeptides of 20 or 50 amino acids in length, each  $\alpha$ C V region can be surveyed for useful functional properties. It is also possible to carry out a computer analysis of a protein sequence to identify potentially interesting polypeptides, such as those that bear the shape of D2, or those involved in idiotype-anti-idiotype contact.

The invention further encompasses various adaptations of  $\alpha$ C described in this section combined in various fashions to yield other  $\alpha$ C polypeptides with desirable properties. For instance,  $\alpha$ C polypeptides with modified amino acid residues can be comprised in a MAP. In another example, a  $\alpha$ C scFv is fused to a cytokine, such as IL-2. All such combinations are contemplated in this invention.

The polypeptides of this invention can be made by any suitable procedure, including proteolysis of the  $\alpha$ C antibody, by recombinant methods or by chemical synthesis. These methods are known in the art and need not be described in detail herein. Examples of proteolytic enzymes include, but are not limited to, trypsin, chymotrypsin, pepsin, papain, V8 protease, subtilisin, plasmin, and thrombin. Intact  $\alpha$ C can be incubated with one or more proteinases simultaneously or sequentially. Alternatively, or in addition, intact antibody can be treated with disulfide reducing agents. Peptides can then be separated from each other by techniques known in the art including, but not limited to, gel filtration chromatography, gel electrophoresis, and reverse-phase HPLC.

$\alpha$ C polypeptides can also be made by expression from a polynucleotide encoding the peptide according to the information provided elsewhere in this application, in a suitable expression system. Typically, polynucleotides encoding a  $\alpha$ C polypeptide are ligated into an expression vector under control of a suitable promoter and used to

5 genetically alter the intended host cell. Both eukaryotic and prokaryotic host systems can be used. The polypeptide is then isolated from lysed cells or from the culture medium and purified to the extent needed for its intended use. Examples of prokaryotic host cells appropriate for use with this invention include *E. coli*. Examples of eukaryotic host cells include avian, insect, plant, and animal cells including, but not limited to, COS7, HeLa,

10 and CHO cells.

In certain applications, such as when a H11 polypeptide is expressed in a suitable storage medium such as a plant seed, the H11 polypeptide can be used without purification. Fiedler et al. (1995) *Biotechnology* 13:1090-1093. For most applications, it is generally preferable that the polypeptide is at least partially purified from other cellular

15 constituents. Preferably, the polypeptide is at least about 50% pure as a weight percent of total protein. More preferably, the protein is at least about 50-75% pure. For clinical use, the polypeptide is preferably at least about 80% pure.

The invention also encompasses methods of detecting C antigen in a biological sample. The methods include obtaining a biological sample, contacting the sample with

20  $\alpha$ C under conditions that allow antibody antigen binding and detecting binding, if any, of the antibody to the antigen.

The invention also encompasses methods of detecting anti-H11 or anti- $\alpha$ C in a biological sample. Anti- $\alpha$ C is detectable whenever it cross-reacts with H11. Anti- $\alpha$ C with this activity can spontaneously arise during the course of a tumor-associated disease. Anti-

25  $\alpha$ C with this activity is especially likely in individuals who have received a course of therapy with  $\alpha$ C. These methods are applicable in a clinical setting, for example, for monitoring antibody levels in an individual, as well as an industrial setting, as in commercial production of anti-H11 or anti- $\alpha$ C.

The assay methods entail contacting any anti-H11 or anti- $\alpha$ C target antibody in the

30 sample with a H11 antibody or polypeptide under conditions suitable to allow the



formation of a stable complex between the target and H11, and detecting any stable complex formed. The sample is suitably prepared before conducting the assay, optionally by enriching for antibody concentration. When using intact murine  $\alpha$ C, it is generally preferable to deplete the sample of any anti-mouse immunoglobulin activity that may be present. Anti-mouse immunoglobulin antibody can be removed from a sample, for example, by precipitation with normal mouse IgG or adsorption with a mouse Ig adsorbent. Binding of anti-mouse immunoglobulin antibody, particularly that specific for the Fc region, can be minimized by judicious choice of the reagents of the assay. F(ab')<sub>2</sub> or Fab fragments of murine  $\alpha$ C and other reagents such as humanized  $\alpha$ C or H11, with fewer mouse determinants are appropriate.

After the sample is suitably prepared, it is mixed with a excess  $\alpha$ C under conditions that permit formation of a complex between  $\alpha$ C and any target antibody that may be present. The amount of complex is then determined, and compared with complexes formed with standard samples containing known amounts of target antibody in the range expected. Complex formation can be observed by any method known in the art such as immunoprecipitation or nephelometry, but it is generally more sensitive to employ a reagent labeled with such labels as radioisotopes such as <sup>125</sup>I, enzymes such as peroxidase and  $\beta$ -galactosidase, or fluorochromes such as fluorescein.

The invention provides various polynucleotides encoding the antibody H11 or fragments of H11, based on the polynucleotide sequences provided herein (SEQ ID NOS:1 and 4). Various embodiments are described in this section, comprising a number of different combinations of the H11 H or L chain V region sequences. In general, a H11 polynucleotide of this invention encodes at least one feature that is unique to the H11 molecule (in comparison with other immunoglobulins and, in particular, the A6 antibody). Preferably, this feature is related in some way to an immunologic reactivity of H11.

The invention encompasses polynucleotides encoding a portion of the H11 L chain V region, comprising at least about 70 consecutive nucleotides, preferably at least about 80 consecutive nucleotides, more preferably at least about 100 consecutive nucleotides, even more preferably at least about 150 nucleotides of SEQ ID NO:4. The invention also encompasses a polynucleotide encoding a portion of the H11 L chain V region, comprising

at least about 25 consecutive nucleotides, preferably at least about 30 consecutive nucleotides, and even more preferably at least about 35 consecutive nucleotides of the CDR1 encoding sequence thereof. The invention also encompasses a polynucleotide encoding a portion of the H11 L chain V region, comprising at least about 20 consecutive  
5 nucleotides, preferably at least about 25 consecutive nucleotides, and even more preferably at least about 35 consecutive nucleotides of the CDR2 or CDR3 encoding sequence thereof.

The invention also encompasses polynucleotides encoding a portion of the H11 H chain V region, comprising at least about 70 consecutive nucleotides, preferably at least  
10 about 80 consecutive nucleotides, more preferably at least about 100 consecutive nucleotides, even more preferably at least about 150 nucleotides of SEQ ID NO:1. The invention also encompasses a polynucleotide encoding a portion of the H11 L chain V region, comprising 15 consecutive nucleotides of the CDR1 encoding sequence thereof. The invention also encompasses a polynucleotide encoding a portion of the H11 L chain V  
15 region, comprising at least about 20 consecutive nucleotides, preferably at least about 25 consecutive nucleotides, and even more preferably at least about 35 consecutive nucleotides of the CDR2 or CDR3 coding sequence thereof.

The invention includes isolated H11 polynucleotides encoding a polypeptide having immunologic activity of H11, wherein the polypeptide encodes at least 5 amino  
20 acids of a V L chain of H11 as depicted in SEQ. ID NO:5. The invention also includes isolated H11 polynucleotides encoding a polypeptide having immunologic activity of H11, wherein the polynucleotide encodes at least 5 amino acids of a V H chain of H11 as depicted in SEQ. ID NO:2. The polynucleotide sequence can be similar to those depicted in SEQ. ID NO:1 or SEQ ID NO:4 with changes designed to optimize codon usage,  
25 stability, facilitate cloning, or any other purpose. It is within the skill of one in the art, given the amino acid sequence in SEQ ID NO:2 or SEQ ID NO:5, to design such polynucleotides. Preferred polynucleotides encode at least five amino acids of a H11 CDR.

The invention also encompasses polynucleotides encoding for functionally  
30 equivalent variants and derivatives of H11 and functionally equivalent fragments thereof

which may enhance, decrease or not significantly affect properties of the polypeptides encoded thereby. These functionally equivalent variants, derivatives, and fragments display the ability to specifically recognize C antigen. For instance, changes in a DNA sequence that do not change the encoded amino acid sequence, as well as those that result in conservative substitutions of amino acid residues, one or a few amino acid deletions or additions, and substitution of amino acid residues by amino acid analogs are those which will not significantly affect properties of the encoded polypeptide. Conservative amino acid substitutions are glycine/alanine; valine/isoleucine/leucine; asparagine/glutamine; aspartic acid/glutamic acid; serine/threonine/methionine; lysine/arginine; and phenylalanine/tyrosine/tryptophan.

The polynucleotides of the invention may comprise additional sequences, such as additional encoding sequences within the same transcription unit, controlling elements such as promoters, ribosome binding sites, and polyadenylation sites, additional transcription units under control of the same or a different promoter, sequences that permit cloning, expression, and transformation of a host cell, and any such construct as may be desirable to provide embodiments of this invention.

The invention encompasses a polynucleotide of at least about 15 consecutive nucleotides, preferably at least about 20 nucleotides, more preferably at least about 25 consecutive nucleotides, more preferably at least about 35 consecutive nucleotides, more preferably at least about 50 consecutive nucleotides, even more preferably at least about 75 nucleotides, still more preferably at least about 100 nucleotides, still more preferably at least about 200 nucleotides, and even more preferably at least about 300 nucleotides that forms a stable hybrid with a polynucleotide encoding the L chain or H chain V region of H11, but not with other immunoglobulin encoding regions known at the time of filing of this application. Any set of conditions may be used for this test, as long as at least one set of conditions exist wherein the test polynucleotide demonstrates the required specificity. Preferably, the H11 encoding sequences to which the test polynucleotide binds are those shown in SEQ. ID NOS:1 and 4. Since the known immunoglobulin sequences fall into a hierarchy of similarity with that of H11, the test may be performed by comparing the hybridization of the test polynucleotide with the H11 sequence with the hybridization with

the most closely related sequences. Preferred is a panel of about 10 of the most closely related sequences to SEQ. ID NO:1, 3, 4 or 5.

Hybridization reactions can be performed under conditions of different "stringency". Conditions that increase stringency of a hybridization reaction are well known. See, for example, Sambrook and Maniatis. Examples of relevant conditions include (in order of increasing stringency): incubation temperatures of 25°C, 37°C, 50°C and 68°C; buffer concentrations of 10 x SSC, 6 x SSC, 1 x SSC, 0.1 x SSC (where SSC is 0.15 M NaCl and 15 mM citrate buffer) and their equivalent using other buffer systems; formamide concentrations of 0%, 25%, 50%, and 75%; incubation times from 5 minutes to 24 hours; 1, 2, or more washing steps; wash incubation times of 1, 2, or 15 minutes; and wash solutions of 6 x SSC, 1 x SSC, 0.1 x SSC, or deionized water.

Useful H11 polynucleotides encoding fragments of H11 may be identified by generating polynucleotide fragments (based on SEQ ID NO:1 or SEQ ID NO:4, for example) and testing the polypeptides encoded thereby for a function of interest.

Alternatively, the polypeptide fragment encoded by a particular polynucleotide can be prepared and tested for a function of interest. Alternatively, given a  $\alpha$ C polypeptide with desirable properties, polynucleotides can be designed that encode the polypeptide.

Included in all these embodiments are polynucleotides with encoding regions for H11 polymers, fusion proteins, humanized immunoglobulins, single-chain V regions, and other particular polypeptides of interest. These polypeptides are described above.

The invention also provides polynucleotides covalently linked with a detectable label. Such polynucleotides are useful, for example, as probes for detection of related nucleotide sequences.

The polynucleotides of this invention can be obtained using chemical synthesis, recombinant cloning methods, PCR, or any combination thereof. Methods of chemical polynucleotide synthesis are well known in the art and need not be described in detail herein. One of skill in the art can use the sequence data provided herein to obtain a desired polynucleotide by employing a DNA synthesizer or ordering from a commercial service.

Alternatively,  $\alpha$ C polynucleotide sequences can be obtained from a  $\alpha$ C antibody producing cell line,  $\alpha$ C cloning vector, or  $\alpha$ C expression vector. RNA or DNA encoding

the desired sequence can be isolated, amplified, and processed by standard recombinant techniques. Such techniques include digestion with restriction nucleases, and amplification by polymerase chain reaction (PCR), or a suitable combination thereof. PCR technology is described in U.S. Patent Nos. 4,683,195, 4,800,159, 4,754,065 and  
5 4,683,202, as well as *PCR: The Polymerase Chain Reaction*, Mullis et al. eds., Birkauswer Press, Boston (1994).

Polynucleotides comprising a desired sequence can be inserted into a suitable vector, and the vector in turn can be introduced into a suitable host cell for replication and amplification. Polynucleotides can be introduced into host cells by any means known in  
10 the art. Cells are transformed by introducing an exogenous polynucleotide by direct uptake, endocytosis, transfection, f-mating or electroporation. Once introduced, the exogenous polynucleotide can be maintained within the cell as a non-integrated vector (such as a plasmid) or integrated into the host cell genome. Amplified DNA can be isolated from the host cell by standard methods. See, e.g., Sambrook et al. (1989). RNA  
15 can also be obtained from transformed host cell, or it can be obtained directly from the DNA by using a DNA-dependent RNA polymerase.

The present invention further encompasses a variety of vectors comprising a H11 polynucleotide. These vectors can be used for expression of recombinant polypeptides are also a source of H11 polynucleotides. Cloning vectors can be used to obtain replicate  
20 copies of the H11 polynucleotides they contain, or as a means of storing the polynucleotides in a depository for future recovery. Expression vectors (and host cells containing these expression vectors) can be used to obtain polypeptides produced from the polynucleotides they contain. They can also be used where it is desirable to express H11 in an individual and thus have intact cells capable of synthesizing the polypeptide, such as  
25 in gene therapy. Suitable cloning and expression vectors include any known in the art, e.g., those for use in bacterial, mammalian, yeast and insect expression systems. Specific vectors and suitable host cells are known in the art and are not described in detail herein. See e.g. Gacesa and Ramji, *Vectors*, John Wiley & Sons (1994).

Cloning and expression vectors typically contain a selectable marker (for example,  
30 a gene encoding a protein necessary for the survival or growth of a host cell transformed

with the vector), although such a marker gene can be carried on another polynucleotide sequence co-introduced into the host cell. Only those host cells into which a selectable gene has been introduced will grow under selective conditions. Typical selection genes either: (a) confer resistance to antibiotics or other toxins, e.g., ampicillin, neomycin, methotrexate; (b) complement auxotrophic deficiencies; or (c) supply critical nutrients not available from complex media. The choice of the proper marker gene will depend on the host cell, and appropriate genes for different hosts are known in the art. Vectors also typically contain a replication system recognized by the host.

Suitable cloning vectors can be constructed according to standard techniques, or selected from a large number of cloning vectors available in the art. While the cloning vector selected may vary according to the host cell intended to be used, useful cloning vectors will generally have the ability to self-replicate, may possess a single target for a particular restriction endonuclease, or may carry marker genes. Suitable examples include plasmids and bacterial viruses, e.g., pUC18, mp18, mp19, pBR322, pMB9, ColE1, pCR1, RP4, phage DNAs, and shuttle vectors such as pSA3 and pAT28. These and other cloning vectors are available from commercial vendors such as BioRad, Stratagene, and Invitrogen.

Expression vectors generally are replicable polynucleotide constructs that contain a polynucleotide encoding a  $\alpha$ C polypeptide of interest. The polynucleotide encoding  $\alpha$ C polypeptide is operatively linked to suitable transcriptional controlling elements, such as promoters, enhancers and terminators. For expression (i.e., translation), one or more translational controlling elements are also usually required, such as ribosome binding sites, translation initiation sites, and stop codons. These controlling elements (transcriptional and translational) can be derived from the H11 gene, or heterologous (i.e., derived from other genes or other organisms). A polynucleotide sequence encoding a signal peptide can also be included to allow a  $\alpha$ C polypeptide to cross or lodge in cell membranes or be secreted from the cell. A number of expression vectors suitable for expression in eukaryotic cells including yeast, avian, and mammalian cells are known in the art. One example of an expression vector is pcDNA3 (Invitrogen, San Diego, CA), in which transcription is driven by the cytomegalovirus (CMV) early promoter/enhancer. This vector also contains recognition sites for multiple restriction enzymes for insertion of an

$\alpha$ C polynucleotide of interest. Another example of an expression vector (system) is the baculovirus/insect system.

Also encompassed by the invention are expression systems suitable for use in antibody-targeted gene therapy comprising a  $\alpha$ C polynucleotide. Suitable systems are described for instance by Brown et al. (1994) *Virol.* 198:477-488; and Miyamura et al. (1994) *Proc. Natl. Acad. Sci. USA* 91:8507-8511.

The vectors containing the polynucleotides of interest can be introduced into the host cell by any of a number of appropriate means, including electroporation, transfection employing calcium chloride, rubidium chloride, calcium phosphate, DEAE-dextran, or other substances; microprojectile bombardment; lipofection; and infection (where the vector is an infectious agent, such as vaccinia virus, which is discussed below). The choice of introducing vectors or  $\alpha$ C polynucleotides will often depend on features of the host cell.

Once introduced into a suitable host cell, expression of a  $\alpha$ C polypeptide can be determined using any assay known in the art. For example, presence of  $\alpha$ C polypeptide can be detected by RIA or ELISA of the culture supernatant (if the H11 polypeptide is secreted) or cell lysates.

A particularly useful expression vector for H11 polynucleotides is a vaccinia virus comprised of a H11 polynucleotide sequence, which can also be used in vaccine preparations. Moss (1991) *Science* 252:1662-1667. To introduce polynucleotide sequences encoding H11 polypeptide, including H11 polypeptide fragments, into vaccinia, the polynucleotide sequence of interest is first inserted into a plasmid containing a vaccinia virus promoter with flanking sequences homologous to vaccinia DNA not required for replication. Plasmid-containing cells are then infected with vaccinia, which leads to a low level of homologous recombination between plasmid and virus, with resultant transfer of the vaccinia promoter and H11 polypeptide-encoding polynucleotide sequence into the vaccinia virus genome. Typically, the H11 polynucleotide is inserted into the viral TK (thymidine kinase) gene. Insertion into the TK site attenuates the virus more than 10,000 fold compared to wild type. Flexner et al. (1980) *Vaccine* 88 (Cold Spring Harbor Laboratory), pp. 179-184. Recombinant virus is identified by the TK<sup>-</sup> phenotype. Preferably, expression of the H11 polynucleotide is under the control of the vaccinia

early/late promoter (7.5 K), whereby the resultant H11 polypeptides can be expressed in infected cells throughout the life cycle of the virus. However, other promoters known in the art can be used, such as pH6, or synthetic promoters. Expression of the H11 polypeptide occurs in cells infected with the recombinant vaccinia or individuals immunized with the live recombinant vaccinia virus. Any one of several strains of vaccinia can be used, including, but not limited to, WR, ALVAC, and NYVAC.

A vector of this invention can contain one or more polynucleotides encoding a  $\alpha$ C polypeptide. It can also contain polynucleotide sequences encoding other polypeptides that enhance, facilitate, or modulate the desired result, such as lymphokines, including, but not limited to, IL-2, IL-4, GM-CSF, TNF- $\alpha$ , and IFN- $\gamma$ . A preferred lymphokine is GM-CSF. Preferred GM-CSF constructs are those which have been deleted for the AU-rich elements from the 3' untranslated regions and sequences in the 5' untranslated region that are capable of forming a hairpin loop. Also embodied in this invention are vaccinia vectors encoding for recombinant  $\alpha$ C variants, such as scFvs, chimeras, and polymers.

Other embodiments of this invention are host cells transformed with  $\alpha$ C polynucleotides and vectors comprising  $\alpha$ C polynucleotide sequences, as described above. Both prokaryotic and eukaryotic host cells may be used. Prokaryotic hosts include bacterial cells, for example *E. coli* and *Mycobacteria*. Among eukaryotic hosts are yeast, insect, avian, plant and mammalian cells. Host systems are known in the art and need not be described in detail herein. Examples of mammalian host cells include CHO and NS0, obtainable from the European Collection of Cell Cultures (England). Transfection of NS0 cells with a plasmid, for example, which is driven by a CMV promoter, followed by amplification of this plasmid in using glutamine synthetase provides a useful system for protein production. Cockett et al. (1990) *Bio/Technology* 8:662-667.

The host cells of this invention can be used, inter alia, as repositories of  $\alpha$ C polynucleotides, or as vehicles for production of  $\alpha$ C polynucleotides and polypeptides. They may also be used as vehicles for in vivo expression of  $\alpha$ C polypeptides. The H11 polynucleotides of this invention can be used in expression systems to produce H11 polypeptides, intact H11, or recombinant forms of H11, such as are described below.



The polynucleotides of this invention have several uses. They are useful, for example, in expression systems for the production of  $\alpha$ C. They are also useful as hybridization probes to assay for the presence of  $\alpha$ C polynucleotide or related sequences in a sample using methods well known to those in the art. Further, the polynucleotides are also useful as primers to effect amplification of desired polynucleotides. The polynucleotides of this invention are also useful in pharmaceutical compositions including vaccines and for gene therapy.

The polynucleotides can also be used as hybridization probes for detection of  $\alpha$ C encoding sequences. Suitable samples include cells transformed ex vivo for use in gene therapy. In one illustration, DNA or RNA is extracted from a sample, and optionally run on a gel and/or digested with restriction endonucleases. The processed sample polynucleotide is typically transferred to a medium suitable for washing. The sample polynucleotide is then contacted with the H11 polynucleotide probe under conditions that permit a stable duplex to form if the sample contains a matching  $\alpha$ C sequence. Any stable duplexes formed are detected by any suitable means. For example, the  $\alpha$ C polynucleotide probe can be supplied in labeled form, and label remaining with the sample after washing will directly reflect the amount of stable duplex formed. In a second illustration, hybridization is performed in situ. A suitably prepared tissue sample is overlaid with a labeled probe to indicate the location  $\alpha$ C encoding sequences.

A short  $\alpha$ C polynucleotide can also be used as a primer for a PCR reaction, particularly to amplify a longer sequence comprising a region hybridizing with the primer. This can be conducted preparatively, in order to produce polynucleotide for further genetic manipulation. It can also be conducted analytically, to determine whether a  $\alpha$ C encoding polynucleotide is present, for example, in a sample of diagnostic interest.

Another use of the polynucleotides is in vaccines and gene therapy. The general principle is to administer the polynucleotide so that it either promotes or attenuates the expression of the polypeptide encoded therein. Thus, the present invention includes methods of inducing an immune response and methods of treatment comprising administration of an effective amount  $\alpha$ C polynucleotides to an individual. In these methods, a  $\alpha$ C polynucleotide encoding a  $\alpha$ C polypeptide is administered to an individual,

either directly or via cells transfected with the  $\alpha$ C polynucleotide. Preferably, the  $\alpha$ C polynucleotide is in the form of a circular plasmid, preferably in a supercoiled configuration. Preferably, the  $\alpha$ C polynucleotide is replicated inside a cell. Thus, the  $\alpha$ C polynucleotide is operatively linked to a suitable promoter, such as a heterologous promoter that is intrinsically active in cells of the target tissue type. Preferably, once in cell nuclei, plasmids persist as circular non-replicating episomal molecules. *In vitro* mutation can be carried out with plasmid constructs to encode, for example, molecules with greater affinity and/or avidity.

To determine whether plasmids containing  $\alpha$ C polynucleotides are capable of  $\alpha$ C expression in eukaryotic cells, cells such as COS-7, CHO, or HeLa can be transfected with the plasmids. Expression of  $\alpha$ C is then determined by immunoassay; for example, by Western blot. Smaller  $\alpha$ C polypeptides can be detected, for example, by constructing the plasmid so that the resultant  $\alpha$ C polypeptide is fused with a tag, such as a target epitope or enzyme label. Further characterization of the expressed  $\alpha$ C polypeptide can be achieved by purifying the peptide and then conducting one of the functional assays described herein.

In one mode of gene therapy, the polynucleotides of this invention are used for genetically altering cells *ex vivo*. In this strategy, cells removed from a donor or obtained from a cell line are transfected or transduced with vectors encoding a  $\alpha$ C polypeptide, and then administered to a recipient. Suitable cells for transfection include peripheral blood mononuclear cells.

In another mode of gene therapy, the polynucleotides of this invention are used for genetically altering cells *in vivo*. The purpose includes, but is not limited to, treating various types of cancer.

$\alpha$ C polypeptides can be characterized in several ways. For instance, a  $\alpha$ C polypeptide may be tested for its ability to bind specifically to cancer cells, for its ability to specifically inhibit the binding between cancer cells and intact H11. A  $\alpha$ C polypeptide can also react with anti-CDR3 polypeptides.  $\alpha$ C polypeptides can also be tested for their ability to palliate or ameliorate neoplastic disease, such as carcinomas. It is understood that only one of these properties need be present in order for a polypeptide to come within

the scope of this invention, although preferably more than one of these properties is present.

The ability of a  $\alpha$ C polypeptide to bind cancer cells or antigenic fractions thereof can be tested by immunoassay. Any form of direct binding assay is suitable. In one such assay, the cancer cell or the putative  $\alpha$ C polypeptide is labeled. Suitable labels include radioisotopes such as  $^{125}\text{I}$ , enzymes such as peroxidase, fluorescent labels such as fluorescein, and chemiluminescent labels. Typically, the other binding partner is insolubilized (for example, by coating onto a microtiter plate) to facilitate washing. After combining the labeled component with the insolubilized component, the solid phase is washed and the amount of bound label is determined. Another such assay is a sandwich assay, in which the putative  $\alpha$ C polypeptide is captured by a first anti-immunoglobulin on a solid phase and developed with  $\alpha$ C antibody. In either of these examples, the extent of binding of  $\alpha$ C is directly related to the amount of label bound to the solid phase.

To conduct the inhibition assays, the putative  $\alpha$ C polypeptide is titrated for its ability to decrease the binding of H11 to cancer cells. Either of the binding pairs in the reaction to be inhibited is labeled, while the other is typically insolubilized in order to facilitate washing. The putative  $\alpha$ C polypeptide is typically mixed with the labeled component, and then the mixture is combined with the solid phase. Polypeptides with the characteristics of H11 will proportionately decrease the amount of label attached to the solid phase, compared with control polypeptides. This test may be more sensitive than measuring direct binding, because lower affinity interaction between  $\alpha$ C and C antigen may be too weak to form a stable bond, but adequate to interfere with the binding of another ligand-receptor pair when present at sufficient concentration.

The present invention encompasses pharmaceutical compositions and immunogenic compositions containing  $\alpha$ C either alone or in combination. Such pharmaceutical compositions and vaccines are useful for eliciting an immune response and treating neoplastic diseases, either alone or in conjunction with other forms of therapy, such as chemotherapy or radiotherapy.

The preparation of pharmaceutical compositions that contain  $\alpha$ C antibody, or a polynucleotide or a polypeptide derivative thereof as an active ingredient is conducted in

accordance with generally accepted procedures for the preparation of pharmaceutical preparations. See, for example, *Remington's Pharmaceutical Sciences 18th Edition* (1990), E.W. Martin ed., Mack Publishing Co., PA. Depending on the intended use and mode of administration, it may be desirable to process the active ingredient further in the preparation of pharmaceutical compositions. Appropriate processing may include sterilizing, mixing with appropriate non-toxic and non-interfering components, dividing into dose units, and enclosing in a delivery device.

Liquid pharmaceutically acceptable compositions can, for example, be prepared by dissolving or dispersing a polypeptide embodied herein in a liquid excipient, such as water, saline, aqueous dextrose, glycerol, or ethanol. The composition can also contain other medicinal agents, pharmaceutical agents, adjuvants, carriers, and auxiliary substances such as wetting or emulsifying agents, and pH buffering agents.

Pharmaceutical compositions of the present invention are administered by a mode appropriate for the form of composition. Typical routes include subcutaneous, intramuscular, intraperitoneal, intradermal, oral, intranasal, and intrapulmonary (i.e., by aerosol). Pharmaceutical compositions of this invention for human use are typically administered by a parenteral route, most typically intracutaneous, subcutaneous, or intramuscular.

Pharmaceutical compositions for oral, intranasal, or topical administration can be supplied in solid, semi-solid or liquid forms, including tablets, capsules, powders, liquids, and suspensions. Compositions for injection can be supplied as liquid solutions or suspensions, as emulsions, or as solid forms suitable for dissolution or suspension in liquid prior to injection. For administration via the respiratory tract, a preferred composition is one that provides a solid, powder, or liquid aerosol when used with an appropriate aerosolizer device. Although not required, pharmaceutical compositions are preferably supplied in unit dosage form suitable for administration of a precise amount. Also contemplated by this invention are slow release or sustained release forms, whereby a relatively consistent level of the active compound are provided over an extended period.

Compositions embodied in this invention can be assessed for their ability to recognize specifically a neoplasia. Accordingly, test compounds are prepared as a suitable

pharmaceutical composition and administered to test subjects. Initial studies are preferably done in small animals such as mice or rabbits, optionally next in non-human primates and then ultimately in humans. Immunogenicity is preferably tested in individuals without a previous antibody response. A test composition in an appropriate dose is administered on an appropriate treatment schedule. It may be appropriate to compare different doses and schedules within the predicted range. Such testing is within the skill of one in the art.

Compositions of this invention are particularly suitable for administration to humans with a neoplastic disease. Especially relevant are melanoma, neuroblastoma, glioma, sarcoma, lymphoma, and small cell lung cancer.

Also included in this invention are methods for treating cancer. The methods comprise administering an amount of a pharmaceutical composition containing  $\alpha C$  effective to achieve the desired effect, be it palliation of an existing tumor mass or prevention of recurrence. For treatment of cancer, the amount of a pharmaceutical composition administered is an amount effective in producing the desired effect. An effective amount can be provided in one or a series of administrations.

The effective amount of  $\alpha C$  antigen binding fragments to be administered will depend upon several factors, such as the route of administration, the condition of the individual, and the desired objective. The term "therapeutically effective" means that the amount of antigen binding fragment used is of sufficient quantity to ameliorate the cancer. "Ameliorate" denotes a lessening of the detrimental effect of the cancer on the individual. Typically, if administered directly, the amount per administration is about 10  $\mu g$  to 20 mg, preferably 250  $\mu g$  to 10 mg, more preferably 300  $\mu g$  to 5 mg, even more preferably 500  $\mu g$  to 2.5 mg. Administrations are typically conducted on a weekly or biweekly basis until a desired, measurable parameter is detected, such as diminution of disease symptoms. Administration can then be continued on a less frequent basis, such as biweekly or monthly, as appropriate.

The various compositions of this invention can be used alone, or in conjunction with other active agents that promote the desired objective, or provide a desirable adjunct therapy. Suitable active agents include the anti-neoplastic drugs and bioresponse modifiers

described above and effector cells such as those described by Douillard et al. (1986) *Hybridomas* (Supp. 1:5139).

When used for immunotherapy,  $\alpha$ C can be unlabeled or labeled with a therapeutic agent as described above. These agents can be coupled either directly or indirectly to the polypeptides of the invention. One example of indirect coupling is by use of a spacer moiety. These spacer moieties, in turn, can be either insoluble or soluble (Diener et al. (1986) *Science* 231:148) and can be selected to enable drug release from  $\alpha$ C at the target site. Alternatively, an  $\alpha$ C and a therapeutic agent can be translated, synthesized, ligated or otherwise produced as a single molecule which has both  $\alpha$ C and therapeutic agent functions. Examples of therapeutic agents which can be coupled to  $\alpha$ C for immunotherapy include, but are not limited to, bioresponse modifiers, drugs, radioisotopes, lectins, and toxins. Bioresponse modifiers include lymphokines which include, but are not limited to, tumor necrosis factor, interleukins 1, 2, and 3, lymphotoxin, macrophage activating factor, migration inhibition factor, colony stimulating factor, and interferon. Interferons with which  $\alpha$ C can be labeled include  $\alpha$ -interferon,  $\beta$ -interferon, and  $\gamma$ -interferon (IFN- $\gamma$ ) and their subtypes.

In using radioisotopically conjugated  $\alpha$ C for immunotherapy, certain isotypes may be more preferable than others depending on such factors as leukocyte distribution as well as isotype stability and emission. If desired, the malignant cell distribution can be evaluated by the *in vivo* diagnostic techniques described below. Depending on the malignancy, some emitters may be preferable to others. In general, alpha and beta particle-emitting radioisotopes are preferred in immunotherapy. For example, if an animal has solid tumor foci, as in a carcinoma, a high energy beta emitter capable of penetrating several millimeters of tissue, such as  $^{90}\text{Y}$ , may be preferable. On the other hand, if the malignancy consists of simple target cells, as in the case of leukemia, a short range, high energy alpha emitter, such as  $^{212}\text{Bi}$ , may be preferable. Radioisotopes which can be bound to the antigen binding fragments of the invention for therapeutic purposes include, but are not limited to,  $^{125}\text{I}$ ,  $^{131}\text{I}$ ,  $^{90}\text{Y}$ ,  $^{67}\text{Cu}$ ,  $^{212}\text{Bi}$ ,  $^{211}\text{At}$ ,  $^{212}\text{Pb}$ ,  $^{47}\text{Sc}$ ,  $^{109}\text{Pd}$ , and  $^{188}\text{Re}$ .

Lectins are proteins, usually isolated from plant material, which bind to specific sugar moieties. Many lectins are also able to agglutinate cells and stimulate lymphocytes.

However, ricin is a toxic lectin which has been used immunotherapeutically. This is preferably accomplished by binding the alpha-peptide chain of ricin, which is responsible for toxicity, to the antibody molecule to enable site specific delivery of the toxic effect.

5       Toxins are poisonous substances produced by plants, animals, or microorganisms that, in sufficient dose, are often lethal. Diphtheria toxin is a substance produced by *Corynebacterium diphtheria* which can be used therapeutically. This toxin consists of an alpha and beta subunit which under proper conditions can be separated. The toxic A chain component can be bound to an antibody and used for site specific delivery to a neoplastic cell.

10       Thus, for example,  $\alpha C$  can be used in combination with alpha-interferon. This treatment modality enhances Mab targeting of melanomas by increasing the expression of Mab reactive antigen by the melanoma cells. Greiner et al. (1987) *Science* 235:895. Alternatively,  $\alpha C$  could be used, for example, in combination with IFN- $\gamma$  to thereby  
15       activate and increase the expression of Fc receptors by effector cells which, in turn, results in an enhanced binding of the antigen binding fragments to the effector cell and killing of target malignant cells. Those of skill in the art will be able to select from the various biological response modifiers to create a desired effector function which enhances the efficacy of  $\alpha C$ .

20       When  $\alpha C$  is used in combination with various therapeutic agents, such as those described herein, the administration of both usually occurs substantially contemporaneously. The term "substantially contemporaneously" means that they are administered reasonably close together with respect to time. Usually, it is preferred to administer the therapeutic agent before  $\alpha C$ . For example, the therapeutic agent can be administered 1 to 6 days before  $\alpha C$ . The administration of the therapeutic agent can be  
25       daily, or at any other suitable interval, depending upon such factors, for example, as the nature of the malignancy, the condition of the patient and half-life of the agent.

      Using  $\alpha C$ , it is possible to design combination therapies. It may be desirable to administer a therapeutic agent, or agents, prior to the administration of  $\alpha C$  in combination with effector cells and the same, or different, therapeutic agent or agents. For example,

patients can be treated by first administering IFN- $\gamma$  and interleukin-2 (Il-2) daily for 3 to 5 days, and on day 5 administering  $\alpha$ C in combination with effector cells, IFN- $\gamma$ , and Il-2.

The present invention also encompasses the use of liposomes with membrane bound  $\alpha$ C to specifically deliver the liposome to the area of the tumor or neoplastic cells expressing C antigen. These liposomes can be produced such that they contain, in addition to  $\alpha$ C, such immunotherapeutic agents as those described above which would then be released at the site of malignancy. Wolff et al. (1984) *Biochem. Biophys. Acta* 802:259. Another such delivery system described by Brown et al. (1994) *Virology* 198:477-488; and Miyamura et al. (1994) *Proc. Natl. Acad. Sci. USA* 91:8507-8511 utilizes chimeric parvovirus B19 capsids for presentation of the antigen binding fragments. Such chimeric systems are encompassed for use in the claimed methods.

The dosage ranges for the administration of  $\alpha$ C are those large enough to produce the desired effect in which the symptoms of the malignant disease are ameliorated without causing undue side effects such as unwanted cross-reactions, anaphylactic reactions, and the like. Generally, the dosage will vary with the patient's age, condition, sex and extent of the disease and can be determined by one of skill in the art. The dosage can be adjusted by the individual physician in the event of any complication. Dosage can vary from about 0.1 mg/kg to about 2000 mg/kg, preferably about 0.1 mg/kg to about 500 mg/kg, in one or more dose administrations daily, for one or several days. Generally, when  $\alpha$ C are administered conjugated with therapeutic agents, lower dosages, comparable to those used for *in vivo* immunodiagnostic imaging, can be used.

Therapeutic compositions of  $\alpha$ C can be administered by injection or by gradual perfusion. The  $\alpha$ C antigen binding fragments can be administered intravenously, intraperitoneally, intramuscularly, subcutaneously, intracavity, intrathecally or transdermally, alone or in combination with effector cells.

Another method of administration is intralesionally, for instance by direct injection directly into the tumor. Intralesional administration of various forms of immunotherapy to cancer patients does not cause the toxicity seen with systemic administration of immunologic agents. Fletcher et al. (1987) *Lymphokine Res.* 6:45; Rabinowich et al.



(1987) *Cancer Res.* 47:173; Rosenberg et al. (1989) *Science* 233:1318; and Pizz et al. (1984) *Int. J. Cancer* 34:359.

$\alpha$ C is particularly suitable for use in treating and imaging brain cancer. When the site of delivery is the brain, the therapeutic agent must be capable of being delivered to the brain. The blood-brain barrier limits the uptake of many therapeutic agents into the brain and spinal cord from the general circulation. Molecules which cross the blood-brain barrier use two main mechanisms: free diffusion; and facilitated transport. Because of the presence of the blood-brain barrier, attaining beneficial concentrations of a given therapeutic agent in the CNS may require the use of specific drug delivery strategies.

Delivery of therapeutic agents to the CNS can be achieved by several methods.

One method relies on neurosurgical techniques. In the case of gravely ill patients, surgical intervention is warranted despite its attendant risks. For instance, therapeutic agents can be delivered by direct physical introduction into the CNS, such as intraventricular, intralesional, or intrathecal injection. Intraventricular injection can be facilitated by an intraventricular catheter, for example, attached to a reservoir, such as an Ommaya reservoir. Methods of introduction are also provided by rechargeable or biodegradable devices. Another approach is the disruption of the blood-brain barrier by substances which increase the permeability of the blood-brain barrier. Examples include intra-arterial infusion of poorly diffusible agents such as mannitol, pharmaceuticals which increase cerebrovascular permeability such as etoposide, or vasoactive agents such as leukotrienes. Neuwelt and Rappoport (1984) *Fed. Proc.* 43:214-219; Baba et al. (1991) *J. Cereb. Blood Flow Metab.* 11:638-643; and Gennuso et al. (1993) *Cancer Invest.* 11:638-643.

Further, it may be desirable to administer the compositions locally to the area in need of treatment; this can be achieved by, for example, local infusion during surgery, by injection, by means of a catheter, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as silastic membranes, or fibers. A suitable such membrane is Gliadel® provided by Guilford Pharmaceuticals Inc.

Another method involves pharmacological techniques such as modification or selection of the  $\alpha$ C to provide an analog which will cross the blood-brain barrier. Examples include increasing the hydrophobicity of the molecule, decreasing net charge or molecular weight of the molecule, or modifying the molecule, such as to resemble one normally transported across the blood-brain barrier. Levin (1980) *J. Med. Chem.* 23:682-684; Pardridge (1991) in: *Peptide Drug Delivery to the Brain*; and Kostis et al. (1994) *J. Clin. Pharmacol.* 34:989-996.

Encapsulation of  $\alpha$ C in a hydrophobic environment such as liposomes is also effective in delivering drugs to the CNS. For example, WO 91/04014 describes a liposomal delivery system in which the drug is encapsulated within liposomes to which molecules have been added that are normally transported across the blood-brain barrier.

Another method of formulating  $\alpha$ C to pass through the blood-brain barrier is encapsulation in cyclodextrin. Any suitable cyclodextrin which passes through the blood-brain barrier can be employed, including, but not limited to,  $\beta$ -cyclodextrin,  $\gamma$ -cyclodextrin and derivatives thereof. See generally, U.S. Patent Nos. 5,017,566, 5,002,935 and 4,983,586. Such compositions can also include a glycerol derivative as described by U.S. Patent No. 5,153,179.

Yet another method takes advantage of physiological techniques such as conjugation of  $\alpha$ C to a transportable agent to yield a new chimeric transportable  $\alpha$ C. For example, vasoactive intestinal peptide analog (VIPa) exerts its vasoactive effects only after conjugation to a Mab to the specific carrier molecule transferrin receptor, which facilitates the uptake of the VIPa-Mab conjugate through the blood-brain barrier. Pardridge (1991); and Bickel et al. (1993) *Proc. Natl. Acad. Sci. USA* 90:2618-2622. Several other specific transport systems have been identified, these include, but are not limited to, those for transferring insulin, or insulin-like growth factors I and II. Other suitable, non-specific carriers include, but are not limited to, pyridinium, fatty acids, inositol, cholesterol, and glucose derivatives. Certain prodrugs have been described whereby, upon entering the central nervous system, the drug is cleaved from the carrier to release the active drug. U.S. Patent No. 5,017,566.

Suitable subjects include those who are suspected of being at risk of a pathological effect of any neoplasia, particularly carcinoma, are suitable for treatment with the pharmaceutical compositions of this invention. Those with a history of cancer are especially suitable. Suitable human subjects for therapy comprise two groups, which can be distinguished by clinical criteria. Patients with "advanced disease" or "high tumor burden" are those who bear a clinically measurable tumor. A clinically measurable tumor is one that can be detected on the basis of tumor mass (e.g., by palpation, CAT scan, or X-Ray; positive biochemical or histopathological markers on their own may be insufficient to identify this population). A pharmaceutical composition embodied in this invention is administered to these patients to elicit an anti-tumor response, with the objective of palliating their condition. Ideally, reduction in tumor mass occurs as a result, but any clinical improvement constitutes a benefit. Clinical improvement includes decreased risk or rate of progression or reduction in pathological consequences of the tumor.

A second group of suitable subjects is known in the art as the "adjuvant group". These are individuals who have had a history of cancer, but have been responsive to another mode of therapy. The prior therapy may have included, but is not restricted to, surgical resection, radiotherapy, and traditional chemotherapy. As a result, these individuals have no clinically measurable tumor. However, they are suspected of being at risk for progression of the disease, either near the original tumor site, or by metastases.

This group can be further subdivided into high-risk and low-risk individuals. The subdivision is made on the basis of features observed before or after the initial treatment. These features are known in the clinical arts, and are suitably defined for each different cancer. Features typical of high risk subgroups are those in which the tumor has invaded neighboring tissues, or who show involvement of lymph nodes.

Another suitable group of subjects is those with a genetic predisposition to cancer but who have not yet evidenced clinical signs of cancer. For instance, women testing positive for a genetic mutation associated with breast cancer, but still of childbearing age, may wish to receive  $\alpha$ C treatment prophylactically to prevent the occurrence of cancer until it is suitable to perform preventive surgery.

A pharmaceutical composition embodied in this invention is administered to patients in the adjuvant group, or in either of these subgroups, in order to elicit an anti-cancer response. Ideally, the composition delays recurrence of the cancer, or even better, reduces the risk of recurrence (i.e., improves the cure rate). Such parameters may be determined in comparison with other patient populations and other modes of therapy.

Of course, crossovers between these two patient groups occur, and the pharmaceutical compositions of this invention can be administered at any time that is appropriate. For example,  $\alpha$ C therapy can be conducted before or during traditional therapy of a patient with high tumor burden, and continued after the tumor becomes clinically undetectable.  $\alpha$ C therapy can be continued in a patient who initially fell in the adjuvant group, but is showing signs of recurrence. The attending physician has the discretion to determine how or when the compositions of this invention are to be used.

Various compounds and compositions of this invention have other clinical indications, of which the following section provides only a survey.

One indication is the treatment of cells *ex vivo*. This may be desirable for experimental purposes, or for treatment of an individual with a neoplastic disease. In one example,  $\alpha$ C is administered to a culture of cells, such as peripheral blood cells obtained from a donor, or a suitable cell line. About 0.5 to 2  $\mu$ g/mL of H11 is an effective dose for this purpose. In a second example, donor cells are genetically altered with an expression vector of this invention, to provide for ongoing secretion of  $\alpha$ C after administration of the cells to the recipient.

The present invention further encompasses methods for *in vivo* detection of cancer cells. A diagnostically effective amount of detectably labeled  $\alpha$ C is given to the subject in need of tumor imaging. The term "diagnostically effective" means that the amount of detectably labeled  $\alpha$ C is administered in sufficient quantity to enable detection of the neoplasia.

The concentration of detectably labeled  $\alpha$ C which is administered should be sufficient such that the binding to those cells having C antigen is detectable compared to the background. Further, it is desirable that the detectably labeled  $\alpha$ C be rapidly cleared from the circulatory system in order to give the best target-to-background signal ratio.

As a rule, the dosage of detectably labeled  $\alpha$ C for *in vivo* diagnosis is somewhat patient-specific and depends on such factors as age, sex, and extent of disease. The dosage of  $\alpha$ C can vary from about 0.01 mg/m<sup>2</sup> to about 500 mg/m<sup>2</sup>, preferably 0.1 mg/m<sup>2</sup> to about 200 mg/m<sup>2</sup>, most preferably about 0.1 mg/m<sup>2</sup> to about 10 mg/m<sup>2</sup>. Such dosages may vary, for example, depending on number of injections given, tumor burden, and other factors known to those of skill in the art. For instance, tumors have been labeled *in vivo* using cyanine-conjugated Mabs. Ballou et al. (1995) *Cancer Immunol. Immunother.* 41:257-263.

For *in vivo* diagnostic imaging, the type of detection instrument available is a major factor in selecting a given radioisotope. The radioisotope chosen must have a type of decay which is detectable for a given type of instrument. Still another important factor in selecting a radioisotope for *in vivo* diagnosis is that the half-life of the radioisotope be long enough so that it is still detectable at the time of maximum uptake by the target, but short enough so that deleterious radiation with respect to the individual is minimized. Ideally, a radioisotope used for *in vivo* imaging lacks a particle emission, but produces a large number of photons in the 140-250 keV range, to be readily detected by conventional gamma cameras. For imaging, doses of <sup>111</sup>In-H11-scFv (for instance, 2 mg of scFv labeled with 5 mCi of <sup>111</sup>Indium) the range administered is about 0.01 mg to 20 mg, more preferably about 0.1-10 mg and even more preferably about 1-5 mg per patient.

For *in vivo* diagnosis, radioisotopes can be bound to  $\alpha$ C either directly or indirectly by using an intermediate functional group. Intermediate functional groups which are often used to bind metallic ion radioisotopes to immunoglobulins are the bifunctional chelating agents such as diethylene triaminepentacetic acid (DTPA) and ethylenediaminetetraacetic acid (EDTA) and similar molecules. Typical examples of metallic ions which can be bound to  $\alpha$ C are <sup>111</sup>In, <sup>97</sup>Ru, <sup>67</sup>Ga, <sup>68</sup>Ga, <sup>72</sup>As, <sup>89</sup>Zr, <sup>90</sup>Y, and <sup>201</sup>Tl.

$\alpha$ C can also be labeled with a paramagnetic isotope for purposes of *in vivo* diagnosis, as in magnetic resonance imaging (MRI) or electron spin resonance (ESR). In general, any conventional method for visualizing diagnostic imaging can be utilized. Usually, gamma and positron emitting radioisotopes are used for camera imaging and paramagnetic isotopes for MRI. Elements which are particularly useful in such techniques

include  $^{157}\text{Gd}$ ,  $^{55}\text{Mn}$ ,  $^{162}\text{DY}$ ,  $^{52}\text{Cr}$ , and  $^{56}\text{Fe}$ .  $\alpha\text{C}$  can also be labeled with a fluorescent dye for the purpose of *in vivo* diagnosis.

$\alpha\text{C}$  can also be used to detect neoplasias using *in vitro* assays. Samples are taken from the patient and subject to any suitable immunoassay with  $\alpha\text{C}$  to detect the presence of C antigen. This is particularly useful in detecting lymphomas and leukemias where the tumor cells bearing C antigen are circulating in the patient's bloodstream.

$\alpha\text{C}$  can also be used to monitor the course of amelioration of malignancy in an individual. Thus, by measuring the increase or decrease in the number of cells expressing C antigen or changes in the concentration of C antigen present in various body fluids, it is possible to determine whether a particular therapeutic regimen aimed at ameliorating the malignancy is effective.

The present invention encompasses kits containing  $\alpha\text{C}$ . Diagnostic procedures using  $\alpha\text{C}$  can be performed by diagnostic laboratories, experimental laboratories, practitioners, or private individuals. The clinical sample is optionally pre-treated for enrichment of the target being tested for. The user then applies a reagent contained in the kit in order to detect the changed level or alteration in the diagnostic component.

Each kit comprises  $\alpha\text{C}$  used for detecting C antigen in the sample. Optionally, the reagent may be conjugated with a label to permit detection of any complex formed with the target in the sample. In another option, a second reagent is provided that is capable of combining with the first reagent after it has found its target and thereby supplying the detectable label. For example, labeled anti-mouse IgG may be provided as a secondary reagent for use with intact  $\alpha\text{C}$ . Labeled avidin may be provided as a secondary reagent when the primary reagent has been conjugated with biotin.

The kits can be employed to test a variety of biological samples, including both liquid samples, cell suspensions and tissue samples. Suitable assays using  $\alpha\text{C}$  that can be supplied in kit form include those described herein. Each reagent is supplied in a solid form or dissolved/suspended in a liquid buffer suitable for inventory storage, and later for exchange or addition into the reaction medium when the test is performed. Suitable packaging is provided. The kit can optionally provide additional components that are useful in the procedure. These optional components include, but are not limited to,

buffers, capture reagents, developing reagents, labels, reacting surfaces, means for detection, control samples, instructions, and interpretive information.

The foregoing description provides, *inter alia*, detailed methods for preparing H11, along with H11 encoding polynucleotides, H11 polypeptide fragments, and other derivatives. A practitioner of ordinary skill in the art can practice embodiments of this invention by referring to the sequence data for H11, which is provided herein. The following examples are provided to illustrate but not limit the claimed invention.

### EXAMPLE 1

#### Method of obtaining Mab H11

Mab NBGM1/H11 ("H11"), is a human monoclonal IgM antibody reactive against the following human tumor tissues and corresponding tumor cell lines: glioma, malignant melanoma, colon adenocarcinoma and breast adenocarcinoma. In vitro characterization of Mab NBGM1/H11 is shown in Example 2.

Fusion of H11 was accomplished by fusing  $8 \times 10^6$  peripheral blood lymphocytes obtained from a 64 year old male with a low grade glioma with the TM-H2-SP2 human myeloma cell line. The TM-H2-SP2 cell line is the immunoglobulin nonsecreting subline of the IgG( $\kappa$ ) parental cell line TM-H2, a hypoxanthine guanine phosphoribosyltransferase (EC 2.4.2.8)-deficient derivative of an unknown human myeloma-like line selected in 0.8% methylcellulose for its resistance to 6-thioguanine (6  $\mu\text{g/mL}$ ) and failure to grow in hypoxanthine-aminopterin-thymidine medium. The karyotype of TM-H2-SP2 is  $46\pm 2$ , XX.

The resultant viable hybridoma cells were split among 40 microwells at a density of  $2 \times 10^5$  cells/mL and 0.2 mL/well. The frequency of outgrowth from fusion H11 was 12 of 40 (30%) potential hybridoma-containing wells. Outgrowth resulting from sustained growth is defined as prolonged growth with culture expansion for periods longer than 3 months; instances of hybridoma growth failure occurring later than 3 months post-fusion were not observed.

Screening of hybridoma clones was performed by antigen-capture enzyme-linked immunosorbent assay (ELISA) in microtiter plates using polyclonal anti-human IgM or IgG as coating antigen. A hybridoma culture supernatant was positive if the measured optical density (O.D.) value exceeded the mean background level of a control culture supernatant by greater than two standard deviations.

Selection of hybridoma clone NBGM1/H11 was performed by cell-fixed ELISA. Culture supernatants from 6 microtiter wells, which tested high for IgM or IgG secretion, were screened against previously attached and fixed human tumor cell lines: Glioblastoma (SKMG-1 and D-54MG); melanoma (A-375); and colon adenocarcinoma (SK-CO-1). A hybridoma supernatant was considered to be positive if the measured O.D. value exceeded the mean background level of control culture supernatants by greater than two standard deviations. Mab produced by hybridoma NBGM1/H11 continues to be reactive against these tumor cell lines. The "H11" antibodies are IgM<sub>(k)</sub>.

Characterization of the hybridoma NBGM1/H11 seed bank was performed by Microbiological Associates (Rockville, MD). The cells tested negative for (1) bacterial and fungal contamination, (2) mycoplasma contamination, (3) HIV-1 and HIV-2 antigens and (4) HTLV-1 and HTLV-2 antigens.

The methods used for the characterization of Mab NBGM1/H11 include: antigen-capture ELISA, antigen ELISA, cell-fixed ELISA, flow cytometry, immunoperoxidase staining of human tumor cell lines and immunohistochemistry of human tumor and normal tissues (see following examples).

Binding characteristics of this human Mab to human tumor cell lines as determined by flow cytometry, immunoperoxidase staining, cell-fixed ELISA and antigen ELISA (i.e., tumor cell freeze-thaw extracts) are presented below.

## EXAMPLE 2

### Binding of Mab H11 to Human Glioblastoma (SKMG-1) and Melanoma (A375) Cell Lines by Flow Cytometric Analysis

In order to determine the binding of Mab H11 to tumor cells, tumor cells growing in T-flasks were detached by incubation with PBS-EDTA. Cells were collected by low



speed centrifugation, washed with ice-cold PBS-1% FBS, centrifuged and the supernatant aspirated. The cell pellet was resuspended in culture medium spiked with one of the following: a control human melanoma IgM; hybridoma NBGM1/H11 culture supernatant; or PBS containing purified Mab H11; and incubated on ice for 30 minutes. After  
5 incubation, the cells were collected by centrifugation, washed by resuspension in PBS-FBS and centrifuged. The cell pellet was then incubated for 30 min. with FITC-conjugated goat anti-human IgM. After incubation, the cells were washed with PBS-FBS. Finally, the cells were resuspended in PBS-FBS propidium iodide (PI) was added and the cells washed. PI-positive and FITC-positive cells were analyzed by flow cytometry.

10 The results of the flow cytometric analyses are shown in Figs. 2, 3 and 4. These results indicate that crude and purified forms of Mab H11 bind to a cell surface-associated antigen(s) expressed on live human tumor cell lines, including glioblastoma, melanoma, breast adenocarcinoma and colon adenocarcinoma.

### EXAMPLE 3

#### Binding of Mab H11 to Freeze-Thaw Extracts of Human Tumor Cell Lines by ELISA Analysis

15 In order to determine the ability of H11 to bind specifically to human tumor antigen(s), ELISA plates were coated with human tumor cell extracts prepared by repeated freezing and thawing of glioblastoma (SKMG-1), breast adenocarcinoma (BT-20, MB-468  
20 and MB-453), colon adenocarcinoma (SK-CO-1 and HT-29) cells.

The coated ELISA plates were incubated for 16-18 hours at 2-8°C. The plates were blocked with PBS-3% BSA for 1 hr at room temperature. Then the plates were incubated with either Mab H11 in PBS or control IgM in PBS or culture medium for 2 hrs at room temperature. The plates were washed and incubated with biotinylated anti-human IgM  
25 followed by incubation with biotinylated anti-human IgM followed by incubation with streptavidin-conjugated alkaline phosphatase for 1 hr. After washing, p-nitrophenyl phosphate substrate was added to each plate and, after incubation, the plates were read at 405 nm in an ELISA plate reader.

The binding of Mab H11 to the tumor cell extracts is shown in Figs. 5 and 6. These results indicate that Mab H11 binds to tumor cell extracts prepared from glioblastoma, breast adenocarcinoma and colon adenocarcinoma cells in a dose-dependent manner.

#### EXAMPLE 4

##### Binding of Mab H11 to Human Tumor Cells Determined by Immunoperoxidase Staining

In order to determine immunoreactivity of H11, the following experiment was performed. Tumor cells were grown in 24-well plates on coverslips for 48-96 hrs. The cells were washed with PBS, fixed with formaldehyde and incubated with 5% normal goat serum on PBS for 30 min. After washing, the cells were incubated for 2 hrs with either hybridoma NBGM1/H11 culture supernatants or purified Mab H11 (10 µg/mL) in PBS or culture medium spiked with control human myeloma IgM (10 µg/mL) for 2 hrs. The cells were then washed and incubated with anti-human IgM conjugated to HRP. Finally, the cells were washed, incubated with DAB substrate to visualize Mab H11 binding, counter-stained with hematoxylin and mounted in GVA.

The results of the immunoreactivity of Mab H11 are shown in Table 3 where reactivity is indicated as negative (--), weak positive (+), positive (++), strong positive (+++). These results indicate that, as determined by immunoperoxidase staining, the epitope recognized by Mab H11 is expressed by a number of different types of human tumor cells and cell lines.

TABLE 3

CELL LINES/TYPE OF TUMOR	REACTIVITY	
	Control IgM	Mab H11
<u>HUMAN GLIOBLASTOMA</u>		
SKMG 1	--	+++
U-118 MG	--	++
U-87 MG	--	++
<u>HUMAN MALIGNANT MELANOMA</u>		
A-375	--	+++
SK-MEL-5	--	++
<u>HUMAN COLON ADENOCARCINOMA</u>		
SK-CO-1	--	++
<u>HUMAN BREAST ADENOCARCINOMA</u>		
MG-468	--	++
MB-453	--	+
BT-20	--	++
BT-474	--	++
<u>HUMAN KIDNEY ADENOCARCINOMA</u>		
SW-839	--	++
<u>HUMAN OSTEOGENIC SARCOMA</u>		
SAOS-2	--	++
<u>HUMAN OVARY ADENOCARCINOMA</u>		
SK-OV-3	--	++

EXAMPLE 55 Binding of Mab H11 to Human Tumor Cell Lines Determined by Cell-Fixed ELISA

The binding of H11 to human tumor cells and cell lines was also determined by cell-fixed ELISA. Growing tumor cells were detached from the T-flask surface by incubating with EDTA-PBS. Cells were collected by centrifugation, washed with PBS, resuspended in culture medium, counted, and 50  $\mu$ l of cell suspension containing 5,000-10,000 cells placed in each well of 96-well ELISA plates. After allowing the cells to attach to the plates, the culture supernatants were removed and the plates were blocked with PBS-BSA. The cells were then incubated with different concentrations (1-20  $\mu$ g/mL) of either Mab H11 or control human

myeloma IgM for 2 hrs. After incubation, the plates were washed, incubated with biotin-conjugated goat anti-human IgM, washed again and incubated with streptavidin-conjugated alkaline phosphatase. Finally, the plates were washed, incubated with p-nitrophenyl phosphate substrate and read at 405 nm in an ELISA plate reader.

5           Results of the reactivity of Mab H11 to human tumor cell lines by cell-fixed ELISA are shown in Table 4 and Figure 7. In Table 4, Control IgM 10  $\mu\text{g/mL}$  and H11 10  $\mu\text{g/mL}$  were used for testing the reactivity, and values are given as absorbance at 405 nm  $\pm$  standard deviation. These results indicate that: 1) Mab H11 reacts strongly with glioblastoma cells (SKMG-1), even at a low concentration of 1  $\mu\text{g/mL}$ , whereas control IgM at 20  $\mu\text{g/mL}$  does  
10       not react with SKMG-1 cells; and 2) Mab H11 recognizes the tumor antigen(s) present on numerous tumor cell lines (breast adenocarcinoma, colon adenocarcinoma, malignant melanoma, neuroblastoma, glioblastoma, lung adenocarcinoma, small cell lung carcinoma and prostate adenocarcinoma). The degree for Mab reactivity varies both with the type of cancer and the tumor cell lines. The reactivity of Mab H11 for cancer and tumor cells was between  
15       three and ten times greater than that of the control IgM.

TABLE 4

Cell lines/Tumor Type	Reactivity (O.D. at 405 nm)	
	Control IgM	Mab H11
<b>Human Glioblastoma</b>		
SKMG-1	0.21 ± 0.01	0.95 ± 0.06
D-54-MG	0.13 ± 0.02	0.43 ± 0.07
U-87MG	0.13 ± 0.02	0.60 ± 0.01
<b>Neuroblastoma</b>		
SK-N-SH	0.14 ± 0.02	0.96 ± 0.06
SK-N-MC	0.17 ± 0.03	1.00 ± 0.05
<b>Malignant Melanoma</b>		
SK-MEL-5	0.18 ± 0.03	1.42 ± 0.04
SK-MEL-28	0.19 ± 0.03	1.79 ± 0.05
<b>Breast adenocarcinoma</b>		
MB-453	0.68 ± 0.18	2.85 ± 0.14
MB-468	0.60 ± 0.03	2.39 ± 0.10
SK-BR-3	0.60 ± 0.03	2.14 ± 0.13
T47D	0.58 ± 0.01	2.13 ± 0.04
BT-20	0.57 ± 0.02	2.07 ± 0.13
BT-474	0.61 ± 0.03	2.20 ± 0.17
<b>Lung adenocarcinoma</b>		
SW-900	0.20 ± 0.02	0.68 ± 0.10
SK-LU-1	0.19 ± 0.02	0.57 ± 0.07
A-427	0.22 ± 0.01	0.88 ± 0.07
<b>Small cell lung carcinoma</b>		
NCI-H69	0.25 ± 0.04	1.42 ± 0.20
NCI-H82	0.20 ± 0.09	1.16 ± 0.13
<b>Colon adenocarcinoma</b>		
SK-Co-1	0.27 ± 0.03	0.98 ± 0.11
HT-29	0.37 ± 0.02	1.78 ± 0.20
<b>Prostate adenocarcinoma</b>		
PC-3	0.17 ± 0.01	0.60 ± 0.01
DU-145	0.15 ± 0.01	0.52 ± 0.01
<b>Kidney adenocarcinoma</b>		
SW-839	0.2 ± 0.01	1.43 ± 0.01
<b>Osteogenic sarcoma</b>		
SAOS-2	0.24 ± 0.02	1.22 ± 0.07
U-2 OS	0.13 ± 0.04	1.93 ± 0.05
<b>Bladder cell carcinoma</b>		
T-24	0.13 ± 0.01	1.25 ± 0.03
<b>Ovarian adenocarcinoma</b>		
SKOV-3	0.12 ± 0.01	1.14 ± 0.02
<b>Larynx carcinoma</b>		
HEP-2	0.25 ± 0.01	1.25 ± 0.01
<b>Normal human fibroblast</b>		
GM-8333	0.13 ± 0.01	0.39 ± 0.01

### EXAMPLE 6

#### Immunoanatomic Distribution and Immunopathologic Analysis of H11

Immunohistochemistry was used to determine H11 specificity for micro-anatomical detail and heterogeneity in tissues and tumors. Limitations of this technique include possible false negative results due to low levels of expression of the molecule under study, as well as false positive results (cross-reactivity) due to antibody-binding to similar epitopes or epitopes shared by other antigens. To address these limitations, this study was carried out at the highest concentration of antibody that did not show non-specific binding. This allowed for detection of all levels of cross-reactivity in different tissues. Also, fixation analysis established the best combination of antigenic staining intensity and morphological preservation. The present example presents results obtained from IMPATH Inc., New York, retained to study the cellular specificity and antigen expression of H11, on a selected panel of cryostat-cut frozen sections of normal and tumor tissues. The study used an indirect immunoperoxidase technique.

Histologically normal human tissues were obtained from surgical and autopsy specimens. These fresh tissues were embedded in OCT (Miles Laboratories, Inc., Naperville, IL) in cryomolds, snap-frozen in isopentane, cooled by liquid nitrogen. The tissues from IMPATH's frozen tissue bank were cut at 5 microns, placed on poly-L-lysine coated slides, air-dried, and stored at -70°C.

H11, received on wet ice and stored at 2-8°C, was supplied non-biotinylated at a concentration of 200 µg/mL, total volume of 3.0 mL. A human myeloma IgM (Pierce Cat. #31146), also supplied by Novopharm, was used as the negative control. Both antibodies were diluted in phosphate buffered saline to the same working concentrations dictated by titration analysis of antibody H11. The peroxidase-labeled secondary antibody was a goat anti-human IgM (American Qualex, San Clemente, CA, lot #A112PN) diluted in PBS to 1:500.

**Immunoperoxidase Techniques:** Immunohistochemical studies were performed using an indirect immunoperoxidase method. The cryostat cut sections were removed from the -70°C freezer, air-dried and fixed according to the fixation protocol (fixation

5 details, provided below). Tissue sections were blocked for 10 minutes with 5% normal goat serum diluted in PBS, then incubated with the primary antibody overnight at 4°C. Slides were washed in PBS, followed by a wash with 0.5% Tween/PBS solution, then PBS again. Endogenous peroxidase activity was blocked with a 30 minute 3% hydrogen peroxide/methanol incubation, followed by 3 washes of PBS. The sections were then incubated with goat anti-human IgM (peroxidase-labeled) secondary antibody for 15 minutes, at room temperature, and washed in PBS as described above.

10 The peroxidase reaction was visualized by incubating tissue sections for 2–5 minutes with 3,3-diaminobenzidine-tetrahydrochloride (DAB) (Sigma Chemical Co., St. Louis, MO). Tissue sections were thoroughly washed, counterstained with a modified Harris hematoxylin (Fisher Scientific, Fairlawn, NJ) dehydrated through graded alcohols, cleared in xylene, and coverslipped. Tissues that demonstrated high levels of background staining with the negative control antibody were repeated with more extensive washing. Human breast carcinoma (F95-036), supplied by IMPATH, was the positive control for H11. Negative controls substituted the primary test antibody with purified human myeloma IgM.

20 The purpose of the fixation analysis was to establish the conditions which provide the optimal combination of antigenic staining intensity and morphological preservation. The positive control tissue was tested with five fixation protocols, including no fixation. The fixation protocols tested were 10% neutral buffered formalin (23-25°C), acetone (2-8°C), methyl/acetone (1:1 V/V, 2-8°C) and 95% ethanol (23-25°C). For this study, 10% neutral buffered formalin (NBF) gave optimal results for H11.

25 Using 10% NBF as the fixative, serial antibody dilutions (20.0 µg/mL to 0.1 µg/mL) were tested on the positive control, human breast carcinoma. A concentration of 10.0 µg/mL of antibody H11 gave optimal results—maximum staining intensity without significant background staining of the negative control.

The results obtained are depicted in Tables 5 and 6. Table 5 depicts H11 reactivity on normal tissues and Table 6 depicts H11 reactivity on human tumors.

TABLE 5

<u>Tissue</u>	<u>Tested Positive/Total</u>	<u>Range of Reactivity (0-3+)</u>
Adrenal	0/3	0
Bladder	0/3	0
Bone Marrow	1/3	1+
Brain	0/3	0
Breast	0/3	0
Cervix	0/3	0
Esophagus	0/3	0
Eye	0/3	0
Heart	0/3	0
Kidney	0/3	0
Large Intestine	0/3	0
Liver	0/3	0
Lung	0/3	0
Lymph Node	0/3	0
Muscle	0/3	0
Ovary	0/2	0
Pancreas	0/3	0
Parotid	0/3	0
Pituitary	0/1	0
Prostate	0/3	0
Skin	0/3	0
Small intestine	0/3	0
Spinal cord	0/3	0
Spleen	0/3	0
Stomach	0/3	0
Testis	0/3	0
Thymus	0/3	0
Thyroid	0/3	0
Tonsil	1/3	1+
Uterus	0/3	0
WBC	0/3	0



TABLE 6

<u>Tumor</u>	<u>Tested Positive/Total</u>	<u>% of Tumor Cells Staining</u>	<u>Range of Reactivity (0-3+)</u>
Breast carcinoma	2/3	30-90	1-3+
Colon carcinoma	3/3	40-70	1-2+
Glioma	4/6	30-90	1-2+
Gastric carcinoma	3/3	30-50	1-2+
Lung adenocarcinoma	3/4	10-70	1-2+
Lung squamous carcinoma	3/3	10-95	1-3+
Lung small cell carcinoma	1/2	30	1+
Lymphoma	8/8	10-95	1-3+
Melanoma	3/3	20-95	1-2+
Ovarian carcinoma	3/3	20-30	1-3+
Prostate carcinoma	3/3	20-95	1-2+
Sarcoma	0/3	0	0

The results obtained indicate that weak (1+) to strong (3+) reactivity was observed in over 70% of the positive control sample. The antigen recognized by H11 has a restricted pattern of distribution. H11 was largely unreactive with normal human tissues tested in the IMPATH system. All simple epithelial cells, as well as the stratified epithelia and squamous epithelia of different organs were found to be unreactive. Reactivity was also not seen in neuroectodermal cells, including those in the brain, spinal cord and peripheral nerves. Mesenchymal elements such as skeletal and smooth muscle cells, fibroblasts, and endothelial cells were negative. Tissues of lymphoid origin including bone marrow, lymph node, spleen, and thymus were largely unreactive with antibody H11. Weak (1+) reactivity was observed in rare cells in one specimen of bone marrow and in the germinal centers of one of three specimens of tonsil tested.

Positive immunoreactivity was observed in almost all specimens of tumor tested including breast, colon, glioma, gastric, lung (adeno, squamous, and small cell), lymphoma, melanoma, ovarian, and prostate. Reactivity was seen in 10% to greater than 95% of the tumor cells present in these specimens; staining intensity ranged from weak (1+) to strong (3+). Antibody H11 was, however, unreactive with all three specimens of sarcoma tested. Some but not all normal counterparts of the tumor cells, when present in the specimens, were reactive with H11. A few normal cells present in breast, gastric and

prostate carcinoma were reactive with antibody H11. The large granular cells that were reactive with antibody H11 are believed to be inflammatory cells of the eosinophile-mast cell lineage.

In summary, antibody H11 is largely unreactive with normal human tissues with the exception of some normal tissues present in tumors. The H11 antibody detects an antigen that is expressed in almost all of the tumors tested.

### EXAMPLE 7

#### H11 Cloning, Expression and immunologic reactivity

In order to determine the ability of H11-scfv antibody fragments to bind specifically to cancer cells, the following experiments were performed.

The single chain antibody constructs were made by the following procedure. Primers specific to the 5' and 3' ends of the H11 kappa and mu V regions were synthesized on an Applied Biosystems DNA synthesizer. All the primers contained a restriction endonuclease site for cloning. Primers 5 and 6 also contained additional nucleotides that encode a (SGGGG)<sub>3</sub> linker. The primers used are listed in Table 7 where the restriction endonuclease site introduced is underlined.

TABLE 7

Primer	Sequence (5'→3')	Site Introduced
1.	TATGAAGACACCAGGCCGATATTGTGTTGACGCAG (SEQ ID NO:7)	BbsI
2.	TATCCGGATGCAGCCACAGTTCGTTT (SEQ ID NO:8)	BspEI
3.	TATTCGGACAGGTGCAGCTGGTGGAG (SEQ ID NO:9)	BspEI
4.	TATGGATCCTGAGGAGACGGTGACCGT (SEQ ID NO:10)	BamHI
5.	TATATATCCGGAGGTGGTGGATCAGGTGGAGGTGGCTC CCAGGTGCAGCTGGTGGAGTCT (SEQ ID NO:11)	BspEI
6.	ACCTCCGGAACCGCCACCGCCAGAGACAGATGGTGCA GCCACATTC (SEQ ID NO:12)	BspEI

PCR reactions were carried out using primers 1 and 2 for the kappa dimer, primers 3 and 4 for the mu dimer, primers 1 and 6 for the kappa monomer and primers 4 and 5 for the mu monomer. The PCR fragments were then purified and digested with their respective restriction endonucleases. The coding nucleotides are depicted in SEQ ID NOS:13 and 16 and the complementary nucleotides are depicted in SEQ ID NOS:15 and 18, respectively.

The expression vector pSJF1 containing a ribosome binding site, OmpA signal peptide sequence, c-myc (9E10) detection tag and histidine tail (See Figure 8) was prepared by cutting with BbsI and BamHI. The monomer and dimer constructs were assembled by ligating the respective kappa and mu fragments into pSJF1 and transforming them into competent TG1 *E. coli*. Resulting colonies were screened by colony PCR and restriction endonuclease digests to confirm the correct size inserts and the sequences were verified by dideoxy fluorescent sequencing.

Transformed TG1 containing either the H11 monomer or dimer expression plasmid were shaken at 26°C for 24 hours followed by the addition IPTG to a final concentration of 0.1 μM. The cells were incubated for a further 16 hours and then harvested by centrifugation. The periplasmic proteins containing the H11 antibody were released by

treatment with sucrose buffer (25% sucrose, 1 mM EDTA, 10 mM Tris pH 8.0) followed by ice cold shock buffer (10 mM Tris 8.0, 0.5 mM  $MgCl_2$ ). Expression was verified by polyacrylamide gel electrophoresis and Western Blotting. The antibody was purified using a nickel-charged column (Pharmacia HiTrap chelating column) and the bound antibody was eluted with an increasing gradient of imidazole. The purified antibody was dialyzed against PBS/0.02% sodium azide and concentrated to 0.5 mg/mL.

The antigenic similarities between Mab H11 and H11-scFv were also determined by cell fixed ELISA. ELISA plates coated with A375 cells were incubated with Mab H11, control IgM, H11-scFv or control BGA-scFv followed by incubation with rabbit anti-human IgM antibody or rabbit anti-scFv antibody as appropriate. The detection was by goat anti-rabbit IgG-horse radish peroxidase followed by substrate. The results, shown in Figure 9, demonstrate a high affinity of both H11 IgM and H11-scFv, and a low affinity of both the control IgM and BGA-SL-6.

In order to determine the specificity of biotinylated H11-scFv relative to a biotinylated control scFv, the following experiment was performed. Human tumor cells were fixed to ELISA plates and incubated with either biotinylated H11-scFv or biotinylated BGA scFv (control) as described above.

Biotinylated H11-scFv also demonstrated a much greater affinity (between 8- and 50-fold) for tumor cell lines than the control in cell fixed ELISA. Data corresponding to a concentration of 2.5  $\mu g/mL$  of H11-scFv or BGA scFv is shown in Table 8 and Figure 10.

Figure 11 illustrates the portion of Table 8 related to the titration of reactivity of biotinylated H11-scFv for the binding to lymphoma cells Daudi, Ramos, CA-46 and CCRF-CEM cells. At every concentration tested (1.25 to 10  $\mu g/mL$ ), H11-scFv demonstrated a high affinity for lymphoma cells, but BGA scFv did not.

TABLE 8

Tumor Cell Lines	Reactivity (O.D. at 450 nm $\pm$ SD)	
	Biotinylated BGA scFv	Biotin-H11-scFv
<b>Human Glioblastoma</b>		
SKMG-1	0.01 $\pm$ 0.01	0.56 $\pm$ 0.04
U-118MG	0.01 $\pm$ 0.02	0.47 $\pm$ 0.03
D-54MG	0.01 $\pm$ 0.00	0.50 $\pm$ 0.02
<b>Neuroblastoma</b>		
SK-N-MC	0.01 $\pm$ 0.00	0.50 $\pm$ 0.02
<b>Malignant Melanoma</b>		
SK-MEL-5	0.02 $\pm$ 0.01	0.61 $\pm$ 0.04
A-375	0.12 $\pm$ 0.03	0.97 $\pm$ 0.03
SK-MEL-28	0.02 $\pm$ 0.00	0.71 $\pm$ 0.04
<b>Breast adenocarcinoma</b>		
T47D	0.02 $\pm$ 0.00	0.64 $\pm$ 0.03
MB-468	0.01 $\pm$ 0.00	0.65 $\pm$ 0.01
SK-BR-3	0.01 $\pm$ 0.00	0.58 $\pm$ 0.02
BT-20	0.01 $\pm$ 0.00	0.54 $\pm$ 0.06
BT-474	0.01 $\pm$ 0.00	0.60 $\pm$ 0.01
<b>Lung adenocarcinoma</b>		
SW-9000	0.01 $\pm$ 0.00	0.41 $\pm$ 0.02
SK-LU-1	0.01 $\pm$ 0.00	0.45 $\pm$ 0.03
A-427	0.01 $\pm$ 0.00	0.40 $\pm$ 0.05
<b>Colon adenocarcinoma</b>		
SK-Co-1	0.01 $\pm$ 0.00	0.56 $\pm$ 0.01
HT-29	0.01 $\pm$ 0.00	0.53 $\pm$ 0.06
LS17T	0.01 $\pm$ 0.00	0.57 $\pm$ 0.02
<b>Osteogenic sarcoma</b>		
SAOS-2	0.02 $\pm$ 0.00	0.88 $\pm$ 0.06
U-2 OS	0.02 $\pm$ 0.00	0.93 $\pm$ 0.01
<b>Bladder cell carcinoma</b>		
T-24	0.02 $\pm$ 0.01	0.97 $\pm$ 0.05
<b>Ovarian adenocarcinoma</b>		
SK-OV-3	0.01 $\pm$ 0.00	0.77 $\pm$ 0.02
<b>Larynx carcinoma</b>		
HEP-2	0.02 $\pm$ 0.00	0.08 $\pm$ 0.04
<b>Prostate carcinoma</b>		
DU-145	0.01 $\pm$ 0.00	0.42 $\pm$ 0.02
PC-3	0.01 $\pm$ 0.00	0.36 $\pm$ 0.01

Tumor Cell Lines	Reactivity (O.D. at 450 nm $\pm$ SD)	
	Biotinylated BGA scFv	Biotin-H11-scFv
<b>Small cell lung carcinoma</b>		
NCI-H82	0.01 $\pm$ 0.00	0.44 $\pm$ 0.02
NCI-69	0.01 $\pm$ 0.00	0.44 $\pm$ 0.01
<b>Lymphoma cell lines</b>		
<b>Chronic myelogenous leukemia</b>		
K-562	0.02 $\pm$ 0.00	0.65 $\pm$ 0.00
<b>Acute lymphoblastic leukemia</b>		
CEM	0.04 $\pm$ 0.00	1.4 $\pm$ 0.03
<b>Burkitt Lymphoma</b>		
CA-46	0.02 $\pm$ 0.00	1.2 $\pm$ 0.02
RAMOS	0.04 $\pm$ 0.00	1.3 $\pm$ 0.02
DAUDI	0.02 $\pm$ 0.00	1.38 $\pm$ 0.01

In order to verify the specificity of biotinylated H11-scFv for cancerous cells, the following experiment was performed. Malignant and normal tissue specimens were prepared and incubated with biotinylated H11-scFv as described above.

5 The H11-scFv was used to stain sections of tumor and normal tissues. The results are depicted in Table 8 for normal tissues and Table 9 for tumor tissues.

Figure 12 depicts the relative fluorescence intensity of H11-scFv and control scFv to tumor cell lines.

10 The data in Table 9 demonstrate that biotinylated H11-scFv generally does not react to normal tissues. Almost all of the normal tissues tested demonstrated no measurable reactivity, with only a weakly positive signal generated by normal pancreas and peripheral nerve tissues. In Table 9, -ve indicates no measurable activity and +/- indicates weakly positive activity.

TABLE 9

Normal Tissues	Reactivity of Biotinylated H11-scFv (50 µg/mL)
Cortex	-ve
Breast	-ve
Colon	-ve
Heart	-ve
Liver	-ve
Lymph node	-ve
Prostate	-ve
Thyroid	-ve
Adrenal	-ve
Cerebellum	-ve
Lung	-ve
Pancreas	+/-
Peripheral Nerve	+/-
Skin	-ve
Spleen	-ve
Smooth muscle	-ve
Stomach	-ve
Thymus	-ve

TABLE 10

Tissue Type	Number of positives/ Total samples tested	Percentage of Tumor Cells staining	Range of Reactivity (0 - +3)
Breast carcinoma	27/31	40/60	1-3+
Colon carcinoma	23/26	80/100	1-3+
Melanoma	13/14	50/70	1-3+
Prostate carcinoma	17/20	20/70	1-2+
Cervix squamous cell carcinoma	22/24	nd	1-2+
Cervix adenocarcinoma	9/9	nd	1-2+
Kaposi Sarcoma	7/8	nd	1-2+
Benign Colon	0/2	0	0

nd: not determined

The results presented in Table 10 indicate that positive staining was found in most breast (27/31) and colon (23/26) and prostate (17/20) carcinoma samples tested. Positive staining was found at 25 µg/mL concentration of H11-scFv. Although the staining was predominantly detected in tumor cells, various degrees of reactivity were also found on stroma and adjacent tissue. The H11-scFv was also tested for its specificity for normal tissue. The results obtained are presented in Table 11 which summarizes the immunohistochemistry staining of H11-scFv with normal human tissue sections.



**TABLE 11**

Tissue	H11-scFv (25 µg/mL)	3B1 scFv (25 µg/mL)
Adrenal	±	—±
Cerebellum	—	—
Cortex	—	—
Colon	±	—
Breast	—±	—
Kidney	±	—±
Aorta	—±	—
Heart	±	—±
Liver	±~+	±
Lung	—	—
Lymph node	—	—
Pancreas	—±	—
Pituitary	±~+	—
Prostate	—(focal ±)	—(focal ±)
Peripheral nerve	—±	—
Skin	—(sweat gland ±)	—(sweat gland ±)
Spleen	—±	—
Small intestine	—±	—
Stomach	—±	—
St. muscle	—±	—±
Thymus	—±	—
Thyroid	—±	—
S94-7474-2		
(Colon Car. control)	++	—

**EXAMPLE 8****Reactivity of H11-scFv to Live Tumor Cells as Determined by Flow Cytometry**

5 In order to test the reactivity of H11-scFv to live tumor cells, cells from tumor cell lines were prepared for flow cytometry as described above in Example 2. Tumor cells were incubated with either biotinylated H11-scFv or control biotinylated scFv as described above at a protein concentration of 100 µg/mL or 200 µg/mL. The reactivity was determined as the mean fluorescence and % positive cells. A biotinylated H11-scFv was prepared as described above and at a protein concentration of either 100 µg/mL or 200 µg/mL. The mean fluorescence and % positive cells are shown in Table 12 where # is

biotinylated 3B1 as control scFv; \* is biotinylated BGA SL-6 as control scFv; \*\* is PBS 5% FCS as control; and \*\*\* is Biotin-5B1 as control scFv.

**Table 12**

Cell Line	Protein conc'n (µg/mL)	Mean Fluorescence		% Positive Cells	
		Biotinylated 3B1 scFv #	Biotinylated H11-scFv	Biotinylated 3B1 ScFv #	Biotinylated H11-scFv
<b>SK-BR-3</b> (Breast adeno-carcinoma)	200	149	233	11	36
<b>MB-468</b> (Breast adeno-carcinoma)	200	144	156	9	11
<b>A-375</b> (Melanoma)	200	111	207	10	80
<b>A-375</b> (Melanoma)	200	161*	235	28	76
<b>LS-174T</b> (Colon adeno carcinoma)	200	182	233	24	37
<b>HT-29</b> (Colon adeno-carcinoma)	200	141	179	12	18
<b>SKMG-1</b> (Glioma)	100	148**	206	9	31
	100	189**	224	14	27
<b>H9</b> (T cell Lymphoma)	100	185***	145	20	13
<b>WI-38</b> (Human diploid lung cells)	100	293***	255	26	15

5

<sup>125</sup>I labeled H11-scFv demonstrated an affinity of binding (K<sub>a</sub>) of  $3 \times 10^8$  L/mol for LS174T cells (specific binding shown in Figure 13). <sup>111</sup>In-H11-scFv demonstrated an affinity of binding (K<sub>a</sub>) of  $3.6 \times 10^8$  L/mol for A-375 cells and  $1.4 \times 10^9$  L/mol for SKMG1 cells. The results are depicted in Figure 14. There were approximately 24,000 binding sites/cell for A-375 cells and approximately 5000 binding sites/cell for SKMG1.

These results indicate that purified forms of Mab H11 bind to a cell surface-associated antigen(s) expressed on breast adenocarcinoma (SK-BR-3), glioblastoma (SKMG-1), and melanoma (A-375) lymphoma cell lines.

In order to further test the reactivity of biotinylated H11-scFv to live lymphoma cells, cells from tumor cell lines were prepared and incubated with biotinylated H11-scFv at a protein concentration of either 100 µg/mL or 200 µg/mL and analyzed by flow cytometry as described above in Example 2. The mean fluorescence and % positive cells were measured by flow cytometry. The control for scFv binding was biotinylated BGA scFv. Results are shown in Table 13.

Table 13

CELL LINE	SAMPLES	CONC. (µg/mL)	MEAN FLUORESCENCE	% FLUORESCENCE INCREASE	% POSITIVE CELLS
Burkitt's Lymphoma  CA-46	PBS		123		10
	BIOTIN-BGA scFv	200	126		9
		100	133		14
	BIOTIN-H11-scFv	200	262	108	76
		100	221	66	58
T cell lymphoma  H9	PBS		150		6
	BIOTIN-BGA scFv	200	155		8
		100	149		7
	BIOTIN-H11-scFv	200	186	20	13
		100	171	15	9
Acute lymphoblastoid leukemia  CCRF-CEM	PBS		151		8
	BIOTIN-BGA scFv	200	171		14
		100	159		10
	BIOTIN-H11-scFv	200	231	35	34
		100	242	52	38
Burkitt's Lymphoma  RAMOS	PBS		151		10
	BIOTIN-BGA scFv	200	174		15
		100	169		13
	BIOTIN-H11-scFv	200	423	143	95
		100	316	87	67

**EXAMPLE 9**

5     Binding of Biotinylated H11-scFv to Human Tumor Cells Determined by  
      Immunoperoxidase Staining

      In order to determine immunoreactivity of H11-scFv, the following experiment was performed. Tumor cells were grown in T-flasks and cytopins were prepared and incubated with biotinylated H11-scFv or PBS to determine binding.

10     The results of the immunoreactivity of H11-scFv are shown in Table 14 where reactivity is indicated as negative (--), weak positive ( $\pm$ ), positive (+ or ++). These results indicate that, as determined by immunoperoxidase staining, the epitope recognized by Mab H11 is expressed by a number of different types of human tumor cells and cell lines.

Table 14

CELL LINES/TYPE OF TUMOR	REACTIVITY	
	PBS	H11-scFv (50 µg/mL)
<u>HUMAN GLIOBLASTOMA</u>		
SKMG 1	--	+
U-87 MG	--	+
<u>HUMAN MALIGNANT MELANOMA</u>		
A-375	--	+
SK-MEL-5	--	++
<u>HUMAN COLON ADENOCARCINOMA</u>		
SK-CO-1	--	+
HT-29	--	+
174T		+
<u>HUMAN BREAST ADENOCARCINOMA</u>		
SK-BR-3	--	+
BT-20	--	+
<u>HUMAN LYMPHOMA CELL LINES</u>		
U-937 Histiocytic Lymphoma	--	±
H9 T Cell Lymphoma	--	+
CEM Acute Lymphoblastoid leukemia	--	-
MOLT-3 Acute Lymphoblastoid leukemia	--	±
HL-60 Promyelocytic leukemia	--	+
KG-1 Acute myelogenous leukemia	--	+
K-562 Chronic myelogenous leukemia	--	+
<u>GASTRIC CARCINOMA</u>		
KATO III	--	++
<u>HUMAN OSTEOGENIC SARCOMA</u>		
SAOS-2	--	±
<u>HUMAN OVARY ADENOCARCINOMA</u>		
SK-OV-3	--	±
<u>BLADDER CELL CARCINOMA</u>		
T-24	--	+
<u>LARYNX CARCINOMA</u>		
Hep-2	--	+

**EXAMPLE 10****Reactivity of Recombinantly Produced H11 IgG1**

5

H11 IgG1 was produced in Chinese Hamster Ovary (CHO) cells as follows.

Several vectors containing cDNAs encoding light and heavy chain sequences of H11 were

prepared. The orientation, DNA inserts and antibiotic selection criteria of these constructs are shown in Table 15 where CMV is cytomegalovirus; DHFR is dihydrofolate reductase; HC is heavy chain and LC is light chain.

Table 15

vector	DNA insert	HC + LC promoter	promoter orientation	antibiotic selection	amplif.
ppNB1	cDNA heavy and light chains	CMV*	HC- clockwise LC- anticlockwise	neomycin	DHFR
pNB2	cDNA heavy and light chains	CMV	HC - clockwise LC- clockwise	zeocin	DHFR
pNB3	cDNA heavy and light chains	CMV	HC - clockwise LC - anticlockwise	zeocin	DHFR

These expression vectors have separate insertional sites for the sequences encoding the light and heavy antibody chains. A high level of constitutive expression of both the heavy and light chains is directed by the cytomegalovirus immediate - early (CMV) enhancer/promoter. A chimeric intron comprising the 5' donor site of the first intron of the human  $\beta$ -globin and the 3' acceptor site from the intron of an immunoglobulin gene (heavy chain variable region) is located downstream from the promoter which has frequently been shown to enhance gene expression levels. Polyadenylation of mRNAs are provided by the poladenylation signal from the simian virus 40 (SV40).

The plasmids also contain the gene encoding dihydrofolate reductase (DHFR) and can thus be grown in Chinese hamster ovary (CHO) DHFR deficient cells. Amplification using methotrexate, a folate analogue and potent DHFR inhibitor, results in amplification of the DHFR gene and its flanking sequences (namely, the light and heavy chains of the antibody in the construct). A stepwise increase in methotrexate (from about 0.01 nM to about 800 nM) concentration can produce very high levels of protein from the target gene(s). The constructs also contain a gene which confers antibiotic resistance was a selectable marker, either neomycin or zeomycin is used. The vectors are shown in Figs. 15 and 16.

Results of flow-cytometry analysis of recombinantly produced H11 IgG1 are shown in Table 16 and illustrate that H11 IgG1 which binds to an antigen on SK-BR-3 breast carcinoma cells can be produced in CHO cells.

**Table 16**

Cell Lines/I.D.	Conc. of IgG1 in samples (mg/L)	Mean Fluorescence (MF)	% Increase of MF above IgG1 control
PBS		156	
Control IgG1	5	169	
1129 / pNB1	2	172	2
1233 / pNB1	2	184	9
KL-13 / pNB2	3.3	192	14
KL-14 / pNB2	3.3	186	10
Sb2 / pNB3	4.0	224	33
3sB3 / pNB3	3.9	200	18

**EXAMPLE 11****H11 Binding to Cancer Cell Lines**

The binding affinities of H11 IgM and H11-scFv for various human cancer cell lines were determined by labeling H11 antibodies with either radioactive iodine or radioactive indium.  $^{125}\text{I}$ -H11-scFv was prepared with specific activities of 7, 20 or 150  $\mu\text{Ci}/\mu\text{g}$  and  $^{125}\text{I}$ -H11 IgM with 0.6  $\mu\text{Ci}/\mu\text{g}$  were obtained. In addition,  $^{111}\text{In}$ -H11-scFv having a specific activity of 13 and 38  $\mu\text{Ci}/\mu\text{g}$  was prepared as described in Example 12. The scFv 3B1, which does not recognize the C-antigen, was used as a control to indicate non-specific binding and was labeled with 150  $\mu\text{Ci}/\mu\text{g}$ .

$^{125}\text{I}$ -H11-scFv was purified using a P-2 minicolumn and analyzed by paper chromatography in 85% methanol as shown in Figure 17.  $^{125}\text{I}$ -H11 IgM was purified using a Sephadex G-50 mini-column (Pharmacia) and analyzed by paper chromatography in 85% methanol as shown in Figure 18. A Sephadex G-50 column was used to purify  $^{111}\text{In}$ -H11 scFv which was then analyzed by ITLC-SG in 0.1 M Citrate as shown in Figure 19.

Results of H11 binding are shown in Figures 13 and 14. Figure 13 shows the specific binding of  $^{125}\text{I}$ -H11-scFv to LS174T human colon cancer cells. Figure 14 shows the total binding of  $^{111}\text{In}$ -H11-scFv to A375 cells.

The results obtained indicate that H11 binds specifically to both LS174T and human melanoma cells. H11 also binds, but with lower affinity, to the breast cancer cell line.

### EXAMPLE 12

#### Tumor Imaging with $^{111}\text{In}$ -DTPA-H11-scFv

H11-scFv was conjugated with the bicyclic anhydride of diethylenetriaminepentaacetic acid (DTPA) at a molar ratio of 10:1 (DTPA:H11-scFv) resulting in a substitution level of 2 moles of DTPA per mole of H11-scFv. DTPA-H11-scFv was purified from excess DTPA on a Sephadex G-25 (Pharmacia) mini-column and reconcentrated to 10 mg/mL using a Centricon-30 microconcentrator (Amicon). The DTPA-H11-scFv was radiolabeled to a specific activity of 25 mCi/mg with  $^{111}\text{In}$  indium acetate. Unincorporated  $^{111}\text{In}$  was removed using a Sephadex G-25 minicolumn. The  $^{111}\text{In}$  indium acetate was prepared from  $^{111}\text{In}$  indium chloride (Nordion) and 1 M acetate buffer at pH 6.0. The radiochemical purity of the final  $^{111}\text{In}$ -DTAP-H11-scFv was greater than 99% as measured by thin layer silica gel chromatography in 100 mM sodium citrate pH 5.0. Figure 19 shows the purification and TLC.

A female nude mouse with an existing subcutaneous A375 melanoma xenograft on the right lateral side and a subcutaneous HT-29 human colon cancer xenograft in the mid-abdominal region was injected intravenously in the tail vein with 100  $\mu\text{Ci}$  of  $^{111}\text{In}$ -DTAP-H11-scFv. The mouse was immediately placed under the gamma camera (Siemens ZL3700) interfaced with a GE Star 4000i computer and a dynamic acquisition was obtained for 120 minutes, for a total of 480 frames of 15 seconds each. The frames were then combined into 12 x 10 minute images. The A375 tumor was visible on the right lateral side of the mouse as early as 30 minutes post-injection.

Region-of-interest analysis of the two tumors showed that the A375 tumor accumulated radioactivity throughout the 120 minute study, whereas the HT-29 tumor



accumulated radioactivity for the first hour and then the radioactivity concentration remained relatively constant. Figure 20 shows 12 frames and the two arrows on the bottom right hand frame, taken at 120 minutes, show the accumulation of radioactivity in the two tumors. The narrow arrow points to the A375 tumor, and the broad arrow points to the HT-29 tumor. Normal tissues visible on the images include the heart, liver, kidneys and bladder. The heart is visible due to circulating amounts of radioactivity, and the kidneys and bladder are visible due to renal elimination of  $^{111}\text{In}$ -DTAP-H11-scFv. The small amount of liver uptake may be due to blood flow to the liver or to partial binding of  $^{111}\text{In}$ -DTAP-H11-scFv to the liver.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity and understanding, it will be apparent to those skilled in the art that certain changes and modifications may be practiced. Therefore, the description and examples should not be construed as limiting the scope of the invention, which is delineated by the appended claims.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Dan, Michael D.  
Maiti, Pradip K.  
Kaplan, Howard A.
- (ii) TITLE OF INVENTION: ANTIGEN BINDING FRAGMENTS H11, THAT SPECIFICALLY DETECT CANCER CELLS, NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE PROPHYLAXIS AND DETECTION OF CANCERS
- (iii) NUMBER OF SEQUENCES: 18
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Morrison & Foerster
  - (B) STREET: 755 Page Mill Road
  - (C) CITY: Palo Alto
  - (D) STATE: CA
  - (E) COUNTRY: USA
  - (F) ZIP: 94304-1018
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Lehnhardt, Susan K.
  - (B) REGISTRATION NUMBER: 33,943
  - (C) REFERENCE/DOCKET NUMBER: 31608-20001.20
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (415) 813-5600
  - (B) TELEFAX: (415) 494-0792
  - (C) TELEX: 706141

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 543 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ix) FEATURE:
  - (A) NAME/KEY: CDS
  - (B) LOCATION: 1..543

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CAAGCTATTT	AGGTGACACT	ATAGAATACT	CAAGCTATGC	ATCCAACGCG	TTGGGAGCTC	60
TCCCATATGG	TCGACCTGCA	GGCGGCCGCA	CTAGTGATTT	CAAGCTTCAT	CACTGAACAC	120
AGAGGACTCA	CCATGGAGTT	TGGGCTGAGC	TGGGTTTTC	TCGTTGCTCT	TTTAAGAGGT	180
ATCCAGTGTC	AGGTGCAGCT	GGTGGAGTCT	GGGGGAGGCG	TGTTCCAGCC	TGGGAGGTCC	240
CTGAGACTCT	CCTGTGCAGC	CTCTGGATT	CCCTTCAGAA	GCTTTGCTAT	GCACTGGGTC	300
CGCCAGGCTC	TAGGCAAGGG	GCTGGAGTGG	GTGGCAGTTA	TATCATATGA	TGGAAGCACT	360
AAATACTACG	CAGACTCCGT	GAAGGGGCGA	TTCACCATCT	CCAGAGACAC	TTCCAAGAAC	420
ACGGTGTATC	TAAAAATGAA	CAGGCTGAGA	ACTGAGGACA	CGGCTGTCTT	TTACTTGTGC	480
GAAAGACAGA	GCCTGCTGGG	TGACTATGAC	CACTACTACG	GNTTGGACGC	TTGGGGAAAG	540
GGA						543

(2) INFORMATION FOR SEO ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 179 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEO ID NO:2;

Gln	Ala	Ile	Val	Thr	Leu	Asn	Thr	Gln	Ala	Met	His	Pro	Thr	Arg	Trp
1				5					10					15	
Glu	Leu	Ser	His	Met	Val	Asp	Leu	Gln	Ala	Ala	Ala	Leu	Val	Ile	Ser
			20					25					30		
Ser	Phe	Ile	Thr	Glu	His	Arg	Gly	Leu	Thr	Met	Glu	Phe	Gly	Leu	Ser
		35					40					45			
Trp	Val	Phe	Leu	Val	Ala	Leu	Leu	Arg	Gly	Ile	Gln	Cys	Gln	Val	Gln
	50					55					60				
Leu	Val	Glu	Ser	Gly	Gly	Gly	Val	Val	Gln	Pro	Gly	Arg	Ser	Leu	Arg
65					70					75					80
Leu	Ser	Cys	Ala	Ala	Ser	Gly	Phe	Pro	Phe	Arg	Ser	Phe	Ala	Met	His
				85					90					95	
Trp	Val	Arg	Gln	Ala	Leu	Gly	Lys	Gly	Leu	Glu	Trp	Val	Ala	Val	Ile
			100					105					110		

Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys Gly Arg  
 115 120 125

Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu Lys Met  
 130 135 140

Asn Arg Leu Arg Thr Glu Asp Thr Ala Val Phe Tyr Leu Cys Glu Arg  
 145 150 155 160

Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp Ala Trp  
 165 170 175

Gly Lys Gly

## (2) INFORMATION FOR SEQ ID NO:3:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 543 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

TCCCTTTCCC CAAGCGTCCA ANCCGTAGTA GTGGTCATAG TCACCCAGCA GGCTCTGTCT	60
TTCGCACAAG TAAAGACAG CCGTGTCTC AGTTCTCAGC CTGTTTATTT TTAGATACAC	120
CGTGTCTCTG GAAGTGTCTC TGGAGATGGT GAATCGCCCC TTCACGGAGT CTGCGTAGTA	180
TTTAGTGCTT CCATCATATG ATATACTGC CACCCACTCC AGCCCCTTGC CTAGAGCCTG	240
GCGGACCCAG TGCATAGCAA AGCTTCTGAA GGGGAATCCA GAGGCTGCAC AGGAGAGTCT	300
CAGGGACCTC CCAGGCTGGA CCACGCCTCC CCCAGACTCC ACCAGCTGCA CCTGACACTG	360
GATACCTCTT AAAAGAGCAA CGAGGAAAAC CCAGCTCAGC CCAAATCCA TGGTGAGTCC	420
TCTGTGTTCA GTGATGAAGC TTGAAATCAC TAGTGCGGCC GCCTGCAGGT CGACCATATG	480
GGAGAGCTCC CAACGCGTTG GATGCATAGC TTGAGTATTC TATAGTGTCA CCTAAATAGC	540
TTG	543

## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 450 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

## (ix) FEATURE:

- (A) NAME/KEY: CDS  
(B) LOCATION: 1..450

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

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CTCGAGATGG ACATGGAGTT CCAGGCGCAG CTTCTCTTCC TCCTGCTACT CTGGCTCCCA      60
GATATCACCG GAGATATTGT GTTGACGCAG TCTCCAGGCA CCCTGTCTTT GTCTCCAGGG      120
GAAAGAGCCA CCCTCTCCTG CAGGGCCAGT CAGAGTGTTA GTAGCAGCTA CTTAGCCTGG      180
TACCAGCAGA AACCTGGCCA GGCTCCCAGG CTCCTCATCT ATGGTGCATC CACCAGGGCC      240
ACTGGCATGC CAGACAGGTC CAGTGGCAGT GGGTCCGGGA CAGACTTCAC TCTCACCATC      300
AGTAGACTGG AGCCTGAAGA TTTTGCACTG TATTACTGTC AGCAGTATGG TAGCTCACCT      360
CAGACACCTC AGATCACTTT CGGCGGAGGG ACCAAGGTGG AGATCAAACG AACTGTGGCT      420
GCACCATCTG TCTTCATCTT CCCGCCATCT                                     450

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## (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 150 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

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Leu Glu Met Asp Met Glu Phe Gln Ala Gln Leu Leu Phe Leu Leu Leu      1
1              5              10              15
Leu Trp Leu Pro Asp Ile Thr Gly Asp Ile Val Leu Thr Gln Ser Pro
20              25              30
Gly Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg
35              40              45
Ala Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys
50              55              60
Pro Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala
65              70              75              80
Thr Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe
85              90              95
Thr Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr
100             105             110
Cys Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly
115             120             125

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Gly Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Pro Ser Val  
 130 135 140  
 Phe Ile Phe Pro Pro Ser  
 145 150

## (2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 450 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: double  
 (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

AGATGGCGGG AAGATGAAGA CAGATGGTGC AGCCACAGTT CGTTTGATCT CCACCTTGGT 60  
 CCCTCCGCCG AAAGTGATCT GAGGTGTCTG AGGTGAGCTA CCATACTGCT GACAGTAATA 120  
 CACTGCAAAA TCTTCAGGCT CCAGTCTACT GATGGTGAGA GTGAAGTCTG TCCCGGACCC 180  
 ACTGCCACTG AACCTGTCTG GCATGCCAGT GGCCCTGGTG GATGCACCAT AGATGAGGAG 240  
 CCTGGGAGCC TGGCCAGGTT TCTGCTGGTA CCAGGCTAAG TAGCTGCTAC TAACACTCTG 300  
 ACTGGCCCTG CAGGAGAGGG TGGCTCTTTC CCCTGGAGAC AAAGACAGGG TGCCTGGAGA 360  
 CTGCGTCAAC ACAATATCTC CGGTGATATC TGGGAGCCAG AGTAGCAGGA GGAAGAGAAG 420  
 CTGCGCCTGG AACTCCATGT CCATCTCGAG 450

## (2) INFORMATION FOR SEQ ID NO:7:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 34 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

TATGAAGACA CCAGGCCGAT ATTGTGTTGA CGCA 34

## (2) INFORMATION FOR SEQ ID NO:8:

- (i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 26 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

TATCCGGATG CAGCCACAGT TCGTTT 26

(2) INFORMATION FOR SEQ ID NO:9:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 26 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

TATTCGGACA GGTGCAGCTG GTGGAG 26

(2) INFORMATION FOR SEQ ID NO:10:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 27 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

TATGGATCCT GAGGAGACGG TGACCGT 27

(2) INFORMATION FOR SEQ ID NO:11:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 60 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

TATATATCCG GAGGTGGTGG ATCAGGTGGA GGTGGCTCCC AGGTGCAGCT GGTGGAGTCT 60

(2) INFORMATION FOR SEQ ID NO:12:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 46 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

ACCTCCGGAA CCGCCACCGC CAGAGACAGA TGGTGCAGCC ACATTC 46

## (2) INFORMATION FOR SEQ ID NO:13:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 918 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: join(1..906, 913..918)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

GAA TTC ATG AAA AAA ACC GCT ATC GCG ATC GCA GTT GCA CTG GCT GGT	48
Glu Phe Met Lys Lys Thr Ala Ile Ala Ile Ala Val Ala Leu Ala Gly	
1 5 10 15	
TTC GCT ACC GTT GCG CAG GCC GAT ATT GTG TTG ACG CAG TCT CCA GGC	96
Phe Ala Thr Val Ala Gln Ala Asp Ile Val Leu Thr Gln Ser Pro Gly	
20 25 30	
ACC CTG TCT TTG TCT CCA GGG GAA AGA GCC ACC CTC TCC TGC AGG GCC	144
Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala	
35 40 45	
AGT CAG AGT GTT AGT AGC AGC TAC TTA GCC TGG TAC CAG CAG AAA CCT	192
Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys Pro	
50 55 60	
GGC CAG GCT CCC AGG CTC CTC ATC TAT GGT GCA TCC ACC AGG GCC ACT	240
Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala Thr	
65 70 75 80	
GGC ATG CCA GAC AGG TTC AGT GGC AGT GGG TCC GGG ACA GAC TTC ACT	288
Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr	
85 90 95	
CTC ACC ATC AGT AGA CTG GAG CCT GAA GAT TTT GCA GTG TAT TAC TGT	336
Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys	
100 105 110	
CAG CAG TAT GGT AGC TCA CCT CAG ACA CCT CAG ATC ACT TTC GGC GGA	384
Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly Gly	
115 120 125	
GGG ACC AAG GTG GAG ATC AAA CGA ACT GTG GCT GCA CCA TCT GTC TCT	432
Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Pro Ser Val Ser	
130 135 140	



GGC GGT GGC GGT TCC GGA GGT GGT GGA TCA GGT GGA GGT GGC TCC CAG Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gln 145 150 155 160	480
GTG CAG CTG GTG GAG TCT GGG GGA GGC GTG GTC CAG CCT GGG AGG TCC Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg Ser 165 170 175	528
CTG AGA CTC TCC TGT GCA GCC TCT GGA TTC CCC TTC AGA AGC TTT GCT Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Pro Phe Arg Ser Phe Ala 180 185 190	576
ATG CAC TGG GTC CGC CAG GCT CTA GGC AAG GGG CTG GAG TGG GTG GCA Met His Trp Val Arg Gln Ala Leu Gly Lys Gly Leu Glu Trp Val Ala 195 200 205	624
GTT ATA TCA TAT GAT GGA AGC ACT AAA TAC TAC GCA GAC TCC GTG AAG Val Ile Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys 210 215 220	672
GGC CGA TTC ACC ATC TCC AGA GAC ACT TCC AAG AAC ACG GTG TAT CTA Gly Arg Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu 225 230 235 240	720
AAA ATG AAC AGC CTG AGA ACT GAG GAC ACG GCT GTC TAT TAC TGT GCG Lys Met Asn Ser Leu Arg Thr Glu Asp Thr Ala Val Tyr Tyr Cys Ala 245 250 255	768
AGA GAT CAG AGC CTG TTG GGT GAC TAT GAC CAC TAC TAC GGT TTG GAC Arg Asp Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp 260 265 270	816
GTC TGG GGC AAA GGG ACC ACG GTC ACC GTC TCC TCA GGA TCC GAA CAA Val Trp Gly Lys Gly Thr Thr Val Thr Val Ser Ser Gly Ser Glu Gln 275 280 285	864
AAA CTG ATC AGC GAA GAA GAT CTG AAC CAT CAC CAT CAC CAT Lys Leu Ile Ser Glu Glu Asp Leu Asn His His His His His 290 295 300	906
TAGTGA AAG CTT Lys Leu	918

## (2) INFORMATION FOR SEQ ID NO:14:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 304 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

Glu Phe Met Lys Lys Thr Ala Ile Ala Ile Ala Val Ala Leu Ala Gly  
 1 5 10 15  
 Phe Ala Thr Val Ala Gln Ala Asp Ile Val Leu Thr Gln Ser Pro Gly  
 20 25 30  
 Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala  
 35 40 45  
 Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys Pro  
 50 55 60  
 Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala Thr  
 65 70 75 80  
 Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr  
 85 90 95  
 Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys  
 100 105 110  
 Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly Gly  
 115 120 125  
 Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Pro Ser Val Ser  
 130 135 140  
 Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gln  
 145 150 155 160  
 Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg Ser  
 165 170 175  
 Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Pro Phe Arg Ser Phe Ala  
 180 185 190  
 Met His Trp Val Arg Gln Ala Leu Gly Lys Gly Leu Glu Trp Val Ala  
 195 200 205  
 Val Ile Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys  
 210 215 220  
 Gly Arg Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu  
 225 230 235 240  
 Lys Met Asn Ser Leu Arg Thr Glu Asp Thr Ala Val Tyr Tyr Cys Ala  
 245 250 255  
 Arg Asp Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp  
 260 265 270  
 Val Trp Gly Lys Gly Thr Thr Val Thr Val Ser Ser Gly Ser Glu Gln  
 275 280 285

Lys Leu Ile Ser Glu Glu Asp Leu Asn His His His His Lys Leu  
 290 295 300

## (2) INFORMATION FOR SEQ ID NO:15:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 918 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

AAGCTTTCAC TAATGGTGAT GGTGATGGTT CAGATCTTCT TCGCTGATCA GTTTTGTGTC	60
GGATCCTGAG GAGACGGTGA CCGTGGTCCC TTGCCCCAG ACGTCCAAAC CGTAGTAGTG	120
GTCATAGTCA CCCAACAGGC TCTGATCTCT CGCACAGTAA TAGACAGCCG TGTCTCAGT	180
TCTCAGGCTG TTCATTTTTA GATACACCGT GTTCTTGAA GTGTCTCTGG AGATGGTGAA	240
TCGGCCCTTC ACGGAGTCTG CGTAGTATTT AGTGCTTCCA TCATATGATA TAACTGCCAC	300
CCACTCCAGC CCCTTGCCCTA GAGCCTGGCG GACCCAGTGC ATAGCAAAGC TTCTGAAGGG	360
GAATCCAGAG GCTGCACAGG AGAGTCTCAG GGACCTCCCA GGCTGGACCA CGCCTCCCCC	420
AGACTCCACC AGCTGCACCT GGGAGCCACC TCCACCTGAT CCACCACCTC CGGAACCGCC	480
ACCGCCAGAG ACAGATGGTG CAGCCACAGT TCGTTTGATC TCCACCTTGG TCCCTCCGCC	540
GAAAGTGATC TGAGGTGTCT GAGGTGAGCT ACCATACTGC TGACAGTAAT ACACTGCAAA	600
ATCTTCAGGC TCCAGTCTAC TGATGGTGAG AGTGAAGTCT GTCCCGGACC CACTGCCACT	660
GAACCTGTCT GGCATGCCAG TGGCCCTGGT GGATGCACCA TAGATGAGGA GCCTGGGAGC	720
CTGGCCAGGT TTCTGCTGGT ACCAGGCTAA GTAGCTGCTA CTAACACTCT GACTGGCCCT	780
GCAGGAGAGG GTGCCTCTTT CCCCTGGAGA CAAAGACAGG GTGCCTGGAG ACTGCGTCAA	840
CACAATATCG GCCTGCGCAA CGGTAGCGAA ACCAGCCAGT GCAACTGCGA TCGCGATAGC	900
GGTTTTTTTC ATGAATTC	918

## (2) INFORMATION FOR SEQ ID NO:16:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 867 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ix) FEATURE:

(A) NAME/KEY: CDS

(B) LOCATION: join(1..855, 862..867)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

GAA TTC ATG AAA AAA ACC GCT ATC GCG ATC GCA GTT GCA CTG GCT GGT	48
Glu Phe Met Lys Lys Thr Ala Ile Ala Ile Ala Val Ala Leu Ala Gly	
1 5 10 15	
TTC GCT ACC GTT GCG CAG GCC GAT ATT GTG TTG ACG CAG TCT CCA GGC	96
Phe Ala Thr Val Ala Gln Ala Asp Ile Val Leu Thr Gln Ser Pro Gly	
20 25 30	
ACC CTG TCT TTG TCT CCA GGG GAA AGA GCC ACC CTC TCC TGC AGG GCC	144
Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala	
35 40 45	
AGT CAG AGT GTT AGT AGC AGC TAC TTA GCC TGG TAC CAG CAG AAA CCT	192
Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys Pro	
50 55 60	
GGC CAG GCT CCC AGG CTC CTC ATC TAT GGT GCA TCC ACC AGG GCC ACT	240
Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala Thr	
65 70 75 80	
GGC ATG CCA GAC AGG TTC AGT GGC AGT GGG TCC GGG ACA GAC TTC ACT	288
Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr	
85 90 95	
CTC ACC ATC AGT AGA CTG GAG CCT GAA GAT TTT GCA GTG TAT TAC TGT	336
Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys	
100 105 110	
CAG CAG TAT GGT AGC TCA CCT CAG ACA CCT CAG ATC ACT TTC GGC GGA	384
Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly Gly	
115 120 125	
GGG ACC AAG GTG GAG ATC AAA CGA ACT GTG GCT GCA TCC GGA CAG GTG	432
Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Ser Gly Gln Val	
130 135 140	
CAG CTG GTG GAG TCT GGG GGA GGC GTG GTC CAG CCT GGG AGG TCC CTG	480
Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg Ser Leu	
145 150 155 160	
AGA CTC TCC TGT GCA GCC TCT GGA TTC CCC TTC AGA AGC TTT GCT ATG	528
Arg Leu Ser Cys Ala Ala Ser Gly Phe Pro Phe Arg Ser Phe Ala Met	
165 170 175	
CAC TGG GTC CGC CAG GCT CTA GGC AAG GGG CTG GAG TGG GTG GCA GTT	576
His Trp Val Arg Gln Ala Leu Gly Lys Gly Leu Glu Trp Val Ala Val	
180 185 190	

ATA TCA TAT GAT GGA AGC ACT AAA TAC TAC GCA GAC TCC GTG AAG GGC	624
Ile Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys Gly	
195 200 205	
CGA TTC ACC ATC TCC AGA GAC ACT TCC AAG AAC ACG GTG TAT CTA AAA	672
Arg Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu Lys	
210 215 220	
ATG AAC AGC CTG AGA ACT GAG GAC ACG GCT GTC TAT TAC TGT GCG AGA	720
Met Asn Ser Leu Arg Thr Glu Asp Thr Ala Val Tyr Tyr Cys Ala Arg	
225 230 235 240	
GAT CAG AGC CTG TTG GGT GAC TAT GAC CAC TAC TAC GGT TTG GAC GTC	768
Asp Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp Val	
245 250 255	
TGG GGC AAA GGG ACC ACG GTC ACC GTC TCC TCA GGA TCC GAA CAA AAA	816
Trp Gly Lys Gly Thr Thr Val Thr Val Ser Ser Gly Ser Glu Gln Lys	
260 265 270	
CTG ATC AGC GAA GAA GAT CTG AAC CAT CAC CAT CAC CAT TAGTGA AAG	864
Leu Ile Ser Glu Glu Asp Leu Asn His His His His His Lys	
275 280 285	
CTT	867
Leu	

## (2) INFORMATION FOR SEQ ID NO:17:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 287 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

Glu Phe Met Lys Lys Thr Ala Ile Ala Ile Ala Val Ala Leu Ala Gly	
1 5 10 15	
Phe Ala Thr Val Ala Gln Ala Asp Ile Val Leu Thr Gln Ser Pro Gly	
20 25 30	
Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala	
35 40 45	
Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys Pro	
50 55 60	
Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala Thr	
65 70 75 80	

Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr  
                             85                            90                            95  
 Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys  
                             100                            105                            110  
 Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly Gly  
                             115                            120                            125  
 Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Ser Gly Gln Val  
                             130                            135                            140  
 Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg Ser Leu  
                             145                            150                            155                            160  
 Arg Leu Ser Cys Ala Ala Ser Gly Phe Pro Phe Arg Ser Phe Ala Met  
                             165                            170                            175  
 His Trp Val Arg Gln Ala Leu Gly Lys Gly Leu Glu Trp Val Ala Val  
                             180                            185                            190  
 Ile Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys Gly  
                             195                            200                            205  
 Arg Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu Lys  
                             210                            215                            220  
 Met Asn Ser Leu Arg Thr Glu Asp Thr Ala Val Tyr Tyr Cys Ala Arg  
                             225                            230                            235                            240  
 Asp Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp Val  
                             245                            250                            255  
 Trp Gly Lys Gly Thr Thr Val Thr Val Ser Ser Gly Ser Glu Gln Lys  
                             260                            265                            270  
 Leu Ile Ser Glu Glu Asp Leu Asn His His His His His Lys Leu  
                             275                            280                            285

## (2) INFORMATION FOR SEQ ID NO:18:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 867 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

AAGCTTTTCAC TAATGGTGAT GGTGATGGTT CAGATCTTCT TCGCTGATCA GTTTTGTTC	60
GGATCCTGAG GAGACGGTGA CCGTGGTCCC TTTGCCCCAG ACGACCAAAC CGTAGTAGTG	120
GTCATAGTCA CCCAACAGGC TCTGATCTCT CGCACAGTAA TAGACAGCCG TGTCCTCAGT	180

TCTCAGGCTG TTCATTTTTA GATACACCGT GTTCTTGGA	240
AGATGGTGAA TCGGCCCTTC ACGGAGTCTG CGTAGTATTT	300
AGTGCTTCCA TCATATGATA TAACTGCCAC CCACTCCAGC	360
CCCTTGCCCTA GAGCCTGGCG GACCCAGTGC ATAGCAAAGC	420
TTCTGAAGGG GAATCCAGAG GCTGCACAGG AGAGTCTCAG	480
GGACCTCCCA GGCTGGACCA CGCCTCCCCC AGACTCCACC	540
AGCTGCACCT GTCCGGATGC AGCCACAGTT CGTTTGATCT	600
CCACCTTGGT CCCTCCGCCG AAAGTGATCT GAGGTGTCTG	660
AGGTGAGCTA CCATACTGCT GACAGTAATA CACTGCAAAA	720
TCTTCAGGCT CCAGTCTACT GATGGTGAGA GTGAAGTCTG	780
TCCCGGACCC ACTGCCACTG AACCTGTCTG GCATGCCAGT	840
GGCCCTGGTG GATGCACCAT AGATGAGGAG CCTGGGAGCC	900
TGGCCAGGTT TCTGCTGGTA CCAGGCTAAG TAGCTGCTAC	960
TAACACTCTG ACTGGCCCTG CAGGAGAGGG TGGCTCTTTC	1020
CCCTGGAGAC AAAGACAGGG TGCTTGGAGA CTGCGTCAAC	1080
ACAATATCGG CCTGCGCAAC GGTAGCGAAA CCAGCCAGTG	1140
CAACTGCGAT CGCGATAGCG GTTTTTTTCA TGAATTC	1200

**CLAIMS**

We claim:

1. A composition comprising an antigen binding fragment of an antibody, wherein the antibody specifically recognizes C-antigen, wherein C-antigen is the antigen specifically recognized by an antibody comprising a H chain V region having the amino acid sequence of SEQ. ID NO:2 and a L chain V region having the amino acid sequence of SEQ ID NO:5.

2. The composition according to claim 1, wherein the antigen binding fragment is selected from the group consisting of whole native antibodies, bispecific antibodies, chimeric antibodies, Fab, F(ab')<sub>2</sub>, single chain V region fragments (scFv) and fusion polypeptides, wherein the fusion polypeptide comprises the antigen binding fragment fused to a chemically functional moiety.

3. The composition according to claim 2 wherein the whole native antibody is a  $\alpha$ C antibody.

4. The composition according to claim 3, wherein the  $\alpha$ C antibody is designated H11 and comprises H chains having the amino acid sequence of SEQ ID NO:2 and a L chain having the amino acid sequence of SEQ ID NO:5.

5. The composition according to claim 2, wherein the scFv is substantially the same as SEQ ID NOS:14 and 17.

6. The composition according to claim 2, wherein the moiety is selected from the group consisting of signal peptides, agents that enhance immunologic reactivity, agents



that facilitate coupling to a solid support, vaccine carriers, bioresponse modifiers, toxins, detectable labels, paramagnetic labels, and drugs.

7. The composition according to claim 6, wherein the signal peptide is prokaryotic or eukaryotic.

5 8. The composition according to claim 7, wherein the signal peptide is eukaryotic.

9. The composition according to claim 6, wherein the agent that enhances immunologic reactivity is a bacterial super antigen.

10 10. The method according to claim 6, wherein the agent that facilitates coupling to a solid support is selected from the group consisting of biotin and avidin.

11. The composition according to claim 6, wherein the immunogen carrier is selected from the group consisting of any physiologically acceptable buffer.

12. The composition according to claim 6, wherein the bioresponse modifier is a cytokine.

15 13. The composition according to claim 12, wherein the cytokine is selected from the group consisting of tumor necrosis factor, interleukin-2, interleukin-4, interleukin-12, granulocyte macrophage colony stimulating factor and  $\gamma$ -interferons.

14. The composition according to claim 6, wherein the drug is an antineoplastic agent selected from the group consisting of radioisotopes, vinca alkaloids, adriamycin,

bleomycin sulfate, Carboplatin, Cisplatin, cyclophosphamide, Cytarabine, Dacarbazine, Dactinomycin, Duanorubicin hydrochloride, Doxorubicin hydrochloride, Etoposide, fluorouracil, lomustine, Mechlororethamine hydrochloride, melphalan, mercaptopurine, methotrexate, mitomycin, mitotane, pentostatin, pipobroman, procarbaze hydrochloride, streptozotocin, taxol, thioguanine and Uracil mustard.

15. The composition according to claim 14, wherein the vinca alkaloid is selected from the group consisting of vinblastine sulfate, vincristine sulfate and vindesine sulfate.

16. The composition according to claim 6, wherein the toxin is selected from the group consisting of ricin, radionuclides, pokeweed antiviral protein, Pseudomonas exotoxin A, diphtheria toxin, ricin A chain, restrictocin and phospholipase enzymes.

17. The composition according to claim 16, wherein the detectable label is selected from the group consisting of radioisotopes, fluorescent compounds, colloidal metals, chemiluminescent compounds, bioluminescent compounds, enzymes, substrates, cofactors and inhibitors.

18. A polypeptide comprising at least five consecutive amino acid residues of SEQ ID NOS:2 or 5.

19. The polypeptide according to claim 18, wherein the five consecutive amino acid residues are from a CDR.

20. The polypeptide according to claim 18, further comprising a heterologous immunoglobulin C region.

21. A humanized antibody comprising the polypeptide according to claim 18.
22. A polymeric peptide comprising a plurality of the peptide according to claim 18.
23. The composition according to claim 1, further comprising a  
5 pharmaceutically acceptable excipient.
24. The composition according to claim 23, wherein the excipient is a liposome preparation.
25. An immunogenic composition comprising the antigen binding fragment according to claim 1, further comprising a pharmaceutically acceptable excipient and an  
10 amount of an adjuvant effective to enhance the immune response.
26. A substantially isolated polynucleotide sequence that encodes an antigen binding fragment of an antibody, wherein the antibody specifically recognizes C-antigen, wherein C-antigen is the antigen recognized by an antibody comprising a H chain V region having the amino acid sequence of SEQ ID NO:2 and a L chain V region having the amino  
15 acid sequence of SEQ ID NO:5.
27. A substantially isolated polynucleotide sequence that encodes at least five consecutive amino acid residues of SEQ ID NOS:2 or 5.
28. The polynucleotide according to claim 32, wherein the encoding sequence is within SEQ ID NO:1.

29. The polynucleotide according to claim 28, wherein the encoding sequence is within SEQ ID NO:4.

30. The polynucleotide according to claim 28, wherein the polynucleotide encodes at least five consecutive amino acid residues of a CDR.

5 31. An isolated polynucleotide comprising a region of at least 20 consecutive nucleotides that is capable of selectively forming a stable duplex with a polynucleotide consisting of SEQ. ID NO:1 or 3.

10 32. An isolated polynucleotide comprising a region of at least 20 consecutive nucleotides that is capable of selectively forming a stable duplex with a polynucleotide consisting of SEQ. ID NO:4 or 6.

33. The polynucleotide according to claim 26, wherein the polynucleotide is a cloning vector.

34. The polynucleotide according to claim 26, wherein the polynucleotide is an expression vector.

15 35. The expression vector according to claim 34, wherein the expression vector is vaccinia.

36. A host cell comprising a polynucleotide according to claim 32.

37. A pharmaceutical composition comprising the polynucleotide of claim 26 and a pharmaceutically acceptable excipient.

38. An immunogenic composition comprising the polynucleotide sequence according to claim 26 and a pharmaceutically acceptable excipient.

39. A method of treating a patient with a neoplasia comprising administering to the patient an effective amount of the antigen binding fragment according to claim 1.

5 40. The method according to claim 39, wherein the individual has a clinically detectable tumor.

41. The method according to claim 39, which is a method for palliating the neoplasia.

10 42. The method according to claim 39, wherein a tumor that was previously detected in the individual has been treated and is clinically undetectable at the time of the administering of the antigen binding fragment.

43. The method according to claim 39, which is a method of reducing the risk of recurrence of a clinically detectable tumor.

15 44. The method according to claim 39, wherein administration of the antigen binding fragment is by parenteral administration selected from the group consisting of subcutaneous, intramuscular, intraperitoneal, intracavity, intrathecal, transdermal, or intravenous injection.

45. The method according to claim 39, wherein the administration is at a dosage of about 0.01 mg/kg/dose to about 2000 mg/kg/dose.

46. The method according to claim 39, wherein the antigen binding fragment is labeled with a therapeutic moiety.

47. The method according to claim 46, wherein the therapeutic moiety is selected from the group consisting of radioisotopes, antineoplastic agents, immunomodulators, biological response modifiers, lectins and toxins.

48. A composition comprising substantially purified C-antigen, wherein C antigen is the antigen specifically recognized by an antibody comprising a H chain V region having the amino acid sequence of SEQ ID NO:2 and a L chain V region having the amino acid sequence of SEQ ID NO:5.

49. The composition according to claim 48, wherein the C-antigen is present in an immunogenic amount and further wherein the composition includes an amount of adjuvant effective to enhance an immune response to the C antigen.

50. A method for detecting C-antigen in a sample, comprising the steps of:

- a) contacting the sample with the antigen binding fragment according to claim 1 under conditions that permit the formation of a stable antibody-antigen complex; and
- b) detecting any stable complex formed in step a)

wherein C-antigen is the antigen specifically recognized by an antibody comprising a H chain having the amino acid sequence of SEQ ID NO:2 and a L chain V region having the amino acid sequence of SEQ ID NO:5.

Figure 1

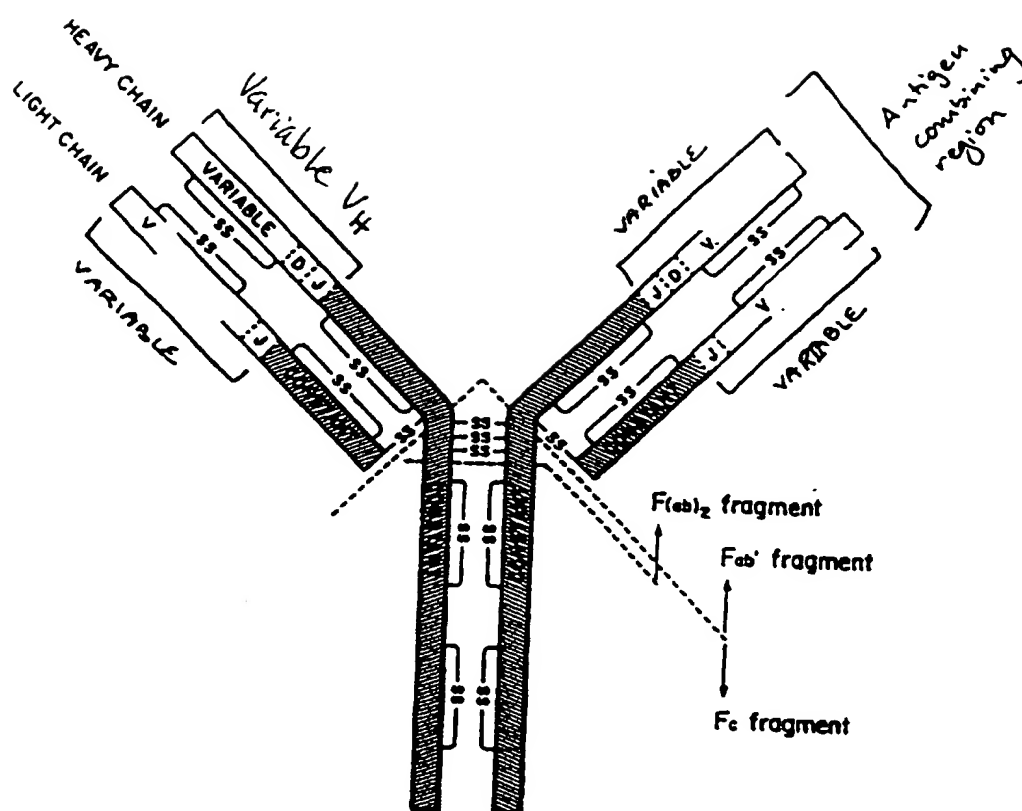


Figure 2

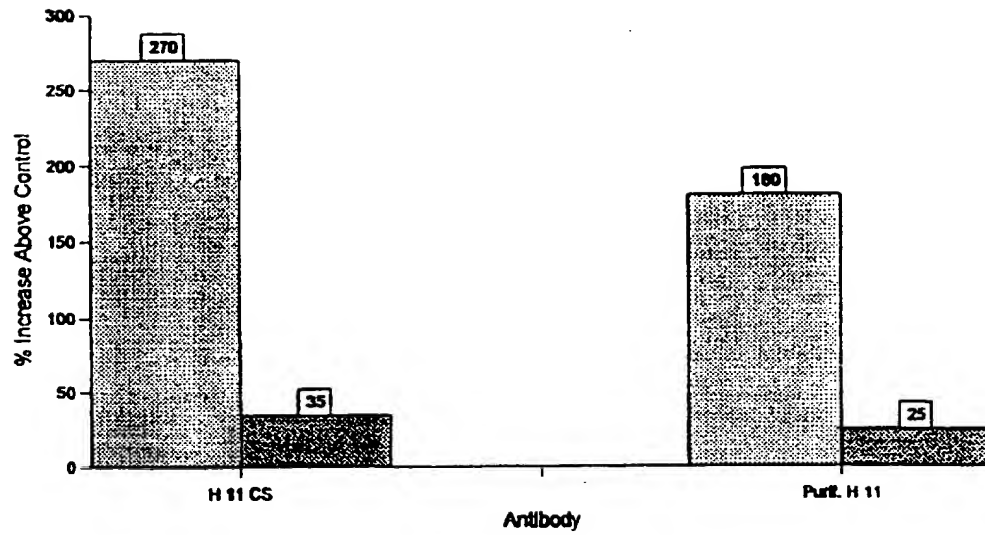




Figure 3

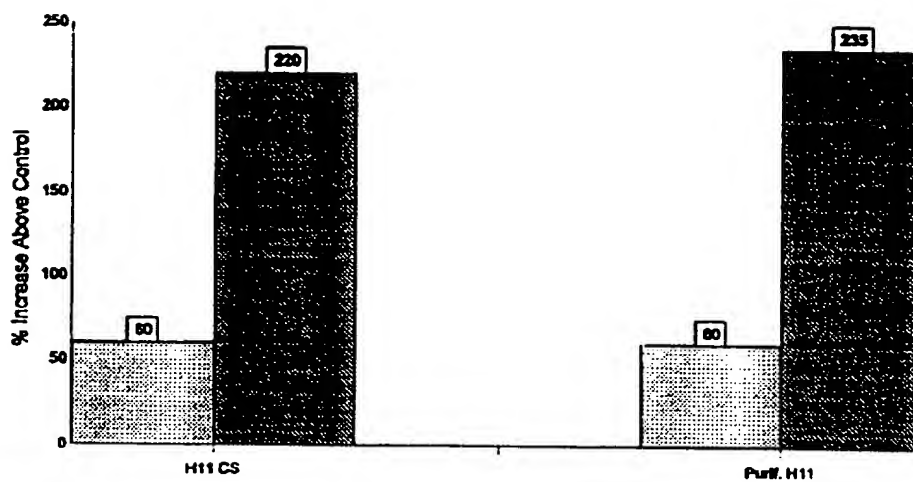


Figure 4

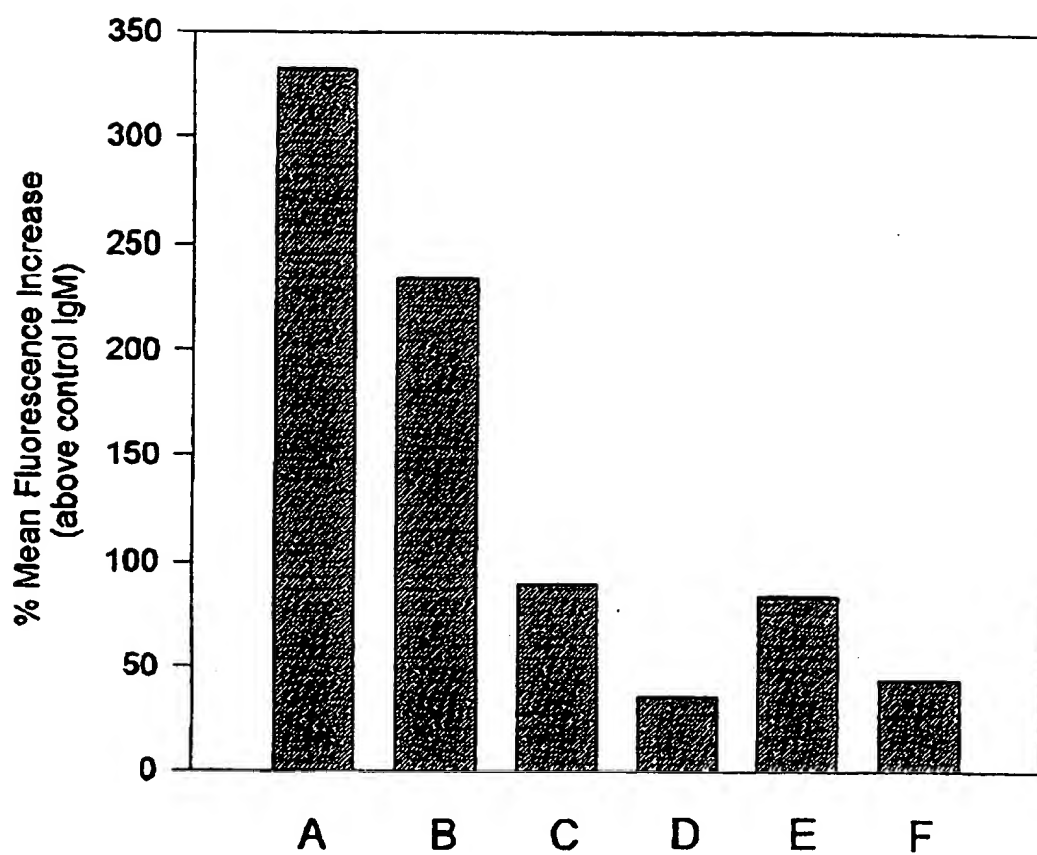


Figure 5

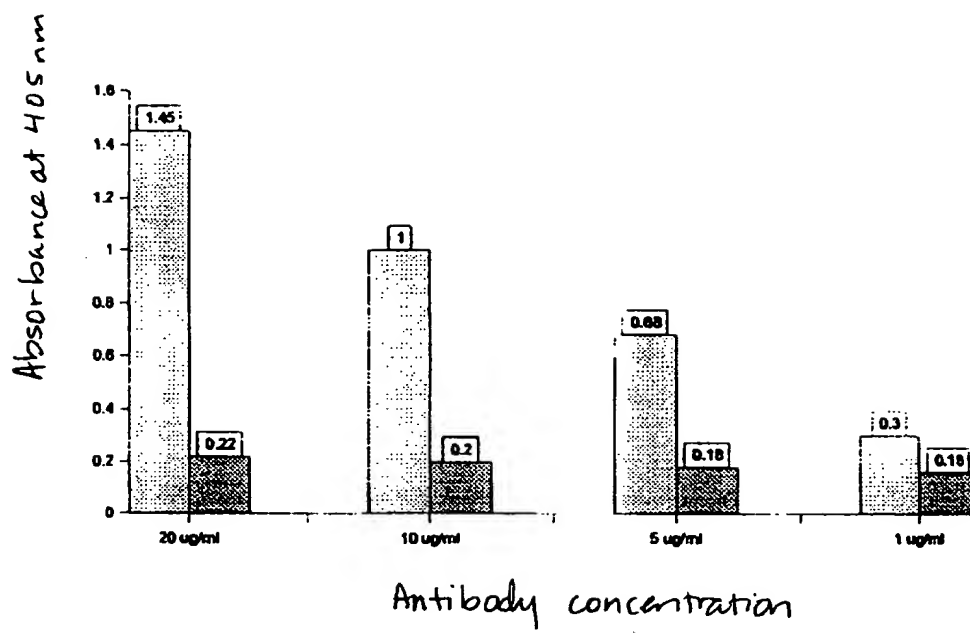


Figure 6

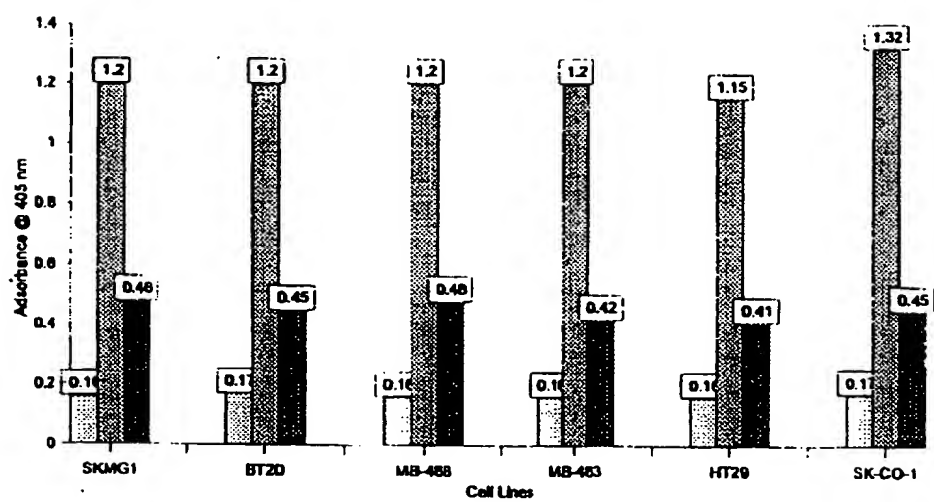


Figure 7

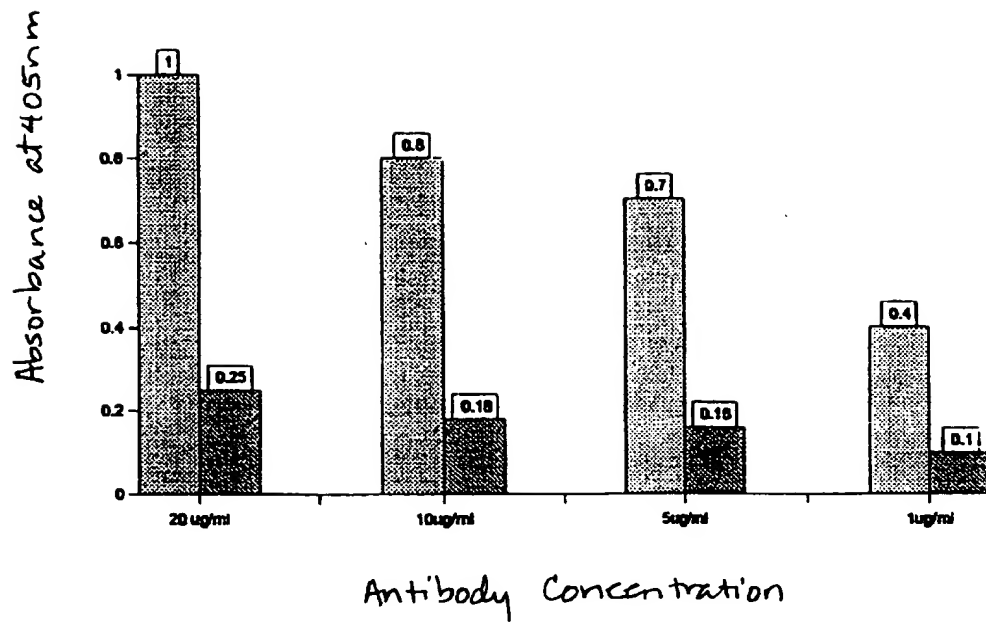
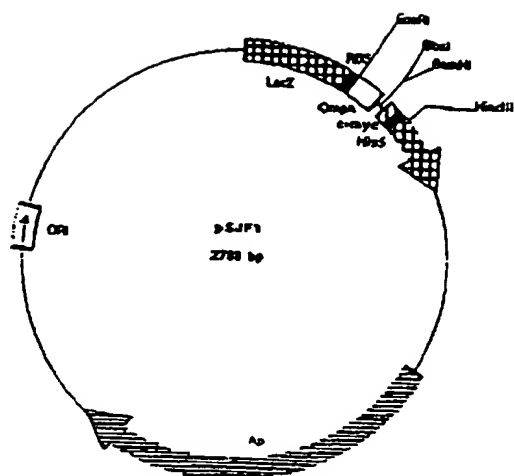


Figure 8



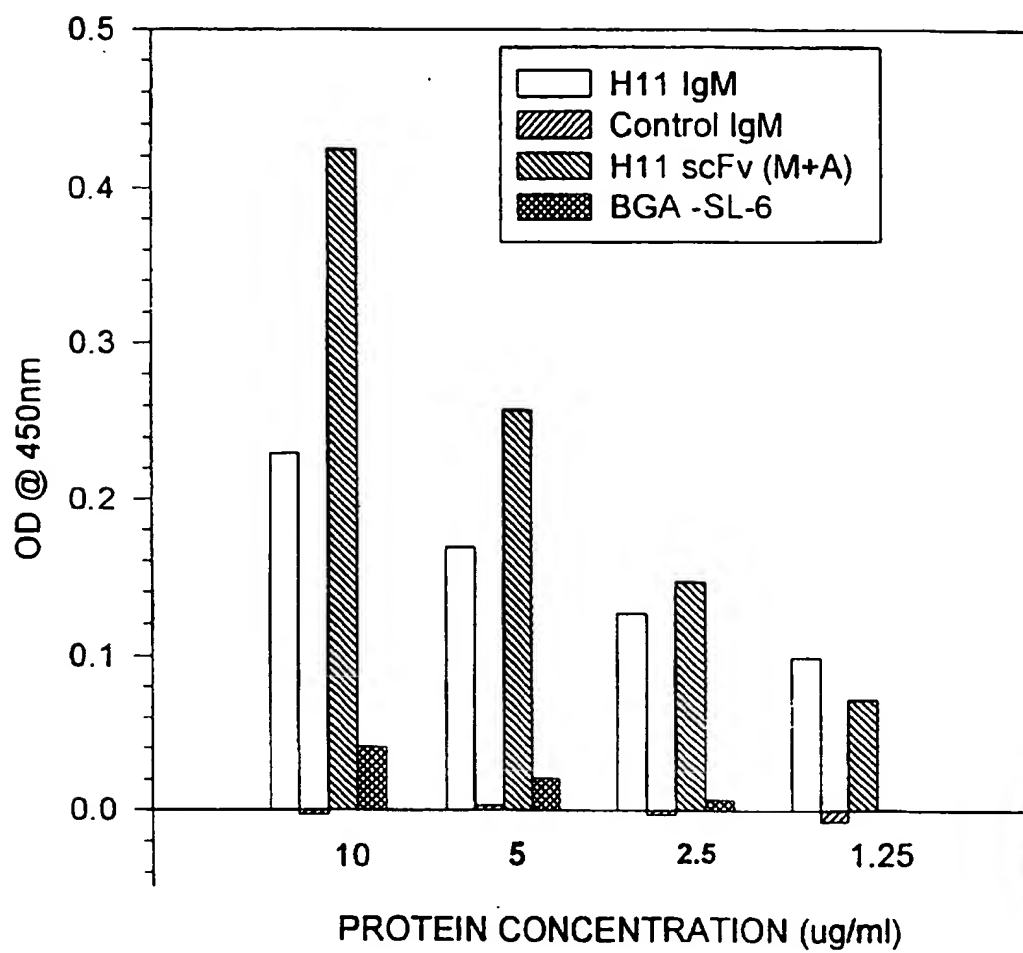
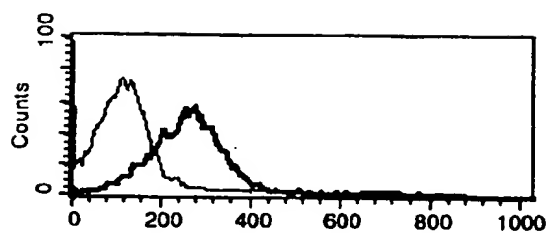
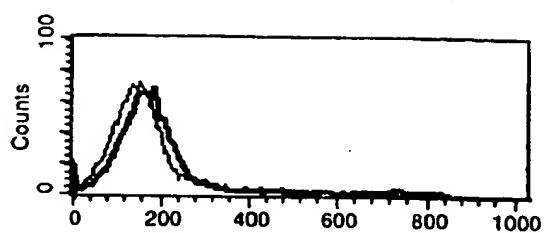


Figure 10

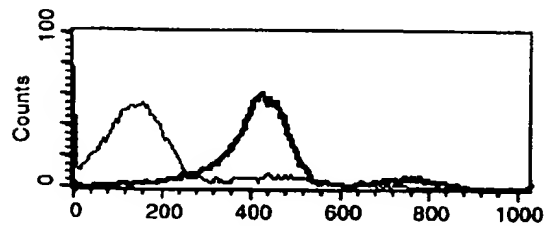
A) CA-46



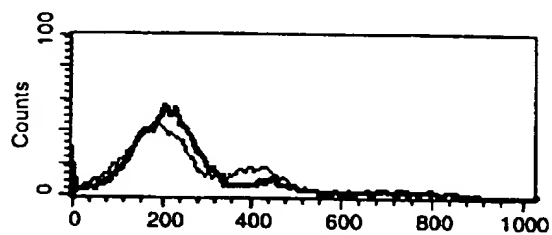
B) H9



C) RAMOS



D) CCRF-CEM





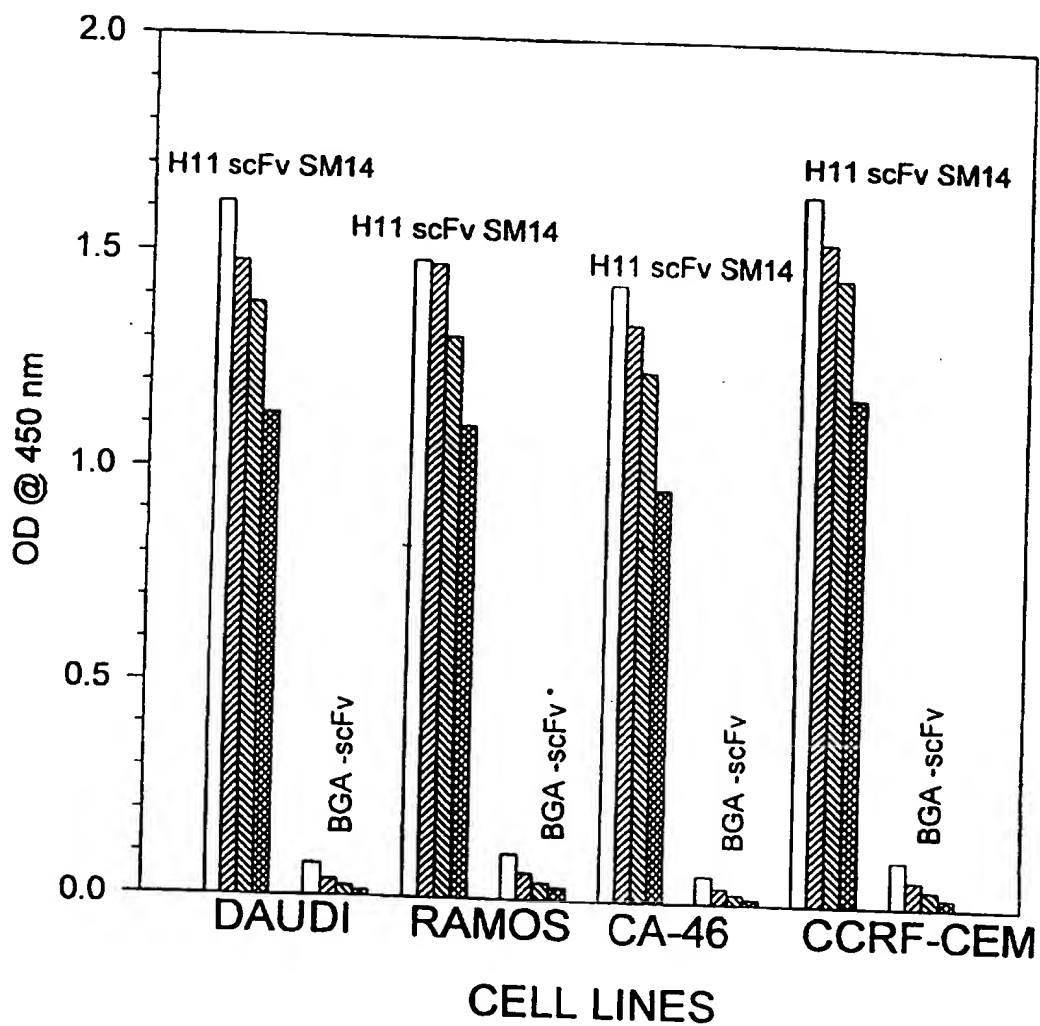


Figure 12

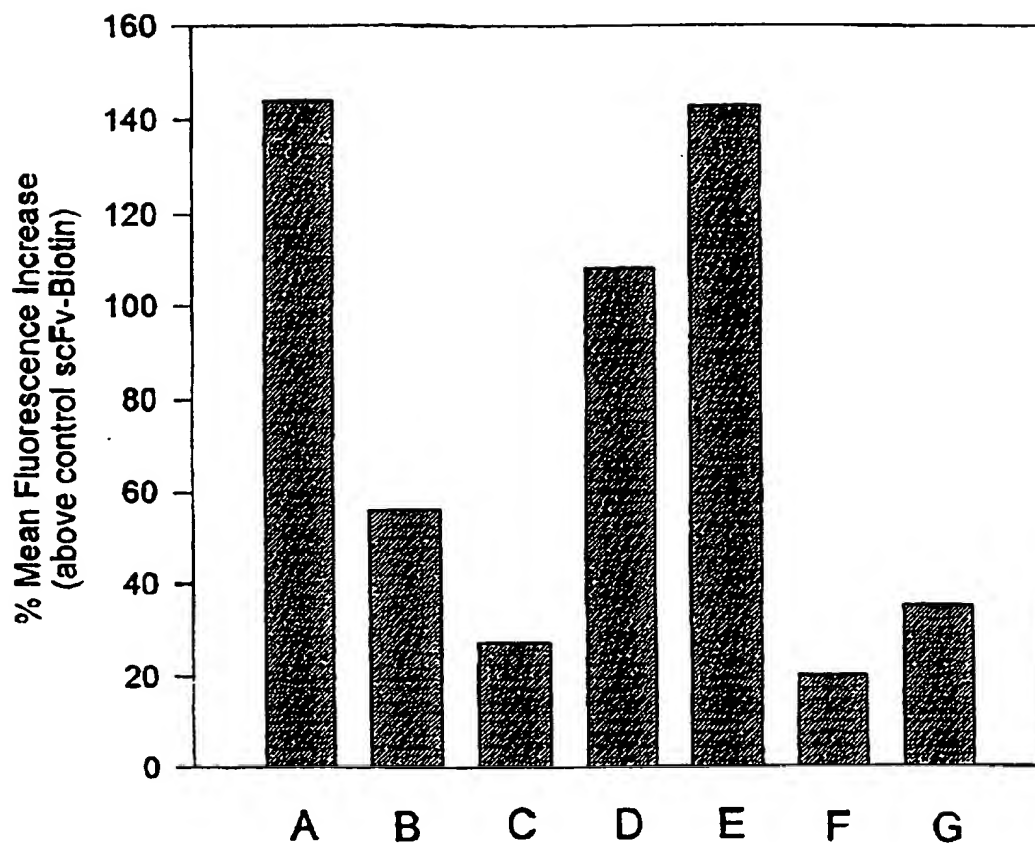


Figure 13

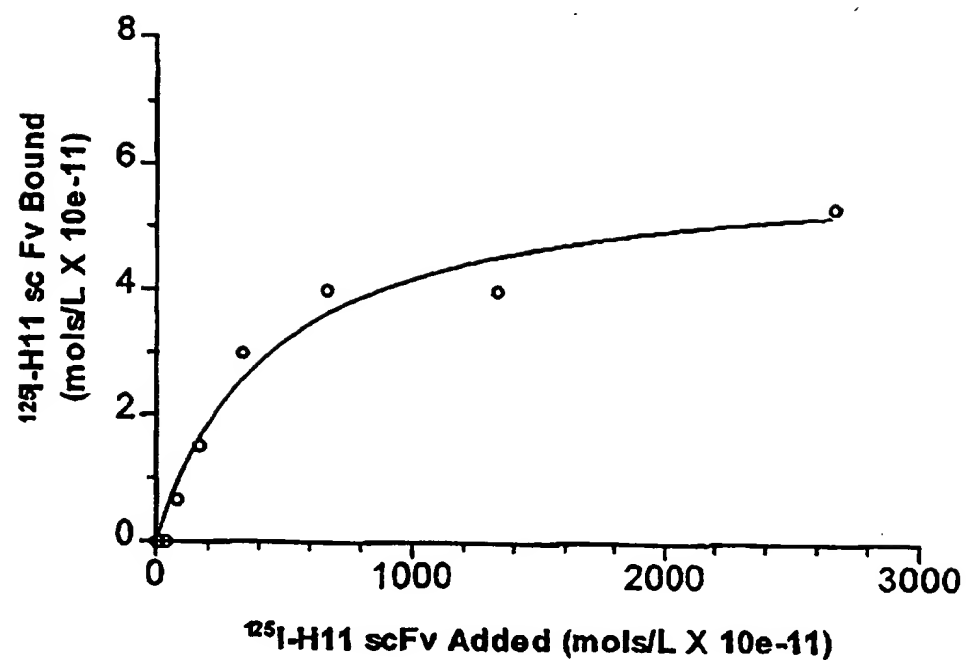
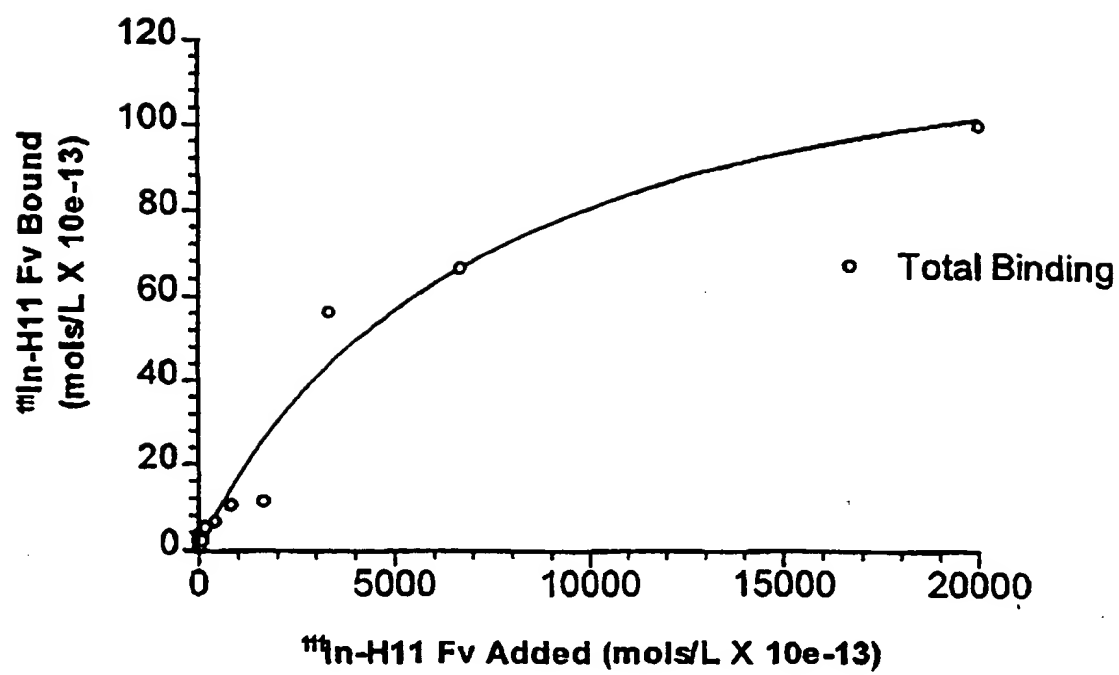


Figure 14



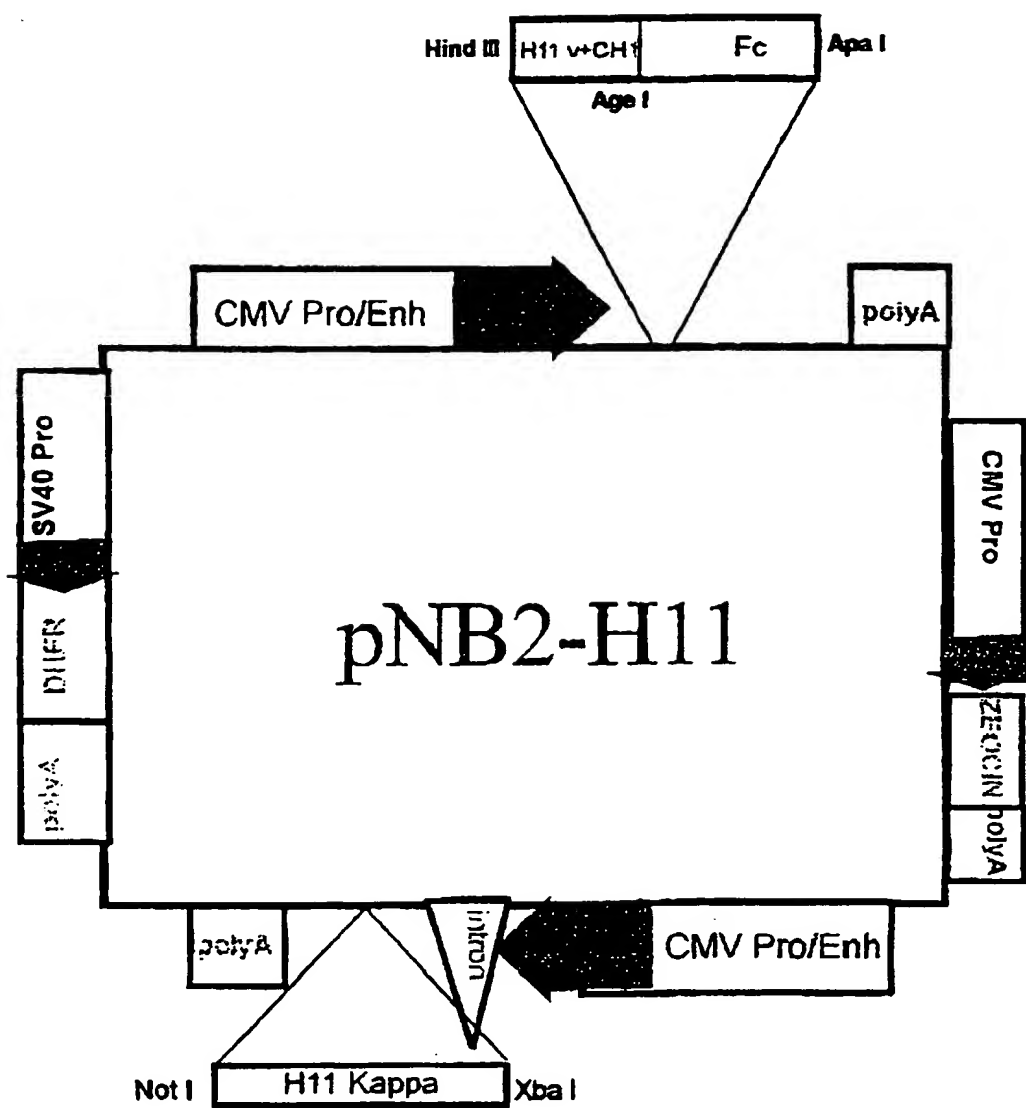


Figure 16

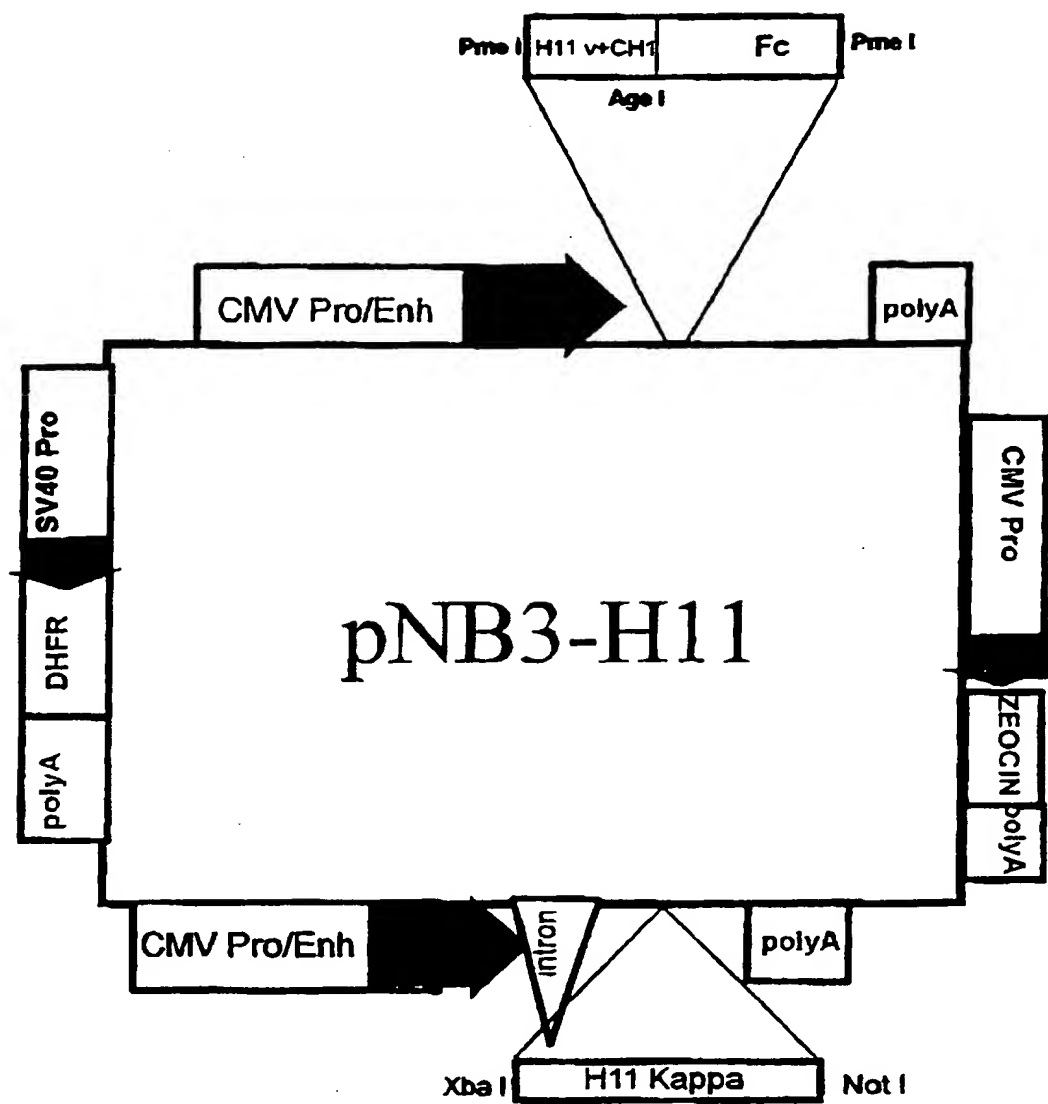


Figure 17

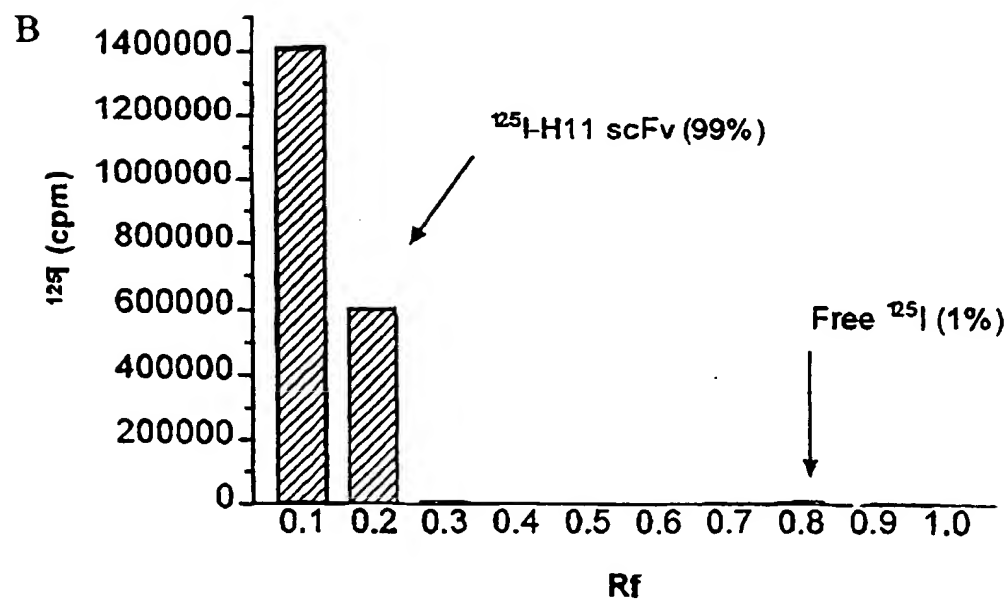
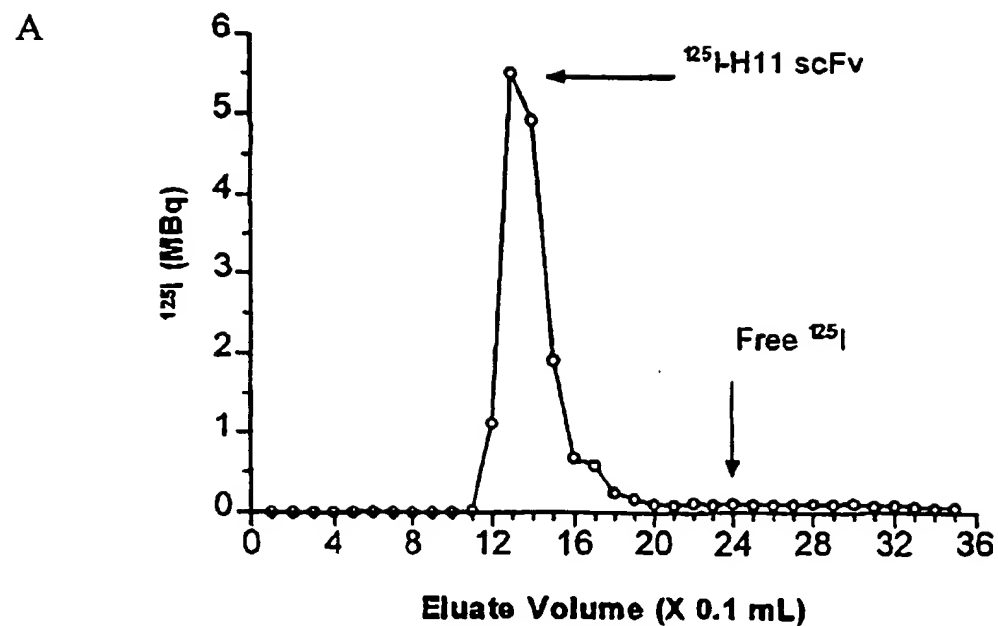
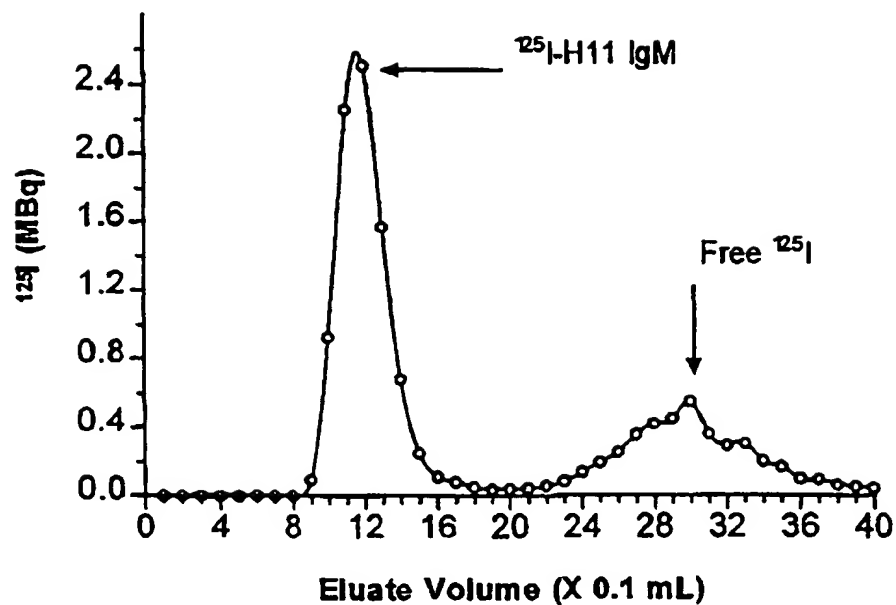
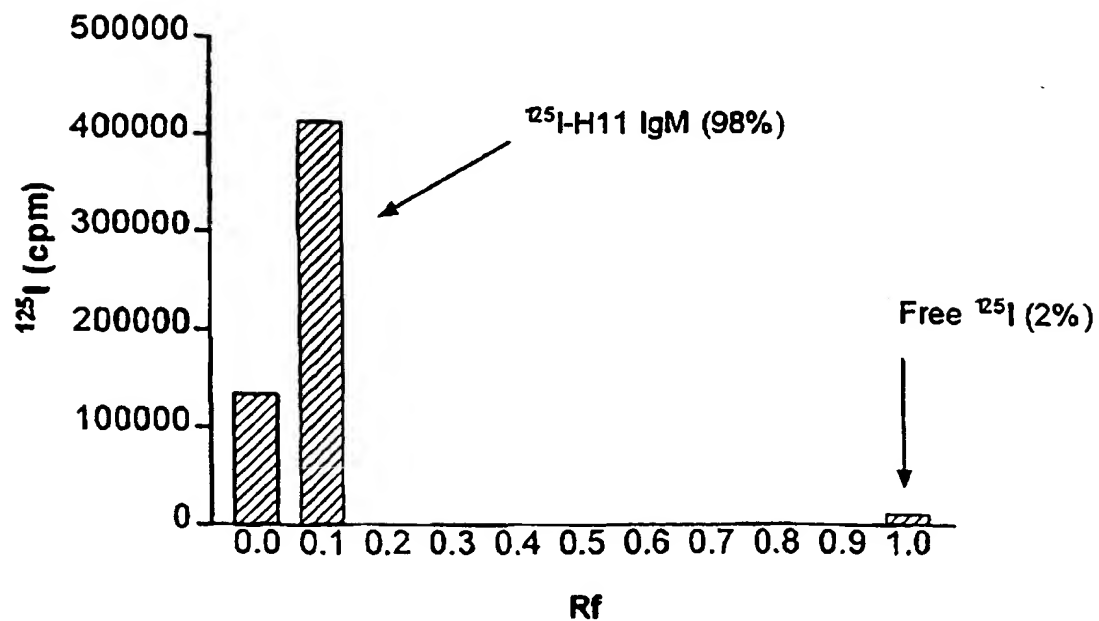


Figure 18

A



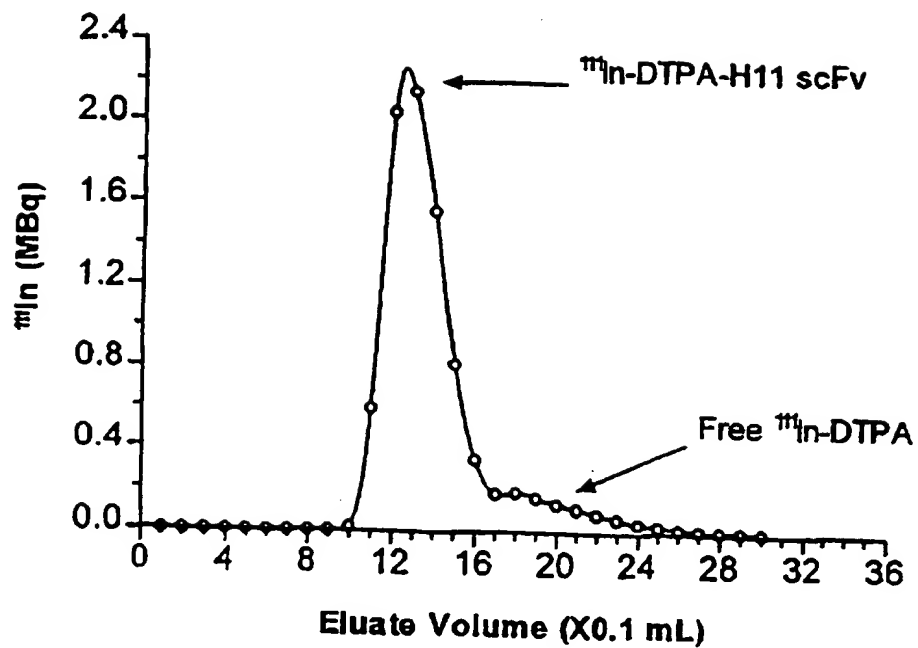
B



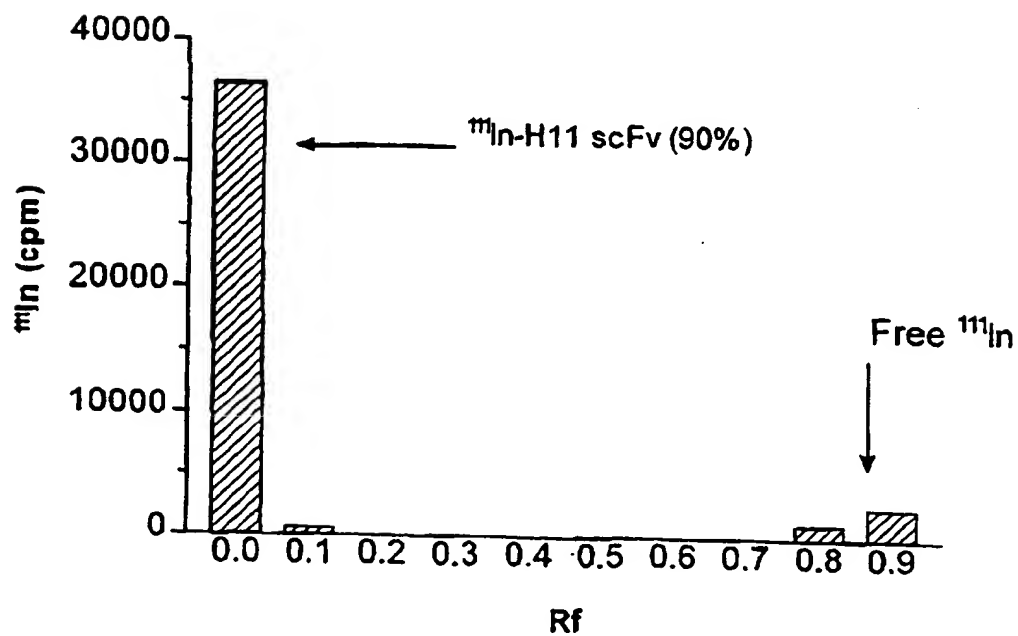


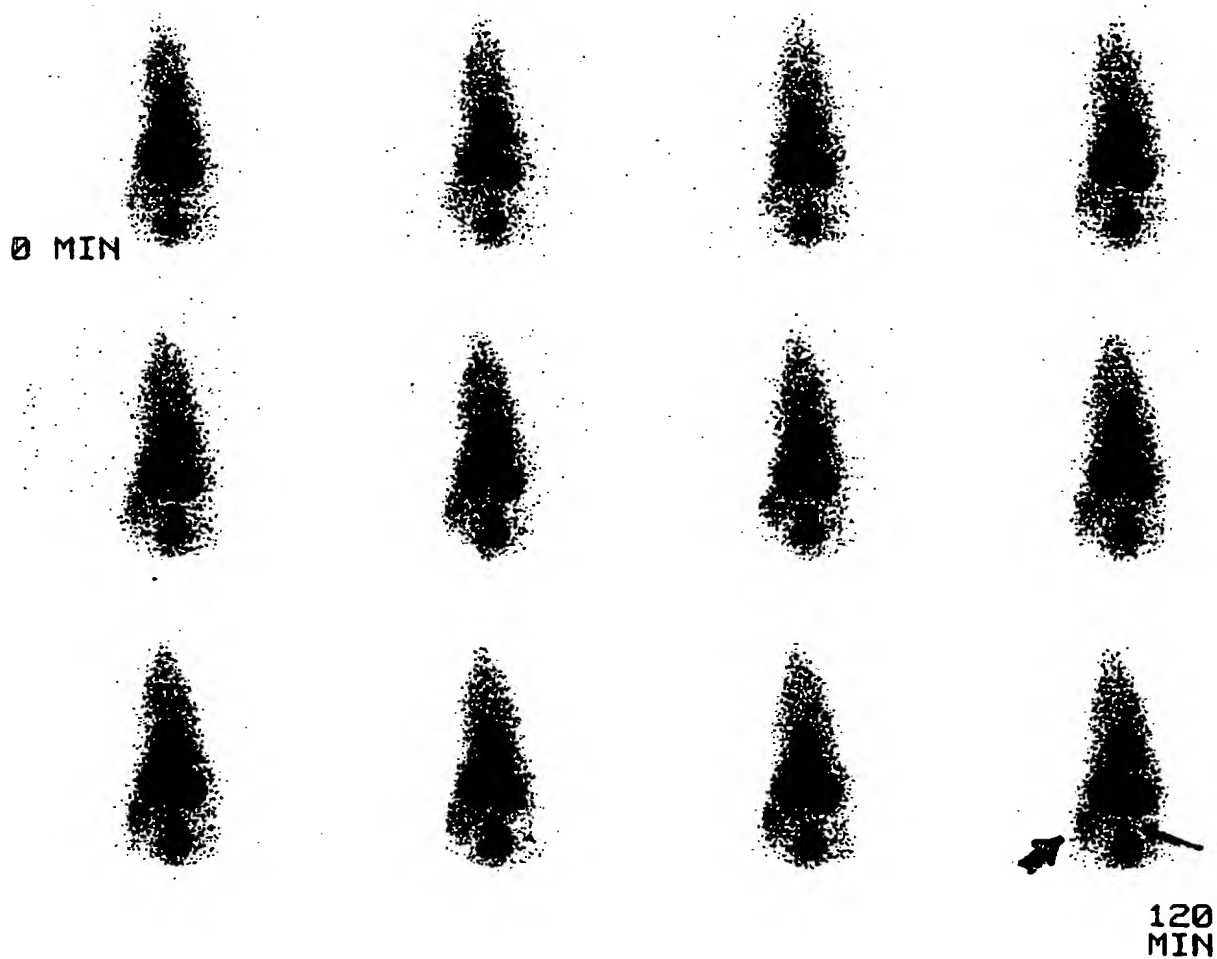
19/20  
Figure 19

A



B





DYNAMIC 10 MIN/ FRAME

## PCT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

LEHNHARDT, Susan, K.  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018  
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 16 December 1997 (16.12.97)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 316082000140	
International application No. PCT/US97/08962	International filing date (day/month/year) 22 May 1997 (22.05.97)

## 1. The following indications appeared on record concerning:

☒ the applicant    ☒ the inventor    ☐ the agent    ☐ the common representative

Name and Address DAN, Michael, D. 30 Nably Court Scarborough, Ontario M1B 2K9 CANADA	State of Nationality **	State of Residence CA
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person    ☐ the name    ☐ the address    ☒ the nationality    ☐ the residence

Name and Address DAN, Michael, D. 30 Nably Court Scarborough, Ontario M1B 2K9 CANADA	State of Nationality CA	State of Residence CA
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned
<input checked="" type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer S. De Michiel Telephone No.: (41-22) 338.83.38
--	---

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark  
Office  
(Box PCT)  
Crystal Plaza 2  
Washington, DC 20231  
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 23 January 1998 (23.01.98)	
International application No. PCT/US97/08962	Applicant's or agent's file reference 316082000140
International filing date (day/month/year) 22 May 1997 (22.05.97)	Priority date (day/month/year) 22 May 1996 (22.05.96)
Applicant DAN, Michael, D. et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:22 December 1997 (22.12.97)☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Eugénia Santos
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

## PARENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

LEHNHARDT, Susan, K.  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018  
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)

11 August 1998 (11.08.98)

Applicant's or agent's file reference

316082000140

## IMPORTANT NOTIFICATION

International application No.

PCT/US97/08962

International filing date (day/month/year)

22 May 1997 (22.05.97)

## 1. The following indications appeared on record concerning:

☒ the applicant ☐ the inventor ☐ the agent ☐ the common representative

Name and Address

NOVOPHARM BIOTECH, INC.  
Suite 106  
6190 Cornerstone Court E.  
San Diego, CA 92121  
United States of America

State of Nationality

US

State of Residence

US

Telephone No.

Facsimile No.

Teleprinter No.

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person ☐ the name ☒ the address ☐ the nationality ☐ the residence

Name and Address

NOVOPHARM BIOTECH, INC.  
54 Novopharm Court  
Toronto, Ontario M1B 2K9  
Canada

State of Nationality

US

State of Residence

CA

Telephone No.

Facsimile No.

Teleprinter No.

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

☒ the receiving Office ☐ the designated Offices concerned  
☐ the International Searching Authority ☒ the elected Offices concerned  
☒ the International Preliminary Examining Authority ☐ other:The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Eugénia Santos

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark  
Office  
(Box PCT)  
Crystal Plaza 2  
Washington, DC 20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year)

20 April 1999 (20.04.99)

International application No.

PCT/US98/16181

Applicant's or agent's file reference

09143/005WO1

International filing date (day/month/year)

05 August 1998 (05.08.98)

Priority date (day/month/year)

06 August 1997 (06.08.97)

Applicant

PERKES, Lynn

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

02 March 1999 (02.03.99)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Christelle Croci

Telephone No.: (41-22) 338.83.38